

## Совет Безопасности

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Комитет Совета Безопасности, учрежденный резолюцией 1540 (2004)

> Вербальная нота Постоянного представительства Литвы при Организации Объединенных Наций от 22 ноября 2013 года на имя Председателя Комитета

> Постоянное представительство Литовской Республики при Организации Объединенных Наций свидетельствует свое уважение Председателю Комитета Совета Безопасности, учрежденного резолюцией 1540 (2004), и имеет честь представить дополнительную информацию об осуществлении резолюций в качестве обновленной информации в дополнение к докладу Литовской Республики, представленному в соответствии с резолюциями 1540 (2004) и 1977 (2011) Совета Безопасности Организации Объединенных Наций\*.

<sup>\*</sup> Настоящий доклад включает представленную Литвой таблицу, текст которой будет опубликован только на английском языке, т.е. на том языке, на котором он был представлен, как это было согласовано с Комитетом.





Приложение к вербальной ноте Постоянного представительства Литвы при Организации Объединенных Наций от 22 ноября 2013 года на имя Председателя Комитета

Дополнительная информация об осуществлении, представленная в целях обновления доклада Литовской Республики в соответствии с резолюциями 1540 (2004) и 1977 (2011) Совета Безопасности Организации Объединенных Наций

Литовская Республика представила свой первоначальный доклад Комитету Совета Безопасности, учрежденному резолюцией 1540 (2004) Совета Безопасности, 27 октября 2004 года (S/AC.44/2004/(02)/26) и обновила его 21 сентября 2005 года (S/AC.44/2004/(02)/26/Add.1). В представленном ниже обновленном докладе отражены меры, принятые Литвой в целях выполнения резолюций 1540 (2004) и 1977 (2011) со времени его последнего обновления.

### 1. Создание Межучрежденческой рабочей группы по ядерной безопасности

В целях укрепления межучрежденческого сотрудничества и координации в области борьбы с незаконным оборотом ядерных и радиоактивных материалов указом премьер-министра Литвы от 12 марта 2012 года, срок действия которого был продлен до 18 февраля 2013 года, была создана Межучрежденческая рабочая группа по ядерной безопасности. В состав этой Рабочей группы входят представители компетентных учреждений, и она уполномочена предлагать поправки к существующему законодательству о борьбе с контрабандой ядерных материалов; повышать эффективность межучрежденческого сотрудничества в целях укрепления потенциала по борьбе с незаконным оборотом ядерных и радиоактивных материалов; а также координировать деятельность в рамках международного сотрудничества и обмен информацией по вопросам ядерной безопасности.

### 2. Создание Центра передового опыта в области ядерной безопасности

В апреле 2012 года Литва создала Центр передового опыта в области ядерной безопасности. Этот Центр осуществляет свою деятельность в сотрудничестве с Международным агентством по атомной энергии (МАГАТЭ), Организацией по безопасности и сотрудничеству в Европе (ОБСЕ), Европейским агентством по управлению оперативным сотрудничеством на внешних границах (ФРОНТЕКС), Программой второй линии обороны и Программой контроля за экспортом и обеспечения соответствующей охраны границ министерства энергетики Соединенных Штатов, а также Центром комплексной поддержки по вопросам ядерного нераспространения и ядерной безопасности под эгидой Японского агентства по атомной энергии (ЯАГАТЭ). Центр является частью Международной сети центров по подготовке кадров и оказанию поддержки в области ядерной безопасности под эгидой МАГАТЭ.

Деятельность Центра передового опыта в области ядерной безопасности сосредоточена на организации профессиональной подготовки, специализированных семинаров и учебно-практических занятий в целях борьбы с контрабандой ядерных материалов. С дополнительной информацией о деятельности Центра, включая его годовой доклад, можно ознакомиться на веб-сайте http://nscoe.lt. В 2012 году Центр организовал 7 учебных мероприятий с уча-

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стием 129 человек; к концу 2013 года Центр обеспечит организацию 13 учебных мероприятий с участием более 200 человек.

В сотрудничестве с японским Центром комплексной поддержки по вопросам ядерного нераспространения и ядерной безопасности и министерством иностранных дел Литвы был организован совместный семинар, озаглавленный «Решение проблем в области ядерной безопасности и нераспространения», который состоялся в Вильнюсе 18 июня 2013 года. В этом мероприятии приняли участие более 50 представителей национальных и международных организаций, и по его итогам 20 июня 2013 года в Мединанкае был проведен семинар в Центре передового опыта по вопросам ядерной безопасности.

В ноябре 2013 года Центр принимал шестерых должностных лиц высокого уровня из соответствующих национальных агентств и учреждений Грузии, отвечающих за вопросы ядерной безопасности, радиационной защиты, таможенной службы и пограничного контроля в целях проведения однонедельной учебной подготовки. Этот проект учебной подготовки финансировался по линии литовской Программы по оказанию помощи в целях развития. Центр намерен укреплять свою деятельность в области международного сотрудничества посредством проведения аналогичных учебных мероприятий для странпартнеров в регионе в следующем году.

## 3. Укрепление национального потенциала посредством международного сотрудничества

В рамках сотрудничества с правительством Соединенных Штатов и практических мероприятий по обеспечению готовности по линии Глобальной инициативы по сокращению угрозы обеспечивалось укрепление технического потенциала и навыков сотрудников Центра радиационной защиты и других соответствующих литовских органов в областях обеспечения готовности к чрезвычайным ситуациям, отыскания бесхозных источников радиации и распространения предупреждений о возможном использовании ядерных и радиоактивных материалов во враждебных целях.

23 апреля 2013 года правительства Литвы и Соединенных Штатов подписали Совместный план действий по борьбе с незаконным оборотом ядерных и радиоактивных материалов. Этот План направлен на укрепление потенциала Литвы по выявлению и предупреждению случаев незаконного провоза ядерных материалов и принятию соответствующих мер реагирования, а также предназначен для выявления областей, в которых оба партнера могли бы поделиться своим опытом с другими странами региона.

В июне 2012 года Литва организовала региональный семинар по вопросам осуществления резолюции 1540 Совета Безопасности Организации Объединенных Наций совместно с Центром ОБСЕ по предотвращению конфликтов и Управлением Организации Объединенных Наций по вопросам разоружения. Этот семинар был посвящен рассмотрению основных элементов деятельности по выявлению и предупреждению незаконного оборота химических, биологических и ядерных материалов и принятию соответствующих мер реагирования.

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18 и 19 апреля 2007 года литовское министерство иностранных дел организовало семинар, озаглавленный «Проблемы в области распространения оружия массового уничтожения», в котором приняли участие представители НАТО и стран-партнеров. Более 120 старших должностных лиц, представляющих 43 страны с пяти континентов, а также представители ряда международных организаций и научных учреждений собрались в Вильнюсе для обсуждения проблем, связанных с распространением оружия массового уничтожения (ОМУ). Представленые доклады охватывали все виды и аспекты ОМУ, включая аспекты, связанные с осуществлением резолюции 1540 Совета Безопасности Организации Объединенных Наций, контроль за экспортом, а также региональные инициативы и национальный вклад в усилия международного сообщества по обеспечению нераспространения.

15 октября 2012 года министерство экономики, в сотрудничестве с департаментами энергетики и торговли Соединенных Штатов, организовало семинар, озаглавленный «Соблюдение требований в отношении контроля над товарами стратегического значения», на котором представителям литовских компаний и научных кругов была представлена актуальная информация о правилах лицензирования экспорта, импорта, транзита, посредничества и перевозки товаров стратегического значения. Особое внимание было уделено вопросу оценки рисков компаниями и органами лицензирования в целях предоставления гарантий относительно того, что подлежащие контролю товары не являются предметом незаконной передачи. Был проведен краткий обзор усилий международного сообщества в целях обеспечения эффективного контроля за торговлей современными высокими технологиями двойного назначения и военным оборудованием.

#### 4. Укрепление национальной нормативно-правовой базы

Литва проводит обзор своего законодательства по вопросам систем ядерной безопасности на регулярной основе. 4 апреля 2012 года глава Государственного инспекционного управления по вопросам безопасности ядерной энергии (ВАТЕСИ) одобрил требование BSR-1.6.1-2012 в отношении ядерной безопасности, озаглавленное «Физическая защита ядерных объектов, ядерных материалов и материалов ядерного топливного цикла» (эти требования в отношении ядерной безопасности призваны заменить «Основные требования в отношении физической защиты ядерных объектов и ядерных материалов», утвержденные главой ВАТЕСИ 13 июня 2005 года). Новое постановление содержит подробное описание типов зон безопасности и условий их защиты, а также физической защиты материалов ядерного топливного цикла в процессе их транспортировки.

