



安全理事会

Distr.: General
15 December 2016
Chinese
Original: English

安全理事会第 1540 (2004) 号决议 所设委员会

2016 年 12 月 14 日斯洛伐克常驻联合国代表团给委员会主席的普通照会

斯洛伐克常驻联合国代表团向安全理事会第 1540(2004)号决议所设委员会致意，谨转递斯洛伐克按照第 1540(2004)号决议第 4 段提交的 2006 年订正国家报告(见附件一)和最新明细汇总表，* 以便在委员会网站张贴(见附件二)。

* 经委员会同意，斯洛伐克提交的汇总表仅有英文(即提交件的原文)本。



2016 年 12 月 14 日斯洛伐克常驻联合国代表团给委员会主席的普通照会的附件一

斯洛伐克关于执行第 1540(2004) 号决议的 2016 年订正国家报告

安全理事会第 1540(2004) 是应对大规模毁灭性武器扩散之挑战，以及防止此种扩散流入非国家行为体方面的重要工具。斯洛伐克欢迎该决议获得一致通过，并通过阐述其反对大规模毁灭性武器及其运载工具扩散的一贯政策，表达了坚定不移的支持。具体而言，此项政策不断被融入国家立法和行政及执法措施。

本报告更新了 2004 年斯洛伐克根据第 1540(2004) 号决议第 4 段提交的国家报告。报告泛泛说明了涉及该决议具体条款的现行立法、行政、执法和其他措施。汇总表乃是本报告的组成部分，它更详细地说明了该决议的具体执行情况。

斯洛伐克坚定地致力于执行第 1540(2004) 号决议，并愿意同委员会充分合作。

致力遵守国际文书

斯洛伐克作为捷克和斯洛伐克联邦共和国的继承国，自 1993 年 1 月 1 日立国以来，一直是或已成为关于禁止使用或不扩散大规模毁灭性武器的下列国际文书的缔约国：

- (a) 《不扩散核武器条约》；
- (b) 《核材料实物保护公约》及其《修正案》(2005 年)；
- (c) 《南极条约》；
- (d) 《关于各国探索和利用包括月球和其他天体在内外层空间活动的原则条约》；
- (e) 《禁止在海床洋底及其底土安置核武器和其他大规模毁灭性武器条约》；
- (f) 《禁止在大气层、外层空间和水下进行核武器试验条约》；
- (g) 《全面禁止核试验条约》；
- (h) 《关于禁止发展、生产、储存和使用化学武器及销毁此种武器的公约》(《化学武器公约》)；
- (i) 《禁止细菌(生物)及毒素武器的发展生产和储存以及销毁这类武器的公约》；
- (j) 《禁止在战争中使用窒息性、毒性或其他气体和细菌作战方法的议定书》。

1972 年，捷克斯洛伐克与国际原子能机构（原子能机构）签署了适用《不扩散核武器条约》保障监督措施的协议，以防止和平用途的核能被挪用于核武器或其他核爆炸设施（见 INFCIRC/173）。2005 年，斯洛伐克加入了比利时、丹麦、德国、爱尔兰、意大利、卢森堡、荷兰、欧洲原子能共同体与原子能机构之间关于执行《条约》第三条第(1)款和第(4)款（及其议定书）的协定（见 INFCIRC/193），原子能机构的保障监督协定和附加议定书随即对斯洛伐克生效。

斯洛伐克还是几乎所有出口管制制度（澳大利亚集团、关于常规武器和两用物品及技术出口控制的瓦森纳安排、核供应国集团和桑戈委员会）的成员，并正积极申请加入导弹技术管制制度——斯洛伐克把该制度的准则并入了其国家出口管制措施。此外，斯洛伐克还是《防止弹道导弹扩散海牙行为准则》签署国。这些国际机构对可用于大规模毁灭性武器及其运载工具的材料和技术出口管制工作作出了重大贡献，目的是加强不扩散制度，防止恐怖分子获取这些敏感材料。

斯洛伐克还加入了支持防扩散安全倡议及其原则的、范围更大的伙伴国家集团。该倡议旨在遏止向与扩散活动有关联的国家行为体和非国家行为体贩运，或从其处贩运，大规模毁灭性武器、其运载系统和相关材料的行为。斯洛伐克也参见了打击核恐怖主义全球倡议，目的是增强全球防范、侦测和应对核恐怖主义的能力。斯洛伐克致力于执行全球倡议下的原则声明，而此声明是符合第 1540(2004)号决议的。

立法措施

斯洛伐克把防止大规模毁灭性武器扩散、包括非国家行为者实施的扩散，纳入了国家立法。

以下重要的国家立法文件涉及第 1540(2004)号决议：关于和平利用核能的第 541/2004 号法（原子能法），关于禁止化学武器的第 129/1998 号法（禁止化武法）和关于禁止生物武器的第 218/2007 号法（修正案）（禁止生物武器法）。《关于两用物项的第 39/2011 号法》和《关于国防工业产品贸易的第 392/2011 号法》对此项法律作了补充。上述法律及《刑法》（《第 300/2005 号法》）对违反其条款的行为规定加以处罚。

生物学领域最近的事态发展导致通过了《263/2015 号法》，其中涉及接触遗传资源及从利用此类资源中受益的条款和条件。

执行措施

在执行一级，履行不扩散承诺和措施的工作由各机构加以协调，其中包括经济部、卫生部、环境部和核监管局。

外交和欧洲事务部是执行第 1540(2004)号决议并在此方面予以协助的联络点，还是提交委员会的国家报告编写工作的协调部门。

经济部担当涉及《化学武器公约》的国家主管机构，负责对有关国防工业产品和两用物品的出口管制任务加以协调。关于出口管制制度，外交和欧洲事务部和斯洛伐克信息服务局具有有决定性影响(否决权)，就有个出口许可证事宜的决策而言，它们的意见具有约束力。

卫生部及其执行附属机构——班斯卡-比斯特里察区域公共卫生管理局，是涉及《禁止细菌(生物)及毒素武器的发展生产和储存以及销毁这类武器的公约》的国家部门。

根据第《263/2015 号法》，环境部是使用遗传资源的国家监管机构。

核管局对核设施的核安全进行国家监督，包括放射性废物管理、乏燃料管理和燃料周期的其他阶段，包括对之进行检查和登记，以及对核设施和核材料的实体保护事宜。它是负责确保在核安全和核安保方面履行国际义务、协议和许诺所带来的承诺的主要机构。

环境部行使其作为国家在使用遗传资源方面的监管机构的职责，与经济部和其他机构、中央农业控制和测试研究所(农业和农村发展部)、国家药物管制研究所(卫生部)、国家兽医生物制剂和药品管制研究所和斯洛伐克共和国公共卫生管理局(卫生部)展开合作。

