



# Conference of the Parties to the United Nations Convention against Transnational Organized Crime

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Vienna, 17–21 October 2022

Item 6 of the provisional agenda\*

**Financial and budgetary matters**

## **Implementation status of the Global Programme to Support the Mechanism for the Review of the Implementation of the United Nations Convention against Transnational Organized Crime and the Protocols thereto**

### **Report of the Secretariat**

## **I. Introduction**

1. At its ninth session, held in Vienna from 15 to 19 October 2018, the Conference of the Parties to the United Nations Convention against Transnational Organized Crime established the Mechanism for the Review of the Implementation of the United Nations Convention against Transnational Organized Crime and the Protocols thereto in resolution 9/1, to which the procedures and rules for the functioning of the Mechanism were annexed. After a two-year preparatory phase, the Conference of the Parties at its tenth session, held in Vienna from 12 to 16 October 2020, launched through resolution 10/1 the review process of the Mechanism.

2. The present report was prepared pursuant to the aforementioned procedures and rules, in which it is stated that the review of implementation of the Convention and the Protocols thereto and the Mechanism are under the authority of the Conference, in accordance with article 32 of the Convention, and that the Conference, without prejudice to the guiding principles and characteristics of the Mechanism, may conduct an evaluation of the organization, functioning, funding and performance of the review process, so as to amend and improve the existing Mechanism at any time.

3. In its resolution 9/1, the Conference stressed the importance of ensuring under future budget cycles the efficient, continued and impartial functioning of the Mechanism, in accordance with the provisions of the procedures and rules contained in the annex to the resolution. The Conference invited Member States and other donors to provide extrabudgetary resources in accordance with the rules and procedures of the United Nations and with the procedures and rules for the functioning of the Mechanism, including its paragraph 54.

4. According to paragraph 54 of the procedures and rules, the requirements of the Mechanism and its secretariat are to be funded, in their entirety, from the existing resources of the regular budget allocated to the Conference, and where necessary,

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\* [CTOC/COP/2022/1](#).



additional costs are to be funded from extrabudgetary resources, including voluntary contributions, without conditions that would have an impact on the impartiality of the Mechanism, to be channelled through a dedicated account to be established by the secretariat, in accordance with the rules and procedures of the United Nations.

5. In the present report, the Secretariat provides Member States with an update on the financial status of the Global Programme to Support the Mechanism for the Review of the Implementation of the United Nations Convention against Transnational Organized Crime and the Protocols thereto (GLOW97). The Programme was established in March 2019 to ensure the operation of the Mechanism through, inter alia, acceptance, management and usage of extrabudgetary resources, and is managed in accordance with resolution 9/1 and the procedures and rules for the functioning of the Mechanism.

## II. Status of pledges made to the Global Programme to Support the Mechanism for the Review of the Implementation of the United Nations Convention against Transnational Organized Crime and the Protocols thereto

6. As shown in tables 1 and 2 below, a total of \$3,548,905 in voluntary contributions has been received from China, Germany, the European Union, France, Italy, and the United States of America since the adoption of resolution 9/1 establishing the Implementation Review.

Table 1  
**Extrabudgetary contributions to the Implementation Review Mechanism, by year, as at 30 June 2022**

(United States dollars)

<i>Extrabudgetary contributions</i>	
2018	113 766
2019	1 362 397
2020	720 297
2021	1 314 812
2022	37 634
<b>Total, all years</b>	<b>3 548 905</b>
<b>Total expired</b>	<b>806 223</b>
<b>Total available</b>	<b>2 742 682</b>

Table 2  
**Extrabudgetary contributors to the Implementation Review Mechanism, by year, as at 30 June 2022**

(United States dollars)

<i>Extrabudgetary contributors</i>		<i>Amount pledged</i>
2018	France	113 766
2019	Germany <sup>a</sup>	225 898
	European Union <sup>a</sup>	340 325
	Italy	556 174
	United States <sup>a</sup>	240 000
2020	United States	720 297
2021	China	100 000
2021	Italy	573 394

<i>Extrabudgetary contributors</i>		<i>Amount pledged</i>
2021	United States	641 417
2022	France	37 634
<b>Total, all years</b>		<b>3 548 905</b>

<sup>a</sup> Expired.