В настоящее время осуществляется процесс пересмотра Закона о ядерной энергии, и при этом обсуждаются следующие вопросы: установление обязательств по защите мест строительства ядерных объектов; требования в отношении проверки благонадежности лиц перед выдачей им разрешения на несопровождаемый доступ к местам строительства ядерных объектов; определение критериев для проверки на благонадежность; а также межведомственное сотрудничество между государственными учреждениями в целях обеспечения физической защиты ядерных объектов.

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Постановлением № 280 правительства Литовской Республики от 16 марта 2005 года были утверждены Правила обращения с бесхозными источниками ионизирующего излучения, бесхозными веществами ядерного топливного цикла, бесхозными ядерными и расщепляющимися веществами и предметами, загрязненными радионуклидами, которые были полностью изменены 25 января 2012 года на основе Постановления № 94. Этими правилами определяется порядок действий государственных и местных органов власти и руководителей в случае обнаружения радиоактивных отходов, включая выявление и подавление источников ионизирующего излучения, а также выявление бесхозных веществ ядерного топливного цикла, бесхозных ядерных и расщепляющихся веществ и предметов, загрязненных радионуклидами, продуктов потребления или материалов, содержащих природные радионуклиды, и источников ионизирующего излучения в теле человека или на его поверхности в случае обнаружения ионизирующего излучения, превышающего 0,2 µSv/ч (20 µR/ч), или при получении соответствующего уведомления.

Министерство здравоохранения обновило свои соответствующие законодательные акты: Порядок контроля за высокоактивными герметизированными источниками ионизирующего излучения и бесхозными источниками ионизирующего излучения (утверждено указом № V-1020 министра здравоохранения от 23 декабря 2005 года), в котором предусматривается контроль за высокоактивными герметизированными источниками ионизирующего излучения и бесхозными источниками ионизирующего излучения в целях защиты трудящихся и населения от возможных вредоносных последствий ионизирующего излучения; указ № V-687 министра здравоохранения об утверждении правил физической защиты источников ионизирующего излучения (издан в 2005 году и в последний раз был изменен в 2012 году), в котором устанавливаются требования в отношении физической защиты источников; а также Правила импорта, экспорта, транзита и перевозки радиоактивных материалов, радиоактивных отходов и отработанного ядерного топлива в Литовской Республике (утверждены приказом № V-1271/22.3-137 министра здравоохранения и главы Государственного инспекционного управления по вопросам безопасности ядерной энергии от 24 декабря 2008 года).

#### 5. Соблюдение международно-правовых рамочных соглашений

Литва является государством-участником международных договоров по вопросам ядерной безопасности, включая Международную конвенцию о борьбе с актами ядерного терроризма, ратифицированную Литвой 19 июля 2007 года. 19 мая 2009 года Литва ратифицировала поправку 2005 года к Конвенции о физической защите ядерного материала (КФЗЯМ).

## 6. Международное сотрудничество в отношении сброшенного в море химического оружия

Литва играет активную роль в улучшении информированности и поощрении добровольного международного сотрудничества по вопросам, касающимся химического оружия, затопленного в море после второй мировой войны. Ввиду того, что таким образом были ликвидированы большие запасы химических боеприпасов, по-прежнему существует угроза для окружающей среды и здоровья человека, особенно с учетом расширения экономической деятельности на морском дне.

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Литва представила на рассмотрение Генеральной Ассамблеи Организации Объединенных Наций резолюцию, озаглавленную «Совместные меры по оценке экологического воздействия, связанного с веществами, выделяющимися из химических боеприпасов, затопленных в море, и повышению осведомленности об этом» (A/RES/65/149), которая была единогласно принята Генеральной Ассамблеей Организации Объединенных Наций 20 декабря 2010 года. Эта резолюция пробудила дальнейший интерес к поощрению диалога и расширению сотрудничества по вопросу о сброшенном в море химическом оружии в рамках международных и региональных механизмов, включая обмен информацией, передовым опытом и технологиями. 24 июля 2013 года Генеральный секретарь опубликовал доклад, отражающий мнения государств-членов, международных организаций и гражданского общества по этой теме (А/68/258). На основе этого доклада Литва внесла на рассмотрение последующий проект резолюции на шестьдесят восьмой сессии Генеральной Ассамблеи Организации Объединенных Наций.

В 2010 году Литва поддержала создание независимого Международного научно-консультативного совета по сброшенному в море химическому оружию. Этот Совет рассматривает различные аспекты сброшенного в море химического оружия, развивает экспертные знания и поддерживает наращивание национального и международного потенциала в целях смягчения рисков, обусловленных наличием сброшенного в море химического оружия.

Литва организовала ряд международных мероприятий по вопросу о сброшенном в море химическом оружии: международный семинар «Перспективы международного сотрудничества», состоявшийся 30 сентября — 1 октября 2008 года в Вильнюсе, в котором приняли участие представители и эксперты из 27 государств Европы, Африки, Азии и Северной Америки, а также из Организации Объединенных Наций, Организации по запрещению химического оружия, Европейского союза, Хельсинкской комиссии, учебных заведений, научно-исследовательских институтов, неправительственных организаций и предприятий частного сектора; 15-е совещание Группы Хельсинской комиссии по мониторингу и оценке, состоявшееся в Вильнюсе 4-7 октября 2011 года; Международный семинар по вопросу об экологическом воздействии, связанном с веществами, выделяющимися в результате сброса в море химических боеприпасов, состоявшийся в Вильнюсе 20 сентября 2011 года; Международный симпозиум балтийских стран 2012 года под названием «Прошлое, настоящее и будущее океанов: научные исследования по вопросам изменения климата, наблюдение за океанами и использование современных технологий в интересах обеспечения региональной стабильности», организованный Институтом инженеров электротехники и электроники и Обществом инженеров-океанологов и состоявшийся 8-11 мая 2012 года в Клайпеде, Литва.

5 ноября 2012 года в Гдыне, Польша, был проведен Международный семинар по вопросу об экологическом воздействии, связанном с веществами, выделяющимися в результате сброса в море химических боеприпасов. В этом семинаре приняли участие эксперты и представители правительств, учебных заведений, научно-исследовательских институтов, неправительственных организаций и предприятий частного сектора. Семинар был организован военноморской академией Польши, Главным инспекционным управлением Польши по охране окружающей среды, министерством окружающей среды Литовской Республики, Литовским агентством по охране окружающей среды и министер-

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ством иностранных дел Литовской Республики. По итогам этого семинара Литва разработала модуль представления отчетности в целях содействия представлению Секретариату Организации Объединенных Наций специализированной информации об осуществлении резолюции в 2013 году.

В апреле 2013 года, в ходе третьей Специальной сессии Конференции государств-участников по рассмотрению действия Конвенции по химическому оружию, Литва совместно с Польшей, Болгарией и Люксембургом представила рабочий документ о расширении международного сотрудничества по вопросам сброшенного в море химического оружия и содействии использованию Организации по запрещению химического оружия (ОЗХО) в качестве форума для добровольного сотрудничества по этому вопросу. Цель этого документа заключается в обеспечении признания того, что ОЗХО призвана играть важную роль в качестве платформы для добровольного обмена информацией, повышения осведомленности и расширения сотрудничества между государствами-участниками, учебными заведениями, промышленными предприятиями, научными кругами и неправительственными организациями. В соответствии с этой инициативой вопрос о сброшенном в море химическом оружии был отражен в заключительном докладе Конференции по рассмотрению действия Конвенции (документ RC-3/3 от 19 апреля 2013 года, пункт 9.147).

Национальный контактный центр и национальный координатор деятельности по представлению докладов: министерство иностранных дел Литовской Республики.

Координаты сотрудника по поддержанию контактов: Отдел по контролю над вооружениями и предотвращению терроризма, Департамент по вопросам трансатлантического сотрудничества и политики в области безопасности, министерство иностранных дел, J. Tumo-Vaižganto, g. 2, LT-01511 Vilnius, Lithuania. Телефон: +370 5 236 2520, номер факсимильной связи: +370 5 236 2519, адрес электронной почты: urm@urm.lt.