执法措施

执法措施主要属于经济部、核管局、内务部、财政部和其他执法机关的职权范围。

经济部管制涉及敏感商品的贸易。核管局监督核物品和有关物品的任何转移情况。在内务部内，警察总署刑警局负责调查涉及危险物质的犯罪活动。在财政部内，刑事调查局对实际执行涉及受管制货物的出口或转运的原则负责。上述部门掌握斯洛伐克信息服务局提供的有关资料。

支持鼓励遵守各项规定的措施

鼓励遵守规定在政府对产业界的外联活动中占很大一部分。活动的主要方向正在逐步扩大，超出法律上对不扩散和出口管制政策负责的有关各部和机构与产业界之间互动的基本形式，转向寻求更注重行动的办法的措施。

政府为鼓励遵守规定，开展了各种活动，包括：与斯洛伐克工商协会合作(如外交和欧洲事务部与经济部合作，在 2015 年就许可证政策和程序问题举行面向出口单位的研讨会)，并与出口单位就特别出口问题进行特别协商。

在欧洲联盟内的活动

自从加入欧洲联盟以来，斯洛伐克作出努力，对防止大规模毁灭性武器及其运载工具的扩散和执行欧洲联盟防止大规模毁灭性武器扩散的战略作出积极贡献。

斯洛伐克定期支持欧洲联盟有关在(核生化)各领域执行此战略的文件，包括欧洲联盟理事会支持第 1540(2004)号决议的各项决定。

给予各国的协助

斯洛伐克在其能力范围内，在不扩散领域的国际援助问题上，保持了积极的姿态。援助活动和资源的绝大部分，多由相关国际机构(包括欧洲联盟、原子能机构(通过核管制局)以及禁止化学武器组织(经济部/涉及《化学武器公约》的国家主管机构)提供。

斯洛伐克了解全理事会的观点，即：有些国家在执行第 1540(2004)号决议条款方面，可能需要得到援助；斯洛伐克已作好准备审议任何具体援助请求的准备。

Annex II to the note verbale dated 14 December 2016 from the Permanent Mission of Slovakia to the United Nations addressed to the Chair of the Committee

Matrix of Slovakia, as updated in December 2016

The information in the matrices originates primarily from national reports and is complemented by official government information, including that made available to intergovernmental organizations. The matrices are prepared under the direction of the 1540 Committee.

The 1540 Committee intends to use the matrices as a reference tool for facilitating technical assistance and to enable the Committee to continue to enhance its dialogue with States on their implementation of Security Council resolution 1540.

The matrices are not a tool for measuring compliance of States in their non-proliferation obligations but for facilitating the implementation of Security Council resolutions [1540 \(2004\)](#), [1673 \(2006\)](#), [1810 \(2008\)](#) and [1977 \(2011\)](#). They do not reflect or prejudice any ongoing discussions outside of the Committee, in the Security Council or any of its organs, of a State's compliance with its non-proliferation or any other obligations. Information on voluntary commitments is for reporting purpose only and does not constitute in any way a legal obligation arising from resolution 1540 or its successive resolutions.

OP 1 and related matters from OP 5, OP 6, OP 8 (a), (b), (c) and OP 10

State: Slovakia

Date: 14 December 2016

<i>Legally binding instruments, organizations, codes of conduct, arrangements, statements and other issues.</i>		<i>YES</i>	<i>If YES, relevant information (i.e. signing, accession, ratification, etc.)</i>	<i>Remarks (information refers to the page of the English version of the national report or an official website)</i>
1	Nuclear Non-Proliferation Treaty (NPT)	X	Succession 1 January 1993 (further referred as "NPT Succession")	
2	Nuclear Weapons Free Zone/ Protocol(s)			

	<i>Legally binding instruments, organizations, codes of conduct, arrangements, statements and other issues.</i>	<i>YES</i>	<i>If YES, relevant information (i.e. signing, accession, ratification, etc.)</i>	<i>Remarks (information refers to the page of the English version of the national report or an official website)</i>
3	Convention for the Suppression of Acts of Nuclear Terrorism	X	Ratified 28 March 2006; Deposited 28 March 2006	
4	Convention on Physical Protection of Nuclear Material (CPPNM)	X	Succession 1 January 1993	
5	2005 Amendment to the CPPNM	X	Ratified 19 October 2007; deposited 7 March 2013	
6	Comprehensive Nuclear-Test-Ban Treaty (CTBT)	X	Ratified 3 March 1998	
7	Chemical Weapons Convention (CWC)	X	Signed 14.01.1993, ratified 17. 10.1995, deposited 27.10.1995, in force 29.4.1997	
8	Biological Weapons Convention (BWC)	X	Succession 1 January 1993 (further referred as “BWC Succession”)	
9	Geneva Protocol of 1925	X	Succession 1 January 1993	
10	Other Conventions/Treaties	X	1. EURATOM Treaty 2. Treaty on the Prohibition of the Emplacement of Nuclear Weapons and Other Weapons of Mass Destruction on the Sea-bed and the Ocean Floor and in the Subsoil Thereof (Seabed Treaty) — Succession 1 January 1993 3. Antarctic Treaty — Succession 1 January 1993 4. Treaty on Principles Governing the Activities of States in the Exploration and Use of Outer Space, including the Moon	

Legally binding instruments, organizations, codes of conduct, arrangements, statements and other issues.		YES	If YES, relevant information (i.e. signing, accession, ratification, etc.)	Remarks (information refers to the page of the English version of the national report or an official website)
			and Other Celestial Bodies (Outer Space Treaty) — Succession 1 January 1993 5. Slovakia ratified 14 UN conventions and Protocols on Terrorism (including 1999 International Convention for the Suppression of the Financing of Terrorism, 1997 International Convention for the Suppression of Terrorist Bombings, 1988 Convention for the Suppression of Unlawful Acts against the Safety of Maritime Navigation and 1988 Protocol for the Suppression of Unlawful Acts against the Safety of Fixed Platforms Located on the Continental Shelf)	
11	International Atomic Energy Agency (IAEA)	X	Member since 1993	
12	Hague Code of Conduct (HCOC)	X	Subscribed 25 November 2002	
13	Other Arrangements	X	1. Australia Group (AG) 2. Nuclear Suppliers Group (NSG) 3. Wassenaar Arrangement (WA) 4. Zangger Committee (ZC) 5. Proliferation Security Initiative (PSI) 6. Global Initiative to Combat Nuclear Terrorism (GICNT)	
14	General statement on non-possession of WMD	X	Slovakia does not possess any WMD	

Legally binding instruments, organizations, codes of conduct, arrangements, statements and other issues.		YES	If YES, relevant information (i.e. signing, accession, ratification, etc.)	Remarks (information refers to the page of the English version of the national report or an official website)
15	General statement on commitment to disarmament and non-proliferation	X	European Union Strategy against Proliferation of WMD. WMD clause in European Union-Third countries Agreements.	
16	General statement on non-provision of WMD and related materials to non-State actors	X	Slovakia does not provide WMD and related materials to non-State actors.	
17	Other ¹	X	Member of EU, IMO, INTERPOL, CoE, OIE, OSCE, WHO, WCO/signed WCO Declaration on implementation of Framework of Standards to secure and facilitate global trade (SAFE Framework of Standards), Council of Europe Committee of Experts on the Evaluation of Anti-Money Laundering Measures and the Financing of Terrorism (MONEYVAL), Egmont Group, Revised Cotonou Partnership Agreement (CPA II)	

¹ Including, as appropriate, information with regard to membership in relevant international, regional or subregional organizations.