7. From the date of the establishment of the Global Programme, in February 2019, to 30 June 2022, the secretariat incurred the following expenditures in relation to voluntary contributions: \$1,650,038. In addition, \$1,569,392 has been reserved to secure salaries for existing personnel and to cover maintenance fees for the secure module of the knowledge management portal known as Sharing Electronic Resources and Laws on Crime (SHERLOC) and the eLearning module of the United Nations Office on Drugs and Crime (UNODC) eLearning platform, as well as other operational costs, until December 2023.

8. From year 1 (2019) to year 3 (2021) of the operation of the Mechanism, extrabudgetary resources in the amount of \$1,324,624 were used to cover human resource-related costs (1 P-3, 1 G-6, 1 temporary G-4 and 2 temporary P-2 posts, 2 consultants and 1 individual contractor), the development and maintenance of the secure module, the eLearning module, other operational costs and 13 per cent of the project support costs of the Global Programme.

9. In line with the financial requirements outlined in the conference room paper on the status of the functioning of the Mechanism for the Review of the Implementation of the United Nations Convention against Transnational Organized Crime and the Protocols thereto (CTOC/COP/2022/CRP.2), the secretariat estimates that additional extrabudgetary resources in the minimum amount of \$1,545,995 per year will be required for the continuation of the operation of the Mechanism, including for additional core administrative, technical and substantive support that cannot be fully absorbed within the existing regular budget resources allocated to the Conference. Furthermore, the secretariat estimates the need for additional extrabudgetary resources in the amount of \$1,002,875 for years 6, 8 and 10 of the Mechanism, resulting from the increased workload expected in those years in relation to the necessary substantive support to be provided by the secretariat for the progressive review of the various thematic clusters.

10. The above-mentioned extrabudgetary resources, which include 13 per cent of the project support costs, would cover the following requirements:

(a) Essential human resource-related costs (as well as related operational costs of the Global Programme in the amount of \$1,381,095 per year (3 P-3, 2 temporary P-3, 1 temporary P-2, 2 G-6 and 1 United Nations Volunteer posts and 1 consultant);

(b) Maintenance of the secure module of SHERLOC and the eLearning module in the amount of \$108,400 per year;

(c) Promotion of fruitful engagement with relevant stakeholders, including non-governmental organizations, and a constructive dialogue on the review process, in accordance with paragraph 53 of the procedures and rules for the functioning of the Mechanism, in the amount of \$56,500 per year, on a co-funding basis;

(d) Additional human resource-related costs resulting from the increased workload expected in years 6, 8, and 10 in the amount of \$1,002,875 per year (5 P-3 posts).

### **III. Use of existing regular budget resources to support the implementation of the Mechanism**

11. In accordance with resolution 9/1, the secretariat was to repurpose existing available resources to support the implementation of the Mechanism.

12. Regular budget resources absorbed the partial costs related to the development and management of the Global Programme.

13. Existing regular budget resources absorbed most of the costs for servicing the two joint intersessional meetings of the working groups of the Conference of the Parties for the purpose of the drawing of lots at the beginning of the review process, conducted in accordance with resolution 9/1, which were held on 23 November 2020 and 14 December 2020; the three joint intersessional meetings of the working groups for the purpose of the repetition of the drawing of lots, following the request of some States parties, on 12 October 2021, 17 February 2022 and 4 May 2022; the consideration of the agenda item on the review process by the five working groups at meetings held in 2022; as well as the four constructive dialogues on the review process with relevant stakeholders, including non-governmental organizations, which were held following the conclusion of the meetings of the working groups and the adoption of their reports on 6 May 2022, 27 May 2022 and 1 July 2022 for the purpose of informal consultation.

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