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# Information on implementation of specific paragraphs of Security Council resolution 1540 (2004) Paragraph 1 and related matters from paragraphs 5, 6, 8 (a), (b) and (c), and 10

| m<br>ce | egally binding instru-<br>nents, organizations,<br>odes of conduct, ar-<br>ngements, statements<br>and other issues. | YES | if YES, relevant information (i.e. signing, accession, ratification, etc.)     | Remarks (information refers to the page of the English version of the national report or an official website) |
|---------|--|-----|--|---|
| 1       | Nuclear Non-<br>Proliferation Treaty<br>(NPT)  | Х   | Acceded on 23 September 1991   |   |
| 2       | Nuclear-Weapon-<br>Free Zone/Protocol(s)   |     |  |   |
| 3       | Convention for the<br>Suppression of Acts<br>of Nuclear Terrorism  | х   | Signed 16 September 2005, ratified 19 July 2007                                |   |
| 4       | Convention on Physical Protection of Nuclear Material (CPPNM)  | х   | Acceded on 7 December 1993   |   |
| 5       | 2005 Amendment to CPPNM  | х   | Ratified on 19 May 2009  |   |
| 6       | Comprehensive Nu-<br>clear-Test-Ban Treaty<br>(CTBT) (not in force)  | х   | Signed 7 October 1996, deposit on 7 February 2000                              |   |
| 7       | Chemical Weapons Convention (CWC)  | х   | Signed 13 January 1993, ratified 15 April 1998, entered into force 15 May 1998 |   |
| 8       | Biological Weapons<br>Convention (BWC)   | х   | Ratified on 4 October 1997, entered into force on 2 October 1998               |   |
| 9       | Geneva Protocol of<br>1925   | х   | Signed on 17 June 1925, entered into force 1933                                |   |

| 10 | Other Conventions/<br>Treaties   | х | Euratom Treaty Joint Protocol to the Vienna Convention and the Paris Convention Convention on the Early Notification of a Nuclear Accident Convention on Nuclear Safety Joint Convention on the Safety of Spent Fuel Management and on the Safety of Radioactive Waste Management Nairobi Convention Convention on Civil Liability for Nuclear Damage (Vienna Convention) State Party to 13 + 1UN Conventions and Protocols on Terrorism, including the International Convention for the Suppression of Terrorist Bombings (17/03/2004) International Convention for the Suppression of the Financing of Terrorism (20/02/2003) |  |
|----|--|---|---|--|
| 11 | International Atomic<br>Energy Agency (IAEA)   | x | Membership as of 18 November 1993   |  |
| 12 | Hague Code of Con-<br>duct (HCOC)  | х | Subscribed on 25 November 2002  |  |
| 13 | Other Arrangements   | х | Nuclear Suppliers Group (NSG) Australia Group (AG) Wassenaar Arrangement (WA) PSI participating State Global Initiative to Combat Nuclear Terrorism (GICNT)   |  |
| 14 | General statement on<br>non-possession of<br>WMD   | х | Lithuanian Constitution prohibits WMD on the territory of Lithuania, Art. 137 (Weapons of mass destruction and foreign military bases may not be stationed on the territory of the Republic of Lithuania)   |  |
| 15 | General statement on commitment to disarmament and non-proliferation                             | х | EU strategy against proliferation of weapons of mass destruction  |  |
| 16 | General statement on<br>non-provision of<br>WMD and related<br>materials to non-<br>State actors |   |   |  |
| 17 | Other <sup>a</sup>   |   |   |  |

<sup>&</sup>lt;sup>a</sup> Including, as appropriate, information with regard to membership in relevant international, regional or subregional organizations.

## OP 2 — Nuclear Weapons (NW), Chemical Weapons (CW) and Biological Weapons (BW)

|      | national legislation<br>which prohibits     | <u>N</u> at | tiona  | al leg | al framework  |        | forc |        | ent: civil/criminal penalties<br>s   |         |
|------|---|-------------|--------|--------|---|--------|------|--------|--|---------|
| enga | ons or entities to<br>ge in one of the fol- | YES         | 6      |        | if YES, source document of national im-   | YE     | S    |        | if YES, source document  | Remarks |
|      | ng activities? Can<br>tors be penalized?    | N<br>W      | C<br>W | B<br>W | plementation law  | N<br>W |      | B<br>W |  |         |
| 1    | Manufacture/<br>produce                     | X           | x      | X      | NW: Law on Nuclear Energy of 1996 as last amended in 2013  Law on Radiation Protection of 1999 as last amended in 2011  Law on Nuclear Energy of 1996 as last amended in 2013  Government Resolution No. 653 on Approval of Rules on Licensing Practices with Sources of Ionising Radiation of 1999 as last amended in 2011  The Rules on the Handling of Orphan Ionizing Radiation Sources, Substances of Orphan Nuclear Fuel Cycles, Orphan Nuclear and Fissile Substances and Objects Contaminated with Radionuclides approved by the Government of the Republic of Lithuania in 2005 and last wholly amended in 2012  The Regulation of Control of High Activity Sealed Ionizing Radiation Sources and Orphan Ionizing Radiation Sources was been approved by Order No. V-1020 of the Health Minister in 2005 | X      | X    | x      | NW: Criminal Code, Arts. 256 and 257  Law on Nuclear Safety, Art. 6, p. 2, subpara. 4; Art. 47, pp. 1 and 2  CW: Criminal Code, Art. 267, p. 2  BW: Criminal Code, Art. 267 <sup>(1)</sup> |         |

|   |         |   |   |   | CW: Law on Environmental Protection of 1992 as last amended in 2013  Law on the Prohibition of Chemical Weapons of 1998  BW: Constitution of the Republic of Lithuania, Arts. 137 and 138  BWC Ratification Law of 1997  Law on Environmental Protection of 1992 as last amended in 2013 |   |   |   |   |  |
|---|---------|---|---|---|--|---|---|---|---|--|
| 2 | Acquire | x |   | X | NW: Law on Nuclear Energy of 1996 as last amended in 2013  CW: Law on the Prohibition of Chemical Weapons of 1998  BW: Constitution of the Republic of Lithuania, Arts. 137 and 138  BWC Ratification Law of 1997  Law on Environmental Protection of 1992 as last amended in 2013       | x | x | x | NW: Criminal Code, Arts. 256 and 257  Law on Nuclear Safety, Art. 6, p. 2, subpara. 4; Art. 47, pp. 1 and 2  BW: Criminal Code, Art. 267 <sup>(1)</sup> |  |
| 3 | Possess | x | x | x | NW: Law on Nuclear Energy of 1996 as last amended in 2013  The Rules on the Handling of Orphan Ionizing Radiation Sources, Substances of Orphan Nuclear Fuel Cycles, Orphan Nuclear and Fissile Substances and Objects Contaminated with Radionuclides                                   | x | x | × | NW: Criminal Code, Arts. 256 and 257  Law on Nuclear Safety, Art. 6, p. 2, subpara. 4; Art. 47, pp. 1 and 2   |  |

|   |                 |   |   |   | approved by the Government of the Republic of Lithuania in 2005 and last wholly amended in 2012   |   |   |   | CW:<br>Criminal Code, Art. 267,<br>p. 2  |  |
|---|-----------------|---|---|---|---|---|---|---|--|--|
|   |                 |   |   |   | CW:<br>Law on the Prohibition of Chemical<br>Weapons of 1998  |   |   |   | <b>BW:</b> Criminal Code, Art. 267 <sup>1</sup>  |  |
|   |                 |   |   |   | BW: Constitution of the Republic of Lithuania, Arts. 137 and 138  |   |   |   |  |  |
|   |                 |   |   |   | BWC Ratification Law of 1997  Law on Environmental Protection of 1992 as last amended in 2013   |   |   |   |  |  |
| 4 | Stockpile/store | × | x | X | NW: Law on Nuclear Energy of 1996 as last amended in 2013  The Rules on the Handling of Orphan Ionizing Radiation Sources, Substances of Orphan Nuclear Fuel Cycles, Orphan Nuclear and Fissile Substances and Objects Contaminated with Radionuclides approved by the Government of the Republic of Lithuania in 2005 and last wholly amended in 2012  CW: Law on Environmental Protection of 1992 as last amended in 2013  Law on the Prohibition of Chemical Weapons of 1998  BW: Constitution of the Republic of Lithuania, Arts. 137 and 138 | x | x | x | NW: Criminal Code, Arts. 256 and 257  Law on Nuclear Safety, Art. 6, p. 2, subpara. 4; Art. 47, pp. 1 and 2  CW: Criminal Code, Art. 267, p. 1  BW: Criminal Code, Art. 21 and Art. 111, p. 2; Art. 21 and Art. 250, p. 3; Art. 24 |  |