OP 2 — Nuclear Weapons (NW), Chemical Weapons (CW) and Biological Weapons (BW)

State: Slovakia
Date: 14 December 2016

S/AC.44/2016/8

Does national legislation exist which prohibits persons or entities to engage in one of the following activities? Can violators be penalized?		National legal framework				Enforcement: civil/criminal penalties and others				Remarks
		YES			If YES, source document of national implementation law	YES			If YES, source document	
		NW	CW	BW		NW	CW	BW		
1	Manufacture/ produce	X	X	X	NW: 1. NPT Succession 2. Act 541/2004 on the Peaceful Use of Nuclear Energy as amended (further referred as Atomic Act) CW: Act 129/1998 on Prohibition of Chemical Weapons (further referred as CW Prohibition Act) BW: 1. BWC Succession 2. Act 218/2007 on Prohibition of Biological Weapons (further referred as BW Prohibition Act)	X	X	X	NW/CW/BW: Act 300/2005 Penal Code as amended (further referred as Penal Code), § 298, 299, 419 NW: Atomic Act, § 3 CW: CW Prohibition Act, § 41 BW: BW Prohibition Act, § 23, 24	
2	Acquire	X	X	X	NW: 1. NPT Succession	X	X	X	NW/CW/BW: Penal Code, § 298, 299, 419 NW: Atomic Act, § 3	

Does national legislation exist which prohibits persons or entities to engage in one of the following activities? Can violators be penalized?		National legal framework				Enforcement: civil/criminal penalties and others				Remarks
		YES			If YES, source document of national implementation law	YES			If YES, source document	
		NW	CW	BW		NW	CW	BW		
					2. Atomic Act CW: CW Prohibition Act BW: 1. BWC Succession 2. BW Prohibition Act				CW: CW Prohibition Act, §41 BW: BW Prohibition Act, §23, 24	
3	Possess	X	X	X	NW: 1. NPT Succession 2. Atomic Act CW: CW Prohibition Act BW: 1. BWC Succession 2. BW Prohibition Act	X	X	X	NW/CW/BW: Penal Code, §298, 299, 419 NW: Atomic Act, §3 CW: CW Prohibition Act, §41 BW: BW Prohibition Act, §23, 24	
4	Stockpile/store	X	X	X	NW: 1. NPT Succession 2. Atomic Act CW: CW Prohibition Act BW: 1. BWC Succession 2. BW Prohibition Act	X	X	X	NW/CW/BW: Penal Code, §298, 299, 419 NW: Atomic Act, §3 CW: CW Prohibition Act, §41 BW: BW Prohibition Act, §23, 24	
5	Develop	X	X	X	NW: 1. NPT Succession	X	X	X	NW/CW/BW: Penal Code, §298, 299, 419	

Does national legislation exist which prohibits persons or entities to engage in one of the following activities? Can violators be penalized?		National legal framework				Enforcement: civil/criminal penalties and others				Remarks
		YES			If YES, source document of national implementation law	YES			If YES, source document	
		NW	CW	BW		NW	CW	BW		
					2. Atomic Act CW: CW Prohibition Act BW: 1. BWC Succession 2. BW Prohibition Act				NW: Atomic Act, § 3 CW: CW Prohibition Act, § 41 BW: BW Prohibition Act, § 23, 24	
6	Transport	X	X	X	NW: 1. NPT Succession 2. Atomic Act CW: CW Prohibition Act BW: 1. BWC Succession 2. BW Prohibition Act	X	X	X	NW/CW/BW: Penal Code, § 298, 299, 419 NW: Atomic Act, § 3 CW: CW Prohibition Act, § 41 BW: BW Prohibition Act, § 23, 24	
7	Transfer	X	X	X	NW: 1. NPT Succession 2. Atomic Act CW: CW Prohibition Act BW: 1. BWC Succession 2. BW Prohibition Act	X	X	X	NW/CW/BW: Penal Code, § 298, 299, 419 NW: Atomic Act, § 3 CW: CW Prohibition Act, § 41 BW: BW Prohibition Act, § 23, 24	
8	Use	X	X	X	NW: 1. NPT Succession	X	X	X	NW/CW/BW: Penal Code, § 298, 299, 419	

Does national legislation exist which prohibits persons or entities to engage in one of the following activities? Can violators be penalized?		National legal framework				Enforcement: civil/criminal penalties and others				Remarks
		YES			If YES, source document of national implementation law	YES			If YES, source document	
		NW	CW	BW		NW	CW	BW		
					2. Atomic Act CW: CW Prohibition Act BW: BW Prohibition Act				NW: Atomic Act, § 3 CW: CW Prohibition Act, § 41 BW: BW Prohibition Act, § 23, 24	
9	Participate as an accomplice in above-mentioned activities	X	X	X	NW/CW/BW: Penal Code	X	X	X	NW/CW/BW: Penal Code, § 298, 299, 419	
10	Assist in above-mentioned activities	X	X	X	NW/CW/BW: Penal Code	X	X	X	NW/CW/BW: Penal Code, § 298, 299, 419	
11	Finance above-mentioned activities	X	X	X	NW/CW/BW: 1. Penal Code 2. Banking Act n. 483 of 2001 amending with the introduction of § 56a the Foreign Economic Relations Act n. 42 of 1980 3. Act No 297/2008 on the prevention of money laundering and terrorist financing	X	X	X	NW/CW/BW: 1. Penal Code, § 21 in connection to § 232, 233, 298, 299 and 419 2. Act No 297/2008 on the prevention of money laundering and terrorist financing, §32, 33	
12	Above-mentioned activities	X	X	X	BW:	X	X	X	BW: BW Prohibition Act, § 23, 24	

Does national legislation exist which prohibits persons or entities to engage in one of the following activities? Can violators be penalized?		National legal framework				Enforcement: civil/criminal penalties and others				Remarks
		YES			If YES, source document of national implementation law	YES			If YES, source document	
		NW	CW	BW		NW	CW	BW		
	related to means of delivery ²				BW Prohibition Act, § 3 Means of delivery NW, BW, CW: 1. Council Regulation (EC) n. 428/2009 2. Act 39/2011 on Dual Use Items				Means of delivery NW, BW, CW: Act 39/2011 on Dual Use Items, § 32, 33	
13	Involvement of non-State actors in above-mentioned activities	X	X	X	NW/CW/BW: Penal Code	X	X	X	NW/CW/BW: Penal Code, §§ 298, 299 and 419	
14	Other									

² Means of delivery: missiles, rockets and other unmanned systems capable of delivering nuclear, chemical, or biological weapons, that are specially designed for such use.

