



Conference of the Parties to the United Nations Convention against Transnational Organized Crime

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Financial and budgetary matters

Implementation status of the Global Programme to Support the Mechanism for the Review of the Implementation of the United Nations Convention against Transnational Organized Crime and the Protocols thereto

Report of the Secretariat

I. Introduction

1. At its ninth session, held in Vienna from 15 to 19 October 2018, the Conference of the Parties to the United Nations Convention against Transnational Organized Crime established the Mechanism for the Review of the Implementation of the United Nations Convention against Transnational Organized Crime and the Protocols thereto in resolution 9/1, to which the procedures and rules for the functioning of the Mechanism were annexed.
2. The present report was prepared pursuant to the aforementioned procedures and rules, in which it is stated that the review of implementation of the Convention and the Protocols thereto and the Mechanism are under the authority of the Conference, in accordance with article 32 of the Convention, and that the Conference, without prejudice to the guiding principles and characteristics of the Mechanism, may conduct an evaluation of the organization, functioning, funding and performance of the review process, so as to amend and improve the existing Mechanism at any time.
3. In its resolution 9/1, the Conference stressed the importance of ensuring under future budget cycles the efficient, continued and impartial functioning of the Mechanism, in accordance with the provisions of the procedures and rules contained in the annex to the resolution. The Conference invited Member States and other donors to provide extrabudgetary resources in accordance with the rules and procedures of the United Nations and with the procedures and rules for the functioning of the Mechanism, including its paragraph 54.
4. According to paragraph 54 of the procedures and rules, the requirements of the Mechanism and its Secretariat are to be funded, in their entirety, from the existing resources of the regular budget allocated to the Conference, and where necessary, additional costs are to be funded from extrabudgetary resources, including voluntary contributions, without conditions that would have an impact on the impartiality of the

* [CTOC/COP/2020/1](#).



Mechanism, to be channelled through a dedicated account to be established by the Secretariat, in accordance with the rules and procedures of the United Nations.

5. In the present report, the Secretariat provides Member States with an update on the financial status of the Global Programme to Support the Mechanism for the Review of the Implementation of the United Nations Convention against Transnational Organized Crime and the Protocols thereto (GLOW97). The Programme was established in March 2019 to ensure the operation of the Mechanism through, inter alia, acceptance, management and usage of extrabudgetary resources, and is managed in accordance with resolution 9/1 and the procedure and rules for the functioning of the Mechanism.

II. Status of pledges made to the Global Programme to Support the Mechanism for the Review of the Implementation of the United Nations Convention against Transnational Organized Crime and the Protocols thereto

6. The Secretariat was requested to prepare for the ninth session of the Conference a statement of financial implications for the draft of resolution 9/1 in which it outlined the minimum resources needed for its implementation, on the basis of standard costs for the year 2018 (CTOC/COP/2018/CRP.3). The Secretariat estimated that the following additional extrabudgetary resources would be required, given the need for additional administrative, technical and substantive support that could not be fully absorbed within existing capacity:

(a) From year 1 (2019) to year 3 of the operation of the Mechanism, extrabudgetary resources in the amount of \$318,200 per year to cover human resources-related costs;

(b) From year 4 to year 12, extrabudgetary resources in the amount of \$887,600 per year to cover human resources-related costs, and on years 6, 8 and 10, additional extrabudgetary resources in the amount of \$759,200 per year to cover human resources-related costs resulting from the increased workload expected in those years.

7. In addition, it was estimated that extrabudgetary resources in the amount of \$339,600 would be required in year 1 for the development and set-up of a secure module of the knowledge management portal known as Sharing Electronic Resources and Laws on Crime (SHERLOC). It was also estimated that extrabudgetary resources in the amount of \$104,600 per year would be required as of year 2 for maintenance of the software.

8. Lastly, in order to promote fruitful engagement with relevant stakeholders, including non-governmental organizations, and a constructive dialogue on the review process, additional extrabudgetary resources in the amount of \$32,900 per year were estimated to be required to provide for one work month per year of one professional staff at the P-3 level and two work months per year of one General Service (Other level) staff.

9. By 12 June 2020, the following amounts had been pledged to and collected for the Global Programme:

<i>Donor</i>	<i>Amount pledged (United States dollars)</i>	<i>Amount collected (United States dollars)</i>
European Union	340 325	340 325
France	113 765	113 765
Germany	225 898	225 898
Italy	556 173	556 173
United States of America	240 000	240 000
Total	1 476 161	1 476 161

10. From the date of the establishment of the Global Programme, in March 2019, to 12 June 2020, the Secretariat incurred the following expenditure:

(a) Extrabudgetary resources in the amount of \$180,068, excluding programme support costs, were disbursed to cover human resources-related costs. The Secretariat completed the hiring process of one staff at the P-3 level and one staff at the G-6 level. In addition, these resources covered the temporary hiring for four months of a United Nations Volunteer and the temporary extension for one month of a pre-existing staff at the P-2 level;

(b) Extrabudgetary resources in the amount of \$297,502,¹ excluding programme support costs, were disbursed for the development and set-up of a secure module of SHERLOC;

(c) Extrabudgetary resources in the amount of \$2,128, excluding programme support costs, were disbursed to cover other operational costs, and \$53,118 were disbursed to cover programme support costs.

11. The total amount of extrabudgetary resources disbursed by 12 June 2020, including programme support costs, was \$532,816.

III. Use of existing regular budget resources to support the implementation of the Mechanism

12. In accordance with resolution 9/1, the Secretariat was to repurpose existing available resources to support the implementation of the Mechanism.

13. Regular budget resources absorbed the partial costs related to the development and management of the Global Programme.

14. Existing regular budget resources absorbed most of the costs for servicing the two meetings of the open-ended intergovernmental expert group established in accordance with resolution 9/1, which were held in Vienna from 9 to 11 October 2019 and from 13 to 15 July 2020, respectively, as well as for its informal consultations.

15. Existing meeting entitlements covered the costs of the two meetings of the expert group. A total of 10 meeting entitlements were allocated to the expert group.

16. Existing document entitlements covered the issuance in the six official languages of the United Nations of the 11 parliamentary documents issued for the two meetings of the expert group.

¹ The figure includes some human resource-related costs incurred in the development of SHERLOC. For the purpose of the present report, such costs are not accounted under human resources expenses but under SHERLOC-related costs.