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|   |           |   |   |   | BWC Ratification Law of 1997  |   |   |   |  |
|---|-----------|---|---|---|---|---|---|---|--|
|   |           |   |   |   | Law on Environmental Protection of 1992 as last amended in 2013  NW:  |   |   |   |  |
| 5 | Develop   | x | x | x | Law on Nuclear Energy of 1996 as last amended in 2013  The Rules on the Handling of Orphan Ionizing Radiation Sources, Substances of Orphan Nuclear Fuel Cycles, Orphan Nuclear and Fissile Substances and Objects Contaminated with Radionuclides approved by the Government of the Republic of Lithuania in 2005 and last wholly amended in 2012  CW:  Law on the Prohibition of Chemical Weapons of 1998  BW:  Constitution of the Republic of Lithuania, Arts. 137 and 138  BWC Ratification Law of 1997  Law on Environmental Protection of 1992 as last amended in 2013 | x | x | x | NW: Criminal Code, Arts. 256, 257  Law on Nuclear Safety Art. 6, p. 2, subpara. 4; Art. 47, pp. 1 and 2  CW: Criminal Code, Art. 267, p. 1  BW: Criminal Code, Art. 267 <sup>(1)</sup> |
| 6 | Transport | х | x | x | Government Resolution No. 938 on Approval of Regulations of Transportation of Dangerous and Military Goods of Foreign States across the Territory of Lithuania of 1994 as last amended in 2002  Law on the State Border and Protection of 2000 as last amended in 2010  | x | × | x | Criminal Code, Arts. 256 and 257  Law on Nuclear Safety, Art. 6, p. 2, subpara. 4; Art. 47, pp. 1 and 2  Criminal Code, Art. 267, p. 2   |

|   |          |   |   |   | BW: Government Resolution No. 938 on Approval of Regulations of Transportation of Dangerous and Military Goods of Foreign States across the Territory of Lithuania of 1994 as last amended in 2002  Law on the State Border and Protection of 2000 as last amended in 2010  Aviation Law of 2000 as last amended in 2012  Law on Environmental Protection of 1992 as last amended in 2013  |   |   |   |  |  |
|---|----------|---|---|---|--|---|---|---|--|--|
| 7 | Transfer | X | x | x | NW: Law on Nuclear Energy of 1996 as last amended in 2013  Law on the Control of Strategic Goods of 2004 as last amended in 2011  Government Resolution No. 653 on Approval of Rules on Licencing Practices with Sources of Ionising Radiation of 1999 as last amended on 2011  Regulations on import, export, transit and transportation of radioactive materials, radioactive waste and spent nuclear fuel in the Republic of Lithuania, approved by the Order of the Minister of Health and the Head of the State Nuclear Power Safety Inspectorate in 2008  Order of the Minister of Health V-687 on Approval of Rules of Physical Protection of Sources of Ionizing Radiation of 2005, last amended in 2012 | x | x | x | NW: Criminal Code, Arts. 256 and 257  Law on Nuclear Safety, Art. 6, p. 2, subpara. 4; Art. 47, pp. 1 and 2  Criminal Code, Art. 267, p. 2  BW: Criminal Code, Art. 267 <sup>(1)</sup> |  |

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|---------|---|-----|---|---|---|--|---|---|--|--|
|         |   |     |   |   |   | CWC Ratification Law of 1998   |   |   |  |  |
|         |   |     |   |   |   | Law on the Prohibition of Chemical<br>Weapons of 1998  |   |   |  |  |
|         |   |     |   |   |   | Law on the Control of Strategic Goods of 2004 as last amended in 2011  |   |   |  |  |
|         |   |     |   |   |   | Law on the State Border and Protection of 2000 as last amended in 2010   |   |   |  |  |
|         |   |     |   |   |   | Aviation Law of 2000 as last amended in 2012   |   |   |  |  |
|         |   |     |   |   |   | <b>BW:</b> Constitution of the Republic of Lithuania, Arts. 137 and 138  |   |   |  |  |
|         |   |     |   |   |   | BWC Ratification Law of 1997   |   |   |  |  |
|         |   |     |   |   |   | Law on the Control of Strategic Goods of 2004 as last amended in 2011  |   |   |  |  |
|         |   |     |   |   |   | Law on the State Border and Protection of 2000 as last amended in 2010   |   |   |  |  |
|         |   |     |   |   |   | Aviation Law of 2000 as last amended in 2012   |   |   |  |  |
|         | 8 | Use | x | x | X | <b>NW:</b> Aviation Law of 2000 as last amended in 2012 The Rules on the Handling of Orphan Ionizing Radiation Sources, Substances | x | x | NW:<br>Criminal Code, Art. 111,<br>p. 2; Art. 250, p. 3;<br>Art. 256, Art. 257 |  |
| 13-5949 |   |     |   |   | ^ | of Orphan Nuclear Fuel Cycles, Orphan<br>Nuclear and Fissile Substances and Objects Contaminated with Radionuclides                |   |   | Law on Nuclear Safety,<br>Art. 6, p. 2, subpara. 4;<br>Art. 47, pp. 1 and 2;   |  |

|    |  |   |   |   | approved by the Government of the Republic of Lithuania in 2005 and last wholly amended in 2012  CW: CWC Ratification Law of 1998  Law on Prohibition of Chemical Weapons of 1998  BW: Constitution of the Republic of Lithuania, Arts. 137 and 138  BWC Ratification Law of 1997                                   |   |   |   | BW: Criminal Code, Art. 111, p. 2; Art. 250   |  |
|----|--|---|---|---|---|---|---|---|---|--|
| 9  | Participate as an accomplice in above-mentioned activities |   |   |   |   | x | х | x | NW: Criminal Code, Art. 24 CW: Criminal Code, Art. 24 BW: Criminal Code, Art. 24  |  |
| 10 | Assist in above-<br>mentioned activi-<br>ties              |   |   |   |   | x | x |   | NW: Criminal Code, Art. 24 CW: Criminal Code, Art. 24   |  |
| 11 | Finance above-<br>mentioned activi-<br>ties                | x | × | × | NW: Law on the Prevention of Money Laundering and Terrorist Financing of 1997 as last amended in 2011  CW: Law on the Prevention of Money Laundering and Terrorist Financing of 1997 as last amended in 2011  BW: Law on the Prevention of Money Laundering and Terrorist Financing of 1997 as last amended in 2011 | x | x | х | NW: Criminal Code, Art. 250, pp. 5-6; Art. 24 CW: Criminal Code, Art. 250, pp. 5-6; Art. 24 BW: Criminal Code, Art. 250, pp. 5-6; Art. 24 |  |

| 12 | Above-mentioned activities related to means of delivery b     |   |   |  |   |                               |
|----|---|---|---|--|---|-------------------------------|
| 13 | Involvement of non-State actors in above-mentioned activities |   |   |  | х | BW:<br>Criminal Code, Art. 24 |
| 14 | Other   | x | NW: Law on Environmental Protection of 1992 as last amended in 2013: prohibition of import and transit  Law on Klaipeda National Port of 1996 as last amended in 2012: prohibition of ships with nuclear weapons entering the port  State Nuclear Power Safety Inspectorate Order on Approval of General Requirements for Physical Protection of Nuclear Facilities and Nuclear Fuel Cycle Materials: protection of nuclear materials from theft and sabotage. (last amended in 2012) |  |   |                               |

<sup>&</sup>lt;sup>b</sup> Means of delivery: missiles, rockets and other unmanned systems capable of delivering nuclear, chemical, or biological weapons that are specially designed for such use.