OP 3 (a) and (b) — Account for/Secure/Physically protect NW, CW and BW, including Related Materials3

State: Slovakia

Date: 14 December 2016

Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect NW, CW, BW and Related Materials? Can violators be penalized?		National legal framework				Enforcement: civil/criminal penalties and others				Remarks
		YES			If YES, source document	YES			If YES, source document	
		NW	CW	BW*		NW	CW	BW		
1	Measures to account for production	X	X	X	NW: 1. Atomic Act and relevant regulations of the Nuclear Regulatory Authority of the Slovak Republic (further referred as <u>NRA SR</u>) 2. Commission Regulation (EURATOM) 302/2005 on the application of EURATOM safeguards (Commission Regul. 302/2005/EURATOM) 3. INFCIRC/193 (accession 2005) CW: CW Prohibition Act BW: BW Prohibition Act	X	X	X	NW/CW/BW: Penal Code, § 298, 299, 419 NW: Atomic Act and relevant regulations of the Nuclear Regulatory Authority of the Slovak Republic (further referred as <u>NRA SR</u>), including the State System of Accounting for and Control of Nuclear Materials (SSAC) based on the IAEA Safeguards Agreement and the Additional Protocol CW: 1. CW Prohibition Act and relevant regulations of Ministry of Economy as the National Authority for prohibition of CW 2. Act 67/2010 on chemical substances and preparations (further referred as <u>Chemical Act</u>) BW:	

Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect NW, CW, BW and Related Materials? Can violators be penalized?		National legal framework				Enforcement: civil/criminal penalties and others				Remarks
		YES			If YES, source document	YES			If YES, source document	
		NW	CW	BW*		NW	CW	BW		
									BW Prohibition Act and relevant regulations of Ministry of Health as the National Authority for prohibition of BW	
2	Measures to account for use	X	X	X	NW: 1. Atomic Act + relevant NRA SR regulations 2. Commission Regul. 302/2005/EURATOM 3. INFCIRC/193 (accession 2005) CW: CW Prohibition Act BW: BW Prohibition Act	X	X	X	NW/CW/BW: Penal Code, §298, 299, 419 NW: Atomic Act and relevant regulations of the NRA SR, including the SSAC CW: 1. CW Prohibition Act and relevant regulations of Ministry of Economy 2. Chemical Act BW: BW Prohibition Act and relevant regulations of Ministry of Health	
3	Measures to account for storage	X	X	X	NW: 1. Atomic Act + relevant NRA SR regulations 2. Commission Regulation	X	X	X	NW/CW/BW: Penal Code, §298, 299, 419 NW: Atomic Act + relevant NRA SR	

Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect NW, CW, BW and Related Materials? Can violators be penalized?		National legal framework				Enforcement: civil/criminal penalties and others				Remarks
		YES			If YES, source document	YES			If YES, source document	
		NW	CW	BW*		NW	CW	BW		
					302/2005/EURATOM 3. INFCIRC/193 (accession 2005) CW: CW Prohibition Act BW: BW Prohibition Act				regulations concerning the storage of nuclear material, including the SSAC CW: 1. CW Prohibition Act and relevant regulations of Ministry of Economy 2. Chemical Act BW: BW Prohibition Act and relevant regulations of Ministry of Health	
4	Measures to account for transport	X	X	X	NW: Atomic Act + relevant NRA SR regulations CW: 1. Council Directive 2008/68/EC 2. CW Prohibition Act BW: Council Directive 2008/68/EC	X	X	X	NW: Atomic Act + relevant NRA SR regulations, including the SSAC CW: 1. CW Prohibition Act and regulations of Ministry of Economy 2. Chemical Act BW: BW Prohibition Act and relevant	

Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect NW, CW, BW and Related Materials? Can violators be penalized?		National legal framework				Enforcement: civil/criminal penalties and others				Remarks
		YES			If YES, source document	YES			If YES, source document	
		NW	CW	BW*		NW	CW	BW		
									regulations of Ministry of Health	
5	Other measures for accounting	X			NW: 1. Council Directive 2003/122/ EURATOM 2. Directive 96/29/EURATOM	X			NW: The State System of Accounting for and Control of Nuclear Materials (SSAC) based on the Safeguards Agreement between the IAEA and the Slovak Government. The regulatory activity in the field of the accounting for and control of nuclear materials also includes review and processing of the reports of any nuclear material inventory change sent to the NRA SR by nuclear material users, elaboration and submission of advance notifications, special and accountancy reports for the IAEA	
6	Measures to secure production	X	X		NW: Atomic Act + relevant NRA SR regulations CW: 1. Directive 98/24/EC	X	X	X	NW: 1. Atomic Act + relevant NRA SR regulations, including the SSAC and control of high activity sealed radioactive sources (HASS) 2. Penal Code, § 298	

Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect NW, CW, BW and Related Materials? Can violators be penalized?		National legal framework				Enforcement: civil/criminal penalties and others				Remarks
		YES			If YES, source document	YES			If YES, source document	
		NW	CW	BW*		NW	CW	BW		
					2. CW Prohibition Act 3. Chemical Act				CW: 1. Penal Code, § 298 2. Chemical Act BW: Penal Code, § 298	
7	Measures to secure use	X	X	X	NW: Atomic Act + relevant NRA SR regulations CW: 1. Directive 98/24/EC 2. CW Prohibition Act 3. Chemical Act BW: 1. Directive 2000/54/EC 2. Regulation (EC) of the European Parliament and the Council n. 851/2004 3. BW Prohibition Act	X	X	X	NW: 1. Atomic Act + relevant NRA SR regulations, including the SSAC and control of HASS 2. Penal Code, § 298 CW: 1. Penal Code, § 298 2. Chemical Act BW: Penal Code, § 298	
8	Measures to	X	X	X	NW:	X	X	X	NW:	

Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect NW, CW, BW and Related Materials? Can violators be penalized?		National legal framework				Enforcement: civil/criminal penalties and others				Remarks
		YES			If YES, source document	YES			If YES, source document	
		NW	CW	BW*		NW	CW	BW		
	secure storage				Atomic Act + relevant NRA SR regulations CW: 1. Directive 98/24/EC 2. CW Prohibition Act 3. Chemical Act BW: 1. Directive 2000/54/EC 2. BW Prohibition Act				1. Atomic Act + relevant NRA SR regulations, including the SSAC and control of HASS 2. Penal Code, § 298 CW: 1. Penal Code, § 298 2. Chemical Act BW: Penal Code, § 298	
9	Measures to secure transport	X	X	X	NW: Council Directive 2008/68/EC CW: 1. Council Directive 2008/68/EC 2. Regulation (EC) No 1272/2008 of the European Parliament and of the Council of 16 December 2008 on classification, labelling and packaging of substances and mixtures	X	X	X	NW: 1. Directive 92/3 EURATOM: prior notification of shipments 2. Route charting by NRA SR CW: 1. Party to ADR and RID agreements 2. Penal Code, § 298 BW: 1. Party to ADR and RID agreements 2. Penal Code, § 298	

Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect NW, CW, BW and Related Materials? Can violators be penalized?		National legal framework				Enforcement: civil/criminal penalties and others				Remarks
		YES			If YES, source document	YES			If YES, source document	
		NW	CW	BW*		NW	CW	BW		
					3. CW Prohibition Act BW: Council Directive 2008/68/EC					
10	Other measures for securing	X	X	X	NW/CW/BW: Act 355/2007 on Public Health Protection	X	X	X	NW/CW/BW: Act 355/2007 on Public Health Protection	
11	Regulations for physical protection of facilities/ materials/ transports	X	X	X	NW: Atomic Act CW: Act 67/2010 (Chemical Act) BW: BW Prohibition Act	X	X	X	NW: Protection system and a set of technical tools and organizational measures to prevent unauthorized use of facilities, materials, etc. CW: Act 67/2010 (Chemical Act), §38 BW: BW Prohibition Act, §23, §24	
12	Licensing/ registration of installations/ facilities/ persons/entities/ use/handling of materials	X	X	X	NW: Atomic Act CW: 1. CW Prohibition Act 2. Chemical Act BW: 1. Directive 2000/54/EC	X	X	X	NW/CW/BW: Penal Code, § 298 NW: Atomic Act CW: 1. CW Prohibition Act, § 41 2. Chemical Act, §38 BW:	

Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect NW, CW, BW and Related Materials? Can violators be penalized?		National legal framework				Enforcement: civil/criminal penalties and others				Remarks
		YES			If YES, source document	YES			If YES, source document	
		NW	CW	BW*		NW	CW	BW		
					2. BW Prohibition Act				BW Prohibition Act, §23, §24	
13	Reliability of personnel check of personnel	X	X	X	NW: Atomic Act CW: CW Prohibition Act BW: BW Prohibition Act	X	X	X	NW: Atomic Act CW: CW Prohibition Act, §§ 11, 12: A responsible person shall be deemed to have a clean criminal record for the purposes hereof if such person, who: a) was not convicted for an offence whose merits are connected with the activity to be licensed, b) was not convicted for deliberate offence.) BW: BW Prohibition Act, §12	
14	Measures to account for/secure/physically protect means of delivery									

³ Related materials: materials, equipment and technology covered by relevant multilateral treaties and arrangements, or included on national control lists, which could be used for the design, development, production or use of nuclear, chemical and biological weapons and their means of delivery.

* Information required in this section may also be available in the State's Confidence Building Measures report, if submitted to the BWC Implementation Support Unit (online at: [http://www.unog.ch/80256EE600585943/\(httpPages\)/4FA4DA37A55C7966C12575780055D9E8?OpenDocument](http://www.unog.ch/80256EE600585943/(httpPages)/4FA4DA37A55C7966C12575780055D9E8?OpenDocument)).

OP 3 (a) and (b) — Account for/Secure/Physically protect NW including Related Materials (NW specific)

State: Slovakia
Date: 14 December 2016

Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect NW and Related Materials? Can violators be penalized?		National legal framework		Enforcement: civil/criminal penalties and others		Remarks
		YES	If YES, source document	YES	If YES, source document	
1	National regulatory authority	X	Nuclear Regulatory Authority of the Slovak Republic (NRA SR) Act No. 575/2001 as Amended (Act on Competences) Act 541/2004 on the Peaceful Use of Nuclear Energy as amended (Atomic Act)	X	Nuclear Regulatory Authority of the Slovak Republic (NRA SR)	
2	IAEA Safeguards Agreements	X	INFCIRC/193 (Accession 2005)	X	Nuclear Regulatory Authority of the Slovak Republic, Penal Code	
3	IAEA Code of Conduct on Safety and Security of Radioactive Sources	X	Expressed support	X	Point of contact: Public Health Authority of the Slovak Republic (Ministry of Health) http://www.uvzsr.sk/en/	
4	Supplementary Guidance on the Import and Export of Radioactive Sources of the Code of Conduct on the	X	Expressed support	X	Expressed support	

Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect NW and Related Materials? Can violators be penalized?		National legal framework		Enforcement: civil/criminal penalties and others		Remarks
		YES	If YES, source document	YES	If YES, source document	
	Safety and Security of Radioactive Sources					
5	IAEA Database on Illicit Trafficking of Nuclear Materials and other Radioactive Sources	X	Participates	X	Participates	
6	Other Agreements related to IAEA	X	1. Convention on Early Notification of a Nuclear Accident, in force 1993 2. Convention on Assistance in the Case of a Nuclear Accident or Radiological Emergency, in force 1993 3. Convention on Nuclear Safety, in force 1996 4. Vienna Convention on Civil Liability for Nuclear Damage, in force 1995 5. Revised Supplementary Agreement Concerning the provision of technical Assistance by the IAEA, in force 1995 6. Agreement on the Privileges and immunities of the IAEA , in force 1993 7. Joint Protocol Relating to the Application of the Vienna Convention and the Paris Convention, in force 1995	X	1. Convention on Early Notification of a Nuclear Accident, in force 1993 2. Convention on Assistance in the Case of a Nuclear Accident or Radiological Emergency, in force 1993 3. Convention on Nuclear Safety, in force 1996 4. Vienna Convention on Civil Liability for Nuclear Damage, in force 1995 5. Revised Supplementary Agreement Concerning the provision of technical Assistance by the IAEA, in force 1995 6. Agreement on the Privileges and immunities of the IAEA, in force 1993 7. Joint Protocol Relating to the Application of the Vienna Convention and the Paris Convention, in force 1995 8. Joint Convention on the safety of Spent Fuel Management and on the Safety of Radioactive Waste Management, 2001	

Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect NW and Related Materials? Can violators be penalized?		National legal framework		Enforcement: civil/criminal penalties and others		Remarks
		YES	If YES, source document	YES	If YES, source document	
			8. Joint Convention on the safety of Spent Fuel Management and on the Safety of Radioactive Waste Management, 2001			
7	Additional national legislation/ regulations related to nuclear materials including CPPNM					
8	Other					

OP 3 (a) and (b) — Account for/Secure/Physically protect CW including Related Materials (CW specific)