## OP 3 (a) and (b) — Account for/Secure/Physically protect NW, CW and BW, including Related Materials <sup>c</sup>

State: {State's name}

Date (date)

| me  | any of the following asures, procedures or                               | <u>N</u> a | tion | al le       | gal framework  | _ |       | eme<br>hers | nt: civil/criminal penalties  |              |
|-----|--|------------|------|-------------|--|---|-------|-------------|---|--------------|
| cou | islation in place to ac-<br>int for, secure or other-                    | YES        |      |             |  |   |       |             |   | Re-<br>marks |
| and | e protect NW, CW, BW<br>d related materials? Can<br>lators be penalized? | N<br>W     |      | B<br>W<br>* | if YES, source document  |   | N C B |             | if YES, source document   | marks        |
| 1   | Measures to account<br>for production                                    | x          | x    | x           | NW: Commission Regulation (Euratom) No. 302/2005 on the application of Euratom safeguards  Law on Nuclear Energy  Law on Nuclear Safety Order No. 22.3-11, 28 January 2008, approved by the Head of the State Nuclear Power Safety Inspectorate "On the Approval of General Requirements of Nuclear Material Accounting and Control and Provision of Information about Activities in the Field of Nuclear Energy or Other Fields Related to the Use of Nuclear Energy"  Order No. 22.3-12, 28 January 2008, approved by the Head of the State Nuclear Power Safety Inspectorate "On the Approval of Recommendations for Implementation of the General Requirements of Nuclear Material Accounting and Control and Provision of Information about Activities in the Field of Nuclear Energy or Other Fields Related to the Use of Nuclear Energy" | x | x     | x           | NW: Code of Administrative Offences, Art. 42 <sup>(2)</sup> ; Art. 43 <sup>(5)</sup> ; Art. 94 and 94(1)  Law on Nuclear Safety, Art. 6, p. 2; Art . 47, pp. 1 and 2 CW: Code of Administrative Offences, Art. 84(1); Art. 189(9) BW: ECDC has established a bio risk expert group project in addition to coordinating "laboratory biosafety and biosecurity efforts across Europe" as part of its Mi- crobiology Cooperation— Biosafety mission and pro- jects  The Centre for Communicable Diseases and AIDS (the Centre implements |              |

#### CW:

Law on Enterprises of 8 May 1990 (as amended by 16 March 2000 No.VIII-1566), Licensing of Commercial-Economic Activities: commercial and economic activities connected with increased danger to human life, health, the environment, manufacturing and acquisition of armaments, and with goods and services for which a special procedure for product sale or service provision may be established under law may be subject to licensing

# the national policy of prevention and management of communicable diseases, and organizes and implements epidemiological surveillance of communicable diseases)

Code of Administrative Offences, Art. 189(9)

#### BW:

Regulation (EC) n. 851/2004 of the European Parliament and the Council of 21 April 2004 establishing a European Centre for Disease Prevention and Control, Art. 3, defines the mission to include identification and assessment of emerging threats, including the collection of relevant data and coordination of public health services surveillance networks

Law on Enterprises of 8 May 1990 (as amended by 16 March 2000 No.VIII-1566), Licensing of Commercial-Economic Activities: commercial and economic activities connected with increased danger to human life, health, the environment, manufacturing and acquisition of armaments, and with goods and services for which a special procedure for product sale or service provision may be established under law may be subject to licensing

Law on the Control of Strategic Goods of 2004 as last amended in 2011.

| 2 | Measures to account for use | x | x |   | NW: Commission Regulation (Euratom) No. 302/2005 on the application of Euratom safeguards  Law on Nuclear Energy  Law on Nuclear Safety Order No. 22.3-11, 28 January 2008, approved by the Head of the State Nuclear Power Safety Inspectorate "On the Approval of General Requirements of Nuclear Material Accounting and Control and Provision of Information about Activities in the Field of Nuclear Energy or Other Fields Related to the Use of Nuclear Energy"  Order No. 22.3-12, 28 January 2008, approved by the Head of the State Nuclear Power Safety Inspectorate "On the Approval of Recommendations for Implementation of the General Requirements of Nuclear Material Accounting and Control and Provision of Information about Activities in the Field of Nuclear Energy or Another Fields Related to the Use of Nuclear Energy"  CW:  Law on Enterprises of 8 May 1990 (as | x |   | x | NW: Code of Administrative Offences, Art. 42 <sup>(2)</sup> ; Art. 43 <sup>(5)</sup> ; Art. 94 and 94(1)  Law on Nuclear Safety ,Art. 6, p. 2; Art. 47, pp. 1 and 2  BW: ECDC has established a bio risk expert group project in addition to coordinating "laboratory biosafety and biosecurity efforts across Europe" as part of its Mi- crobiology Cooperation— Biosafety mission and pro- jects The Centre for Communicable Diseases and AIDS (the Centre implements the national policy of pre- vention and management of communicable diseases, and organizes and imple- |  |
|---|-----------------------------|---|---|---|---|---|---|---|---|--|
|   |                             |   |   |   | and Provision of Information about Activi-<br>ties in the Field of Nuclear Energy or Other  |   |   |   | risk expert group project in addition to coordinating "laboratory biosafety and   |  |
|   |                             |   |   |   | I   |   |   |   | Europe" as part of its Mi-  |  |
|   |                             |   |   |   | -   |   |   |   |   |  |
|   | Measures to account         |   |   |   | l   |   |   |   |   |  |
| 2 | for use                     | х | X |   | I   | Х |   | × |   |  |
|   |                             |   |   |   | <u> </u>  |   |   |   |   |  |
|   |                             |   |   |   |   |   |   |   |   |  |
|   |                             |   |   |   | _ = -   |   |   |   | vention and management  |  |
|   |                             |   |   |   | CM.   |   |   |   | · .   |  |
|   |                             |   |   |   | Law on Enterprises of 8 May 1990 (as  |   |   |   | ments epidemiological   |  |
|   |                             |   |   |   | amended by 16 March 2000 No.VIII-1566),   |   |   |   | surveillance of communi-  |  |
|   |                             |   |   |   | Licensing of Commercial-Economic Activi-  |   |   |   | cable diseases)   |  |
|   |                             |   |   |   | ties: commercial and economic activities connected with increased danger to human   |   |   |   | Code of Administrative  |  |
|   |                             |   |   |   | life, health, the environment, manufactur-  |   |   |   | Offences, Art. 189(9)   |  |
|   |                             |   |   |   | ing and acquisition of armaments, and with  |   |   |   |   |  |
|   |                             |   |   |   | goods and services for which a special pro-<br>cedure for product sale or service provision   |   |   |   |   |  |
|   |                             |   |   |   | may be established under law may be sub-  |   |   |   |   |  |
|   |                             |   | 1 | 1 | 1   | 1 | 1 | ı | 1   |  |
|   |                             |   |   |   | ject to licensing   |   |   |   |   |  |

Order No. 22.3-12, 28 January 2008, approved by the Head of the State Nuclear Power Safety Inspectorate "On the Approval of Recommendations for Implementation of the General Requirements of Nuclear Material Accounting and Control and Provision of Information about Activities in the Field of Nuclear Energy or Other Fields Related to the Use of Nuclear Energy"

#### CW:

Law on Enterprises of 8 May 1990 (as amended by 16 March 2000 No.VIII-1566), Licensing of Commercial-Economic Activities: commercial and economic activities connected with increased danger to human life, health, the environment, manufacturing and acquisition of armaments, and with goods and services for which a special procedure for product sale or service provision may be established under law may be subject to licensing

#### BW:

Regulation (EC) n. 851/2004 of the European Parliament and the Council of 21 April 2004 establishing a European Centre for Disease Prevention and Control, Art. 3, defines the mission to include identification and assessment of emerging threats, including the collection of relevant data and coordinate public health services surveillance networks

Law on Enterprises of 8 May 1990 (as amended by 16 March 2000 No.VIII-1566), Licensing of Commercial-Economic Activities: commercial and economic activities connected with increased danger to human life, health, the environment, manufacturing and acquisition of armaments, and with

biosafety and biosecurity efforts across Europe" as part of its Microbiology Cooperation – Biosafety mission and projects The Centre for Communicable Diseases and AIDS (the Centre implements the national policy of prevention and management of communicable diseases, and organizes and implements epidemiological surveillance of communicable diseases)