State: Slovakia
Date: 14 December 2016

Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect CW and Related Materials? Can violators be penalized?		National legal framework		Enforcement: civil/criminal penalties and others		Remarks
		YES	If YES, source document	YES	If YES, source document	
1	National authority CWC	X	Ministry of Economy (CW Prohibition Act, § 3) Act No. 129/1998 on the Prohibition of Chemical Weapons (CW Prohibition Act)	X	Ministry of Economy (CW Prohibition Act, § 3)	
2	Reporting Schedule I, II and III chemicals to OPCW	X	CW Prohibition Act	X	CW Prohibition Act, §3	
3	Account for, secure or physically protect “old chemical weapons”	X	CW Prohibition Act	X	CW Prohibition Act, §5	
4	Other legislation/regulations controlling chemical materials	X	Act 67/2010 on chemical substances and preparations (Chemical Act)	X	Chemical Act, §§ 33-38 (administrative offences, fines)	
5	Other					

OP 3 (a) and (b) — Account for/Secure/Physically protect BW including Related Materials (BW specific)

State: Slovakia

Date: 14 December 2016

Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect BW and Related Materials? Can violators be penalized?		National legal framework		Enforcement: civil/criminal penalties and others		Remarks
		YES	If YES, source document	YES	If YES, source document	
1	Regulations for genetic engineering work	X	<p>Directive 2001/18/EC of the European Parliament and of the Council on the deliberate release into the environment</p> <p>Regulation (EC) No 1830/2003 of the European Parliament and of the Council concerning the traceability and labelling of genetically modified organisms and the traceability of food and feed products produced from genetically modified organisms and amending Directive 2001/18/EC</p> <p>Directive 2009/41/EC of the European Parliament and of the Council on the contained use of genetically modified micro-organisms</p> <p>Act 151/2002 on use of genetic technologies and genetically modified organisms, as amended</p> <p>Act 263/2015 on terms and conditions for access to genetic resources and utilizing benefits resulting from their use</p>	X	<p>Act 151/2002 on use of genetic technologies and genetically modified organisms, as amended</p> <p>Act 263/2015 on terms and conditions for access to genetic resources and utilizing benefits resulting from their use; § 10-11 Offences; Ministry of Environment as the National Regulation Authority for use of genetic resources; cooperation with Ministry of Economy and other agencies, e.g.:</p> <ul style="list-style-type: none"> – Central Control and Testing Institute in Agriculture/Ministry of Agriculture – State Institute for Medicament Control/Ministry of Health – Institute for State Control of Veterinary Biologicals and Medicaments – Public Health Authority of the Slovak Republic/Ministry of Health <p>Act No. 300/2005 Penal Code, § 161, §309</p>	

Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect BW and Related Materials? Can violators be penalized?		National legal framework		Enforcement: civil/criminal penalties and others		Remarks
		YES	If YES, source document	YES	If YES, source document	
2	Other legislation/ regulations related to safety and security of biological materials	X	Regulation of Ministry of Environment No. 399/2005 (as amended by reg. 312/2008 and reg. 86/2013) on implementation of the Act No. 151/2002 on use of genetic technologies and genetically modified organisms, as amended	X	Regulation of Ministry of Environment No. 399/2005 (as amended by reg. 312/2008 and reg. 86/2013) on implementation of the Act No. 151/2002 on use of genetic technologies and genetically modified organisms, as amended	
3	Other					

OP 3 (c) and (d) and related matters from OP 6 and OP 10 — Controls of NW, CW and BW, including Related Materials

State:

Slovakia

Date:

14 December 2016

Which of the following legislation, procedures, measures, agencies exist to control border crossings, export/import and other transfers of NW, CW, BW and Related Materials? Can violators be penalized?		National legal framework				Enforcement: civil/criminal penalties, and measures of implementation, etc.				Remarks
		YES			If YES, source document	YES			If YES, source document	
		NW	CW	BW		NW	CW	BW		
1	Border control	X	X	X	NW/CW/BW: 1. Council Regulation no. 2913/1992 (Community Customs Code) 2. Commission Regulation no. 2454/1993 (Implementing Provisions to the Community Customs Code) 3. EU Regulation no. 648 of 13 April 2005 (Security Amendment) 4. Regulation (EC) No 1889/2005 on controls of cash entering or leaving the Community 5. Customs Act 199/2004 6. Penal Code	X	X	X	NW/CW/BW: 1. Customs Act 199/2004 2. Act No. 333/2011 on Financial Administration (incl. custom offices and Financial Administration/Office of Criminal Investigation) 3. Penal Code, §§ 255-257, 294, 295, 298, 299	

Which of the following legislation, procedures, measures, agencies exist to control border crossings, export/import and other transfers of NW, CW, BW and Related Materials? Can violators be penalized?		National legal framework				Enforcement: civil/criminal penalties, and measures of implementation, etc.				Remarks
		YES			If YES, source document	YES			If YES, source document	
		NW	CW	BW		NW	CW	BW		
2	Technical support of border control measures	X			NW: 1. Council Regulation no. 2913/1992 (Community Customs Code) 2. EU Regulation no. 648 of 13 April 2005 (Security Amendment) 3. New Computerised Transit System (NCTS) — Security and Safety Aspects is to be updated in its features as required by Regulation (EC) 648/2005	X			NW: Portable x-ray, pagers for detection of radioactive substances	
3	Control of brokering, trading in, negotiating, otherwise assisting in sale of goods and technology	X	X	X	NW/CW/BW: Council regulation (EC) No 428/2009 — Community regime for the control of exports, transfer, brokering and transit of dual-use items (Council Regulation (EC) n. 428/2009 as amended) Act 39/2011 on Dual Use	X	X	X	NW/CW/BW: 1. Act 39/2011 on Dual Use Items 2. Act 392/2011 on Trade with Defence Industry Products	

Which of the following legislation, procedures, measures, agencies exist to control border crossings, export/import and other transfers of NW, CW, BW and Related Materials? Can violators be penalized?		National legal framework				Enforcement: civil/criminal penalties, and measures of implementation, etc.				Remarks
		YES			If YES, source document	YES			If YES, source document	
		NW	CW	BW		NW	CW	BW		
					Items					
4	Enforcement agencies/ authorities	X	X	X	1. Customs Act 199/2004 2. Memoranda on co-operation between the customs authorities and technical research institutes in 2004. The memoranda provide for an important tool in identifying, specifying and categorizing of various potential dual-use items				NW/CW/BW: Ministry of Finance/Financial Administration (Custom Offices, Office of Criminal Investigation) Ministry of Interior/Criminal Police Bureau	
5	Export control legislation in place	X	X	X	NW/CW: 1. Council Regulation (EC) n. 428/2009 2. Council Regulation No. 2913/1992 (Community Customs Code) 3. Commission Regulation No. 2454/1993 (Implementing Provisions of the Community Customs Code) 4. Act 39/2011 on Dual Use	X	X	X	NW/CW/BW: 1. Act 39/2011 on Dual Use Items 2. Act 392/2011 on Trade with Defence Industry Products CW: CW Prohibition Act, § 19 (Highly dangerous chemicals may be exported or imported only if the licence is issued for export and import of highly dangerous chemicals for the research, medical, pharmaceutical or protective purposes)	