Code of Administrative Offences, Art. 189(9)

|   |                               |   |   |   | CW: Council Directives 94/55/EEC and 96/49/EEC  Law on Environmental Protection of 1992, as last amended in 2013  Law on the Control of Strategic Goods of 2004 as last amended in 2011  BW: Council Directives 94/55/EEC and 96/49/EEC  Law on Environmental Protection of 1992, as last amended in 2013          |   |   |
|---|-------------------------------|---|---|---|--|---|---|
| 5 | Other measures for accounting | x |   |   | NW: Council Directives 2003/122/ EURATOM  Directive 96/29/EURATOM  | x | NW: Code of Administrative Offences, Art. 42 <sup>(2)</sup> ; Art. 43 <sup>(5)</sup> ; Art. 94 and 94(1)  Law on Nuclear Safety, Art. 6, p. 2; Art. 47, pp. 1 and 2 |
| 6 | Measures to secure production | x | x | x | NW: Law on Nuclear Energy of 1996 (as last amended in 2013)  Law on Radiation Protection of 1999 as last amended in 2011  Law on Nuclear Safety  Nuclear Safety Requirements BSR-1.6.1-2012 "Physical protection of nuclear facilities, nuclear materials and nuclear fuel cycle material"  CW: Directive 98/24/EC | x | NW Code of Administrative Offences, Art. 42 <sup>(2)</sup> ; Art. 43 <sup>(5)</sup> ; Art. 94 and 94(1)  Law on Nuclear Safety, Art. 6, p. 2; Art.47, pp. 1 and 2   |

|   |                            |   |   |   | Law on Environmental Protection of 1992,<br>Art. 22.1 (the Ministry of Health is responsible for the adoption of regulations and standards concerning registration of the sources of microorganisms and other biological pollutants, of their production, propagation, importation, transportation, use, storage and treatment)   |   |   |  |
|---|----------------------------|---|---|---|---|---|---|--|
| 8 | Measures to secure storage | X | x | x | NW: Law on Nuclear Energy of 1996 (as last amended in 2013)  Law on Radiation Protection of 1999 as last amended in 2011  Law on Nuclear Safety  Nuclear Safety Requirements BSR-1.6.1-2012 "Physical protection of nuclear facilities, nuclear materials and nuclear fuel cycle material"  CW: Directive 98/24/EC  Law on Environmental Protection of 1992, as last amended in 2013  BW: Directive 2000/54/EC  Law on Environmental Protection of 1992, Art. 22.1 (the Ministry of Health is responsible for the adoption of regulations and standards concerning registration of the sources of microorganisms and other biological pollutants, of their production, propagation, importation, transportation, use, storage and treatment). | х | Code of Administrative Offences, Art. 42 <sup>(2)</sup> ; Art. 43 <sup>(5)</sup> ; Art. 94 and 94(1)  Law on Nuclear Safety, Art. 6, p. 2; Art. 47, pp. 1 and 2 |  |

|          |                    | CW:  |
|----------|--------------------|--|
|          |                    | Council Directives 94/55/EEC and 96/49/EEC   |
|          |                    | Regulation (EC) No. 1272/2008 of the Euro-<br>pean Parliament and of the Council of 16 |
|          |                    | December 2008 on classification, labelling   |
|          |                    | and packaging of substances and mixtures, amending and repealing Directives            |
|          |                    | 67/548/EEC and 1999/45/EC, and amending  |
|          |                    | Regulation (EC) No. 1907/2006  |
|          |                    | Law on Carriage of Dangerous Goods by Car,   |
|          |                    | Rail and Inland Waterway (1 March 2002) Government Resolution No. 337 on Carriage      |
|          |                    | of Dangerous Goods by Road in the Repub-   |
|          |                    | lic of Lithuania   |
|          |                    | Government Resolution No. 84 on Carriage   |
|          |                    | of Dangerous Goods by Rail in the Territory of the Republic of Lithuania               |
|          |                    | Government Resolution No. 1778 on Ap-  |
|          |                    | proval of Regulation on Inspection of Dan-   |
|          |                    | gerous Goods Carried by Road, Rail and Inland Waterways                                |
|          |                    | Law on Environmental Protection of 1992,   |
|          |                    | as last amended in 2013  |
|          |                    | BW:  |
|          |                    | Council Directives 94/55/EEC and 96/49/EEC   |
|          |                    | Law on Environmental Protection of 1992,<br>Art. 22.1.                                 |
|          |                    | The Regulation of High Activity Sealed Ioniz-  |
|          | Other measures for | ing Radiation Sources and Orphan Ionizing Radiation Sources was approved by Order      |
| 10       | securing           | No. V-1020 of the Health Minister on 23 De-  |
|          |                    | cember 2005  |
| <u> </u> |                    |  |

| 11 | Regulations for physical protection of facilities/materials/ transports                                     | x | х | x | NW: The Order of the Minister of Health V-687 on Approval of Rules of Physical Protection of Sources of Ionizing Radiation (2005, last amended in 2012)  Physical Protection Regulations of Sources of Ionizing Radiation (2005)  Law on Nuclear Safety  Law on Nuclear Energy  Nuclear Safety Requirements BSR-1.6.1-2012 "Physical protection of nuclear facilities, nuclear materials and nuclear fuel cycle material".   | x | x | x | NW: Code of Administrative Offences ,Art. 42 <sup>(2)</sup> ; Art. 43 <sup>(5)</sup> ; Art. 94 and Art. 94(1)  Law on Nuclear Safety, Art. 6, p. 2; Art. 47, pp. 1 and 2   |
|----|---|---|---|---|--|---|---|---|--|
| 12 | Licensing/registration<br>of installations/<br>facilities/persons/<br>entities/use/handling<br>of materials | x | х | x | NW: Law on Nuclear Energy of 1996 as last amended in 2013  Law on Radiation Protection of 1999 as last amended on 2011  Regulations of Licensing the Practices Involving Sources of Ionizing Radiation (1999, amended in 2004) (radioactive materials)  Law on Nuclear Safety  Nuclear Safety Requirements BSR-1.6.1-2012 "Physical protection of nuclear facilities, nuclear materials and nuclear fuel cycle material"  Resolution No. 722, 20 June 2012, of the Government of the Republic of Lithuania on the Approval of Rules of Procedure for Issuing Licenses and Permits in the Area of Nu- | x | x | х | NW: Code of Administrative Offences, Art. 42 <sup>(2)</sup> ; Art. 43 <sup>(5)</sup> ; Art. 189 <sup>(9)</sup> ; Art. 94 and 94(1)  Law on Nuclear Safety, Art. 6, p. 2; Art. 27, Art. 29, p. 1, subpara. 1; Art. 47, pp. 1 and 2  CW: Code of Administrative Offences, Art 189 <sup>(9)</sup> BW: Code of Administrative Offences, Art 189 <sup>(9)</sup> |

|    |  |   | clear Energy and the repeal of Resolution No. 103, J27 January 1998, of the Government of the Republic of Lithuania on the approval of Regulations of Licensing of Nuclear Power- Related Activities and its amendment  CW: National licensing system for use of CWC Schedule chemicals  BW: Directive 2000/54/EC |   |  |
|----|--|---|---|---|--|
| 13 | Reliability check of personnel                                       | х | NW: Law on Nuclear Energy of 1996 as last amended in 2013  Nuclear Safety Requirements BSR-1.6.1-2012 "Physical protection of nuclear facilities, nuclear materials and nuclear fuel cycle material".   | x | NW: Code of Administrative Offences, Art. 42 <sup>(2)</sup> ; Art. 43 <sup>(5)</sup> ; Art. 94 and 94(1)  Law on Nuclear Safety, Art. 6, p. 2; Art. 47, pp. 1 and 2                            |
| 14 | Measures to account for/secure/ physically protect means of delivery | x | NW: Law on the Control of Strategic Goods of 2004 as last amended on 2011   | x | NW: Code of Administrative Offences, Art. 51 <sup>(5)</sup> ; Art. 94 and. 94(1) and Art. 189(9)  Law on Nuclear Safety, Art. 6, p. 2; Art. 2; Art. 29, p. 1, subpara. 1; Art. 47, pp. 1 and 2 |

<sup>&</sup>lt;sup>c</sup> Related materials: materials, equipment and technology covered by relevant multilateral treaties and arrangements, or included on national control lists, which could be used for the design, development, production or use of nuclear, chemical and biological weapons and their means of delivery.

<sup>\*</sup> Information required in this section may also be available in the State's Confidence Building Measures report, if submitted to the BWC Implementation Support Unit (online at: www.unog.ch/80256EE600585943/(httpPages)/4FA4DA37A55C7966C12575780055D9E8?OpenDocument).

## OP 3 (a) and (b) — Account for/Secure/Physically protect NW including Related Materials (NW specific)

State: {State's name}

Date (date)

| me         | any of the following asures, procedures or   | <u>N</u> ational l | egal framework   | Enforce penal |  |         |  |
|------------|--|--------------------|--|---------------|--|---------|--|
| cou<br>wis | islation in place to ac-<br>unt for, secure or other-<br>ee protect NW and Re-<br>ed Materials? Can viola-<br>s be penalized ? | YES                | YES if YES, source document  |               | if YES, source document                                | Remarks |  |
| 1          | National regulatory<br>authority   | х                  | State Nuclear Power Safety Inspectorate (VATESI) (regulation of activities for transportation and security of nuclear fuel cycle materials, nuclear materials and fissile materials in quantities of more than 5 g of nuclear or fissile material isotope; accounting for all nuclear materials)  Radiation Protection Centre (RSC) (regulation of activities for transportation and security of nuclear materials in quantities of less than 5 g of nuclear material isotope) |               |  |         |  |
| 2          | IAEA Safeguards<br>Agreements  | x                  | The Non-proliferation Treaty, signed 1991 Safeguards Agreement, signed October 1992 Additional Protocol, in force 5 July 2000 Commission Regulation (Euratom) No. 302/2005 on the application of Euratom safeguards Law on Nuclear Energy Law on Nuclear Safety Agreement between the Kingdom of Belgium, the Kingdom of Denmark, the Federal Republic of  | x             | State Nuclear Power<br>Safety Inspectorate<br>(VATESI) |         |  |

|   |   |   | Germany, Ireland, the Italian Republic, the Grand Duchy of Luxembourg, the Kingdom of the Netherlands, the European Atomic Energy Community and the International Atomic Energy Agency in implementation of Article III (1) and (4) of the Treaty on the Non-proliferation of Nuclear Weapons (78/164/Euratom)  Additional Protocol to the Agreement between the Republic of Austria, the Kingdom of Belgium, the Kingdom of Denmark, the Republic of Finland, the Federal Republic of Germany, the Hellenic Republic, Ireland, the Italian Republic, the Grand Duchy of Luxembourg, the Kingdom of the Netherlands, the Portuguese Republic, the Kingdom of Spain, the Kingdom of Sweden, the European Atomic Energy Community and the International Atomic Energy Agency in implementation of Article III(1) and (4) of the Treaty on the Non-proliferation of Nuclear Weapons (notified under document number COM(1998) 314) |   |  |  |
|---|---|---|---|---|--|--|
| 3 | IAEA Code of Conduct<br>on Safety and Security<br>of Radioactive Sources  | x | Expressed support to IAEA DG (www.iaea.org/Publications/Documents/Treaties /codeconduct_status.pdf)   | x | State Nuclear Power Safety Inspectorate (VATESI) (nuclear fuel cycle materials, or ra- dioactive sources which are used in the nuclear energy sector)  Radiation Protection Centre (RSC) (radioac- tive sources) |  |
| 4 | Supplementary Guidance on the Import and Export of Radioactive Sources of the Code of Conduct on the Safety and Security of Radioactive Sources |   | Expressed support to IAEA DG (www.iaea.org/Publications/Documents/Treaties /codeconduct_status.pdf)  Regulations on import, export, transit and transportation of radioactive materials, radioactive waste and spent nuclear fuel in the Republic of  |   | State Nuclear Power Safety Inspectorate (VATESI) (nuclear fuel cycle materials, or ra- dioactive sources which are used in the nuclear energy sector)  |  |

|   |  |   | Lithuania, approved by the Order of the Minister of Health and the Head of the State Nuclear Power Safety Inspectorate in 2008   |   | Radiation Protection Centre (RSC) (radioactive sources )  |
|---|--|---|--|---|---|
| 5 | IAEA Database on Illicit<br>Trafficking of Nuclear<br>Materials and other<br>Radioactive Sources | х | Participation in the programme   | х | VATESI is a point of contact for ITDB, and also submits notifications to the IAEA Illicit Trafficking Database and participates in information exchange |
| 6 | Other Agreements related to IAEA   | х |  | х |   |
| 7 | Additional national legislation/regulations related to nuclear materials including CPPNM         |   | Resolution No. 148, 15 February 2009, of the Government of the Republic of Lithuania on the Designation of the Authorities responsible for implementation of the Convention on Pthe hysical Protection of Nuclear Material and the Amendment to the Convention on the Physical Protection of Nuclear Material. |   | Criminal Code  Code of Administrative  Offences   |
| 8 | Other  |   |  |   |   |

## OP 3 (a) and (b) — Account for/Secure/Physically protect CW including Related Materials (CW specific)

| Are                       | any of the following   | <u>N</u> atio | nal legal framework                         | <u>E</u> nforc | Enforcement: civil/criminal penalties and others  |         |  |  |  |  |  |
|---------------------------|--|---------------|---|----------------|---|---------|--|--|--|--|--|
| leg<br>cou<br>wis<br>late | asures, procedures or islation in place to acunt for, secure or otherse protect CW and Reed Materials? Can violass be penalized? | YES           | if YES, source document                     | YES            | if YES, source document   | Remarks |  |  |  |  |  |
| 1                         | National CWC authority   | х             | Ministry of Economy                         | х              | Ministry of Economy   |         |  |  |  |  |  |
| 2                         | Reporting Schedule I, II and III chemicals to OPCW   | x             | Lithuania annually submits its declarations | x              | Lithuania has submitted four declarations in accordance with Annex 9 of the OPCW 2013 Annual Report on Implementation |         |  |  |  |  |  |
| 3                         | Account for, secure or physically protect "old chemical weapons"   |               |   |                |   |         |  |  |  |  |  |
| 4                         | Other legislation/<br>regulations controlling<br>chemical materials  |               |   |                |   |         |  |  |  |  |  |
| 5                         | Other  |               |   |                |   |         |  |  |  |  |  |

## OP 3 (c) and (d) and related matters from OP 6 and OP 10 — Controls of NW, CW and BW, including Related Materials

| Which of the following legislation, procedures, measures, and agencies exist to control border crossings, export/import and other transfers of NW, CW, BW and Related Materials? Can violators be penalized? |                | ocedures, meas- <u>N</u> ational legal framework |        |        |   | En<br>tie |       |   |   |         |
|--|----------------|--|--------|--------|---|-----------|-------|---|---|---------|
|  |                | er YES   |        |        |   | YES       |       |   | if YES, source docu-  | Remarks |
|  |                | N<br>W   | c<br>W | B<br>W | if YES, source document   |           | N C B |   | ment  |         |
|  |                |  |        |        | NW:<br>Council Regulation (EEC) No. 2913/92 (Community Customs Code)  |           |       |   | NW:<br>Criminal Code, Art. 199,<br>p. 2                                   |         |
|  |                |  |        |        | Commission Regulation No. 2454/1993 (Implementing Provisions to the Community Customs Code)                                   |           |       |   | Code of Administrative<br>Offences, Art. 42(2);<br>Art. 43(5); Art. 51(5) |         |
|  |                |  |        |        | EU Regulation no. 648 of 13 April 2005 (Security Amendment)   |           |       |   | Customs Law of 2004 as last amended in 2012                               |         |
| 1  | Border control | x  | x      | х      | Regulation (EC) No 1889/2005 of the European Parliament and of the Council of 26 October 2005 on controls of cash entering or | x         | x     | x | CW:<br>Criminal Code, Art. 199,<br>p. 2                                   |         |
|  |                |  |        |        | leaving the Community  Customs Law of 2004 as last amended in 2012  |           |       |   | Code of Administrative<br>Offences, Art. 42(2);<br>Art. 43(5); Art. 51(5) |         |
|  |                |  |        |        | Resolution No. 938 on Approval of Regulations of Transportation of Dangerous and Military Goods of Foreign Forces across      |           |       |   | Customs Law of 2004 as last amended in 2012                               |         |
|  |                |  |        |        | the Territory of Lithuania  |           |       |   | Criminal Code, Art. 199, p. 2   |         |

| Law on the State Border and Protection of            | Code of Administrative |
|--|------------------------|
| 2000 as last amended in 2010                         | Offences, Art. 42(2);  |
| Government Resolution No. 1778 on Ap-                | Art. 43(5); Art. 51(5) |
| proval of Regulations on Inspection of Dan-          | Customs Law of 2004 as |
| gerous Goods Carried by Road, Rail and               | last amended in 2012   |
| Inland Waterways                                     |                        |
|  |                        |
| CW: Council Regulation (EEC) No. 2913/92 (Com-       |                        |
| munity Customs Code)                                 |                        |
|  |                        |
| Commission Regulation No. 2454/1993 (Im-             |                        |
| plementing Provisions to the Community Customs Code) |                        |
| Customs code)  |                        |
| EU Regulation no. 648 of 13 April 2005 (Se-          |                        |
| curity Amendment)                                    |                        |
| Regulation (EC) No 1889/2005 of the Euro-            |                        |
| pean Parliament and of the Council of 26 Oc-         |                        |
| tober 2005 on controls of cash entering or           |                        |
| leaving the Community                                |                        |
| Customs Law of 2004 as last amended in               |                        |
| 2012 (goods are controlled according to the          |                        |
| international agreements)                            |                        |
| Resolution No. 938 on Approval of Regula-            |                        |
| tions of Transportation of Dangerous and             |                        |
| Military Goods of Foreign Forces across the          |                        |
| Territory of Lithuania                               |                        |
| Government Resolution No. 1778 on Ap-                |                        |
| proval of Regulations on Inspection of Dan-          |                        |
| gerous Goods Carried by Road, Rail and               |                        |
| Inland Waterways                                     |                        |
| BW:  |                        |
| Council Regulation (EEC) No. 2913/92 (Com-           |                        |
| munity Customs Code)                                 |                        |
|  |                        |