Which of the following legislation, procedures, measures, agencies exist to control border crossings, export/import and other transfers of NW, CW, BW and Related Materials? Can violators be penalized?		National legal framework				Enforcement: civil/criminal penalties, and measures of implementation, etc.				Remarks
		YES				YES				
		NW	CW	BW		NW	CW	BW		
					If YES, source document				If YES, source document	
					Items 5. Act No. 392/2011 on trade with defence industry products 6. Customs Act 199/2004 CW: CW Prohibition Act BW: BW Prohibition Act				BW: BW Prohibition Act	
6	Licensing provisions	X	X	X	NW/CW/BW: 1. Council Regulation (EC) n. 428/2009 2. Act 39/2011 on Dual Use Items 3. Act 392/2011 on Trade with Defence Industry Products CW: 1. CW Prohibition Act 2. Act No. 392/2011 on trade with defence industry products	X	X	X	NW/CW/BW: 1. Act 39/2011 on Dual Use Items 2. Act 392/2011 on Trade with Defence Industry Products CW: CW Prohibition Act, §. 21-24	
7	Individual licensing	X	X	X	NW/CW/BW:	X	X	X	NW/CW/BW:	

Which of the following legislation, procedures, measures, agencies exist to control border crossings, export/import and other transfers of NW, CW, BW and Related Materials? Can violators be penalized?		National legal framework				Enforcement: civil/criminal penalties, and measures of implementation, etc.				Remarks
		YES			If YES, source document	YES			If YES, source document	
		NW	CW	BW		NW	CW	BW		
					1. Council Regulation (EC) n. 428/2009 as amended 2. Act 39/2011 on Dual Use Items CW: 1. CW Prohibition Act 2. Act No. 392/2011 on trade with defence industry products				1. Act 39/2011 on Dual Use Items 2. Act 392/2011 on Trade with Defence Industry Products 3. Customs Act No. 199/2004, Art. 73	
8	General licensing	X	X	X	NW/CW/BW: 1. Council Regulation (EC) n. 428/2009 as amended 2. Act 39/2011 on Dual Use Items CW: 1. CW Prohibition Act 2. Act 392/2011 on Trade with Defence Industry Products	X	X	X	NW/CW/BW: 1. Act 39/2011 on Dual Use Items 2. Act 392/2011 on Trade with Defence Industry Products	
9	Exceptions from	X	X	X	NW/CW/BW:	X	X	X	NW/CW/BW: An authorization shall be required	

Which of the following legislation, procedures, measures, agencies exist to control border crossings, export/import and other transfers of NW, CW, BW and Related Materials? Can violators be penalized?		National legal framework				Enforcement: civil/criminal penalties, and measures of implementation, etc.				Remarks
		YES			If YES, source document	YES			If YES, source document	
		NW	CW	BW		NW	CW	BW		
	licensing				1. Council Regulation (EC) n. 428/2009 as amended 2. Act 39/2011 on Dual Use Items				for intra-Community transfers of dual-use items listed in Annex IV. Items listed in Part 2 of Annex IV shall not be covered by a general authorization	
10	Licensing of deemed export/visa	X	X	X	NW/CW/BW: Council Joint Action of 22 June 2000 concerning the control of technical assistance related to certain military end-uses					
11	National licensing authority	X	X	X	NW/CW/BW: 1. Council Regulation (EC) n. 428/2009, Art. 9: for all other exports for which an authorisation is required under the Regulation, leaves to the national authorities the final decision as to whether to grant a national, global or individual export licence 2. Act 39/2011 on Dual Use Items	X	X	X	NW: Nuclear Regulatory Authority — ÚJD CW: Ministry of Economy, Division of Trade Measures (CWC National Authority, National Authority for Export Control of Military and Dual-Use Goods) BW: BWC National Authority/ Ministry of Health	

Which of the following legislation, procedures, measures, agencies exist to control border crossings, export/import and other transfers of NW, CW, BW and Related Materials? Can violators be penalized?		National legal framework				Enforcement: civil/criminal penalties, and measures of implementation, etc.				Remarks
		YES			If YES, source document	YES			If YES, source document	
		NW	CW	BW		NW	CW	BW		
					3. Act 392/2011 on Trade with Defence Industry Products					
12	Inter-agency review for licences	X	X	X	NW/CW/BW: 1. Council regulation (EC) No 428/2009 Community regime for the control of exports, transfer, brokering and transit of dual-use items sets up a Coordination Group (Article 23) chaired by the Commission, at which each Member State is represented 2. Act 39/2011 on Dual Use Items 3. Act 392/2011 on Trade with Defence Industry Products	X	X	X	NW: Nuclear Regulatory Authority — ÚJD CW: Ministry of Economy, Ministry of Foreign and European Affairs, Ministry of Defence, Ministry of Interior, Financial Administration/Office of Criminal Investigation, Slovak Intelligence Service BW: BWC National Authority/ Ministry of Health	
13	Control lists	X	X	X	NW/CW/BW: 1. Council Regulation (EC) n. 428/2009 as amended 2. Act 39/2011 on Dual Use	X	X	X	NW/CW/BW: 1. Council regulation (EC) n. 428/2009, Annex 1 2. Common Military List of the European Union as amended	

Which of the following legislation, procedures, measures, agencies exist to control border crossings, export/import and other transfers of NW, CW, BW and Related Materials? Can violators be penalized?		National legal framework				Enforcement: civil/criminal penalties, and measures of implementation, etc.				Remarks
		YES			If YES, source document	YES			If YES, source document	
		NW	CW	BW		NW	CW	BW		
					Items				3. Regulation of Ministry of Economy as periodically amended: List of Defence Industry Products	
14	Updating of lists	X	X	X	NW/CW/BW: 1. Council Regulation (EC) n. 428/2009 as amended 2. Act 39/2011 on Dual Use Items	X	X	X	NW/CW/BW: Council Regulation (EC) n. 428/2009, Art. 15; The lists of dual-use items set out in Annex I and Annex IV shall be updated in conformity with the relevant obligations and commitments, and any modification thereof, that each Member State has accepted as a member of the international non-proliferation regimes and export control arrangements, or by ratification of the relevant international treaties	
15	Inclusion of technologies	X	X	X	NW/CW/BW: 1. Council Regulation (EC) n. 428/2009 as amended 2. Act 39/2011 on Dual Use Items	X	X	X	NW/CW/BW: Council Regulation (EC) n. 428/2009, Art. 24	
16	Inclusion of means of delivery	X	X	X	NW/CW/BW: 1. Council Regulation (EC) n. 428/2009 as amended 2. Act 39/2011 on Dual Use	X	X	X	NW/CW/BW: Council Regulation (EC) n. 428/2009, Art. 24	

Which of the following legislation, procedures, measures, agencies exist to control border crossings, export/import and other transfers of NW, CW, BW and Related Materials? Can violators be penalized?		National legal framework				Enforcement: civil/criminal penalties, and measures of implementation, etc.				Remarks
		YES			If YES, source document	YES			If YES, source document	
		NW	CW	BW		NW	CW	BW		
					Items					
17	End-user controls	X	X	X	NW/CW/BW: 1. Council Regulation (EC) n. 428/2009 2. EU Regulation n. 648/2005 (Security Amendment) 3. Act 39/2011 on Dual Use Items 4. Act 392/2011 on Trade with Defence Industry Products	X	X	X	NW/CW/BW: 1. Council Regulation (EC) n. 428/2009, Art. 24 2. EU Regulation n. 648/2005 (Security Amendment), Article 1.14 3. Act 39/2011 on Dual Use Items	
18	Catch all clause	X	X	X	NW/CW/BW: Council Regulation (EC) n. 428/2009	X	X	X	NW/CW/BW: Council Regulation (EC) n. 428/2009, Art. 4, 24	
19	Intangible transfers	X	X	X	NW/CW/BW: Council Regulation (EC) n. 428/2009	X	X	X	NW/CW/BW: Act 39/2011 on Dual Use Items, §28	
20	Transit control	X	X	X	NW/CW/BW: 1. Council Regulation (EC)	X	X	X	NW/BW/CW: Council Regulation (EC) n. 428/2009, Art. 4, 24	

Which of the following legislation, procedures, measures, agencies exist to control border crossings, export/import and other transfers of NW, CW, BW and Related Materials? Can violators be penalized?		National legal framework				Enforcement: civil/criminal penalties, and measures of implementation, etc.				Remarks
		YES			If YES, source document	YES			If YES, source document	
		NW	CW	BW		NW	CW	BW		
					n. 428/2009 2. EU Regulation no. 648/2005 (Security Amendment) 3. Act 39/2011 on Dual Use Items 4. Act 392/2011 on Trade with Defence Industry Products 5. Customs Act No. 199/2004 §§ 15-16 CW: CW Prohibition Act, § 25 (Transit of highly dangerous chemical through the Slovak Republic shall take place only with certificate provided by the Ministry to the transport contractor)				CW: Act 129/1998, § 41	
21	Trans-shipment control	X	X	X	NW/CW/BW: 1. Council Regulation (EC) n. 428/2009	X	X	X	NW/CW/BW: 1. Council Regulation (EC) n. 428/2009	

Which of the following legislation, procedures, measures, agencies exist to control border crossings, export/import and other transfers of NW, CW, BW and Related Materials? Can violators be penalized?		National legal framework				Enforcement: civil/criminal penalties, and measures of implementation, etc.				Remarks
		YES			If YES, source document	YES			If YES, source document	
		NW	CW	BW		NW	CW	BW		
					2. EU Regulation no. 648/2005 (Security Amendment) 3. Act 39/2011 on Dual Use Items 4. Act 392/2011 on Trade with Defence Industry Products 5. Customs Act No. 199/2004 §§ 15-16				2. Customs Act No. 199/2004 §§ 15-16	
23	Control of providing funds				Council Regulation (EC) No. 2580 of 27 December 2001 on specific restrictive measures directed against certain persons and entities with a view to combating terrorism					
24	Control of providing transport services	X	X	X	NW/CW/BW: 1. Council Regulation (EC) n. 428/2009 2. EU Regulation no. 648/2005 (Security	X	X	X	NW/CW/BW: 1. Council Regulation (EC) n. 428/2009 2. Customs Act No. 199/2004 §§ 15-16	

Which of the following legislation, procedures, measures, agencies exist to control border crossings, export/import and other transfers of NW, CW, BW and Related Materials? Can violators be penalized?		National legal framework				Enforcement: civil/criminal penalties, and measures of implementation, etc.				Remarks
		YES			If YES, source document	YES			If YES, source document	
		NW	CW	BW		NW	CW	BW		
					Amendment) 3. Act 39/2011 on Dual Use Items 4. Act 392/2011 on Trade with Defence Industry Products 5. Customs Act No. 199/2004 §§ 15-16					
25	Control of importation	X	X	X	NW/CW/BW: 1. EU Regulation no. 648/2005 (Security Amendment), Article 1.14 2. Council Regulation No. 2913/1992 (Community Customs Code) 3. Commission Regulation No. 2454/1993 (Implementing Provisions of the Community Customs Code) 4. Customs Act 199/2004 5. Act 39/2011 on Dual Use	X	X	X	NW/CW/BW: Customs Act No. 199/2004 § 73	

Which of the following legislation, procedures, measures, agencies exist to control border crossings, export/import and other transfers of NW, CW, BW and Related Materials? Can violators be penalized?		National legal framework				Enforcement: civil/criminal penalties, and measures of implementation, etc.				Remarks
		YES			If YES, source document	YES			If YES, source document	
		NW	CW	BW		NW	CW	BW		
					Items CW: 1. CW Prohibition Act 2. Decree of the Government of the Slovak Republic No. 390/1997 on custom duties					
26	Extraterritorial applicability				N/A				N/A	
27	Other				N/A				N/A	

OP 6, 7 and 8 (d) — Control lists, Assistance, Information

State:

Slovakia

Date:

14 December 2016

Can information be provided on the following issues?		YES		Remarks
1	Control lists — items (goods/equipment/materials/technologies)	X	COUNCIL REGULATION (EC) No 428/2009 as amended — Community regime for the control of exports, transfer, brokering and transit of dual-use items Relevant regulations of Ministry of Economy	
2	Control lists — other			
3	Assistance offered	X	Prepared to consider any specific request from States lacking the legal and regulatory infrastructure or implementation experience	
4	Assistance requested		N/A	
5	Point of Contact for assistance	X	Ministry of Foreign and European Affairs of the Slovak Republic, Department of Disarmament and Counter-Terrorism, tel.: +421 2 5978 3621, fax: +421 2 5978 3629	
6	Assistance in place (bilateral/multilateral)			
7	Work with and inform industry	X	Seminars and individual consultations with industry on export controls	
8	Work with and inform the public	X	Information dissemination via Government websites	
9	Point of Contact	X	Ministry of Foreign and European Affairs of the Slovak Republic, Department of Disarmament and Counter-Terrorism, tel.: +421 2 5978 3621, fax: +421 2 5978 3629	
10	Other ⁴			

⁴ Information may include references to voluntary implementation national action plan and visits to States, at their invitation, by the 1540 Committee.