|   |  |   |   |   | Commission Regulation No. 2454/1993 (Implementing Provisions to the Community Customs Code)  EU Regulation No. 648 of 13 April 2005 (Security Amendment)  Regulation (EC) No 1889/2005 of the European Parliament and of the Council of 26 October 2005 on controls of cash entering or leaving the Community  Customs Law of 2004 as last amended in 2012 (goods are controlled according to the international agreements)  Resolution No. 938 on Approval of Regulations of Transportation of Dangerous and Military Goods of Foreign Forces across the Territory of Lithuania  Government Resolution No. 1778 on Approval of Regulations Goods Carried by Road, Rail and Inland Waterways |   |   |   |  |  |
|---|--|---|---|---|--|---|---|---|--|--|
| 2 | Technical support of<br>border control meas-<br>ures | x | x | х | NW: Customs Law of 2004 as last amended in 2012  Law on the State Border and Protection of 2000 as last amended on 2010  CW: Customs Law of 2004 as last amended in 2012  BW: Customs Law of 2004 as last amended in 2012  | x | х | x | NW: The Customs authorities can be provided with material and technical means according to the programs of technical assistance of the Euro- pean Union and other countries; technical support can also be provided by the Radia- tion Protection Centre (RSC) |  |

|   |   |   |   |   |   |   |   |   | CW: The Customs authorities can be provided with material and technical means according to the programs of technical assistance of the Euro-                     |
|---|---|---|---|---|---|---|---|---|--|
|   |   |   |   |   |   |   |   |   | pean Union and other countries  BW: The Customs authorities can be provided with material and technical means according to the programs of technical             |
|   |   |   |   |   | NW: Law on the Control of Strategic Goods of  |   |   |   | assistance of the European Union and other countries  NW: Criminal Code, Art. 199,   |
| 3 | Control of brokering, trading in, negotiating, otherwise assisting in sale of goods and tech- | x | x | x | 2004 as last amended in 2011  Government Resolution No. 932 on the Approval of Regulations for Licensing Export, Import, Transit and Brokering of Strategic Goods and on Regulations for Enforcing the Control of Strategic Goods of 2004 as last amended in 2012 | x | x | x | p.2; Art. 253 <sup>(1)</sup> Code of Administrative Offences, Art. 189 <sup>(9)</sup> CW: Code of Administrative Offences, Art. 189 <sup>(9)</sup>               |
|   | nology  |   |   |   | Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items  CW:  Law on the Control of Strategic Goods of 2004 as last amended in 201                          |   |   |   | Criminal Code, Art. 199, p.2; Art. 253 <sup>(1)</sup> Code of Administrative Offences, Art. 189 <sup>(9)</sup> <i>BW:</i> Criminal Code, Art. 199, p.2; Art. 253 |

|   |                                       |   |   |   | Government Resolution No. 932 on the Approval of Regulations for Licensing Export, Import, Transit and Brokering of Strategic Goods and on Regulations for Enforcing the Control of Strategic Goods of 2004 as last amended in 2012  **BW:** Council Regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items  Possibility of National General Authorisation for intra-Community trade  Law on the Control of Strategic Goods of 2004 as last amended in 2011  Government Resolution No. 932 on the Approval of Regulations for Licensing Export, Import, Transit and Brokering of Strategic Goods and on Regulations for Enforcing the Control of Strategic Goods of 2004 as last |   |   | Code of Administrative<br>Offences, Art. 189 <sup>(9)</sup>  |
|---|---------------------------------------|---|---|---|---|---|---|--|
| 4 | Enforcement agen-<br>cies/authorities | x | x | х | NW: Customs Law of 2004 as last amended in 2012  CW: Customs Law of 2004 as last amended in 2012  BW: Customs Law of 2004 as last amended in 2012   | x | X | NW: State Security Department (SSD), Interinstitutional Coordination Commission against Terrorism, State Border Guard Service, Police Department, ARAS (specialised police institution)  CW: State Security Department (SSD), Interinstitutional Coordina- |

|   |                                     |   |   |   |  |   |   |   | tion Commission against Terrorism, State Border Guard Service, Police Department, ARAS (specialised police institution)  BW: State Security Department (SSD), Interinstitutional Coordination Commission against Terrorism, State Border Guard Service, Police Department, ARAS (specialised police institution).   |
|---|-------------------------------------|---|---|---|--|---|---|---|---|
| 5 | Export control legislation in place | x | x | x | NW: Law on the Control of Strategic Goods of 2004 as last amended in 2011  Government Resolution No. 932 on the Approval of Regulations for Licensing Export, Import, Transit and Brokering of Strategic Goods and on Regulations for Enforcing the Control of Strategic Goods of 2004 as last amended in 2012  Council Regulation (EC) No. 428/2009  Law on Economic and other Sanctions of 2004  CW: Law on the Control of Strategic Goods of 2004 as last amended in 2011  Council Regulation (EC) No. 428/2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items | x | x | x | NW: Criminal Code, Art. 199, p. 2; Art. 253 <sup>(1)</sup> Code of Administrative Offences, Art. 189 <sup>(9)</sup> CW: Criminal Code, Art. 199, p. 2; Art. 253 <sup>(1)</sup> Code of Administrative Offences, Art. 189 <sup>(9)</sup> BW: Criminal Code, Art. 199, p. 2; Art. 253 <sup>(1)</sup> Code of Administrative Offences, Art. 189 <sup>(9)</sup> |

|   |                      |   |   |   | Law on Implementation of Economic and Other Sanctions of 2004  Government Resolution No. 932 on the Approval of Regulations for Licensing Export, Import, Transit and Brokering of Strategic Goods and on Regulations for Enforcing the Control of Strategic Goods of 2004 as last amended on 2012  BW:  Law on the Control of Strategic Goods of 2004 as last amended in 2011  Council Regulation (EC) No. 428/2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items  Law on Economic and other Sanctions of 2004 as last amended in 2011  Government Resolution No. 932 on the Approval of Regulations for Licensing Export, Import, Transit and Brokering of Strategic Goods and on Regulations for Enforcing the |   |   |   |   |  |
|---|----------------------|---|---|---|---|---|---|---|---|--|
|   |                      |   |   |   | Goods and on Regulations for Enforcing the Control of Strategic Goods of 2004 as last amended on 2012   |   |   |   |   |  |
| 6 | Licensing provisions | х | × | x | NW: Law on the Control of Strategic Goods of 2004 as last amended in 2011  Government Resolution No. 932 on the Approval of Regulations for Licensing Export, Import, Transit and Brokering of Strategic Goods and on Regulations for Enforcing the Control of Strategic Goods of 2004 as last amended on 2012  Council Regulation (EC) No. 1334I2000   | x | × | × | NW: Criminal Code, Art. 199, p. 2; Art. 253 <sup>(1)</sup> Code of Administrative Offences, Art. 42 <sup>(2)</sup> ; Art. 51 <sup>(5)</sup> ; 189 <sup>(9)</sup> Law on the Control of Strategic Goods of 2004 as last amended in 2011, Art. 10, pp. 2, 4 |  |

| Regulations on Licensing the Practices In-   | CW:                               |
|--|-----------------------------------|
| volving Sources of Ionizing Radiation (1999, | Code of Administrative            |
| last amended in 2011) (radioactive materi-   | Offences, Art. 189 <sup>(9)</sup> |
| als)   | 0.110.11000,7.11.01.200           |
| Law on Economic and other Sanctions of       | Criminal Code, Art. 199,          |
| 2004   | p. 2; Art. 253 <sup>(1)</sup>     |
| 2004   | p. 2, Art. 255                    |
| cw:  | Code of Administrative            |
| Law on the Control of Strategic Goods of     | Offences, Art. 189 <sup>(9)</sup> |
| 2004 as last amended in 2011                 |                                   |
|  | BW:                               |
| Council Regulation (EC) No. 428/2009 setting | Criminal Code, Art. 199,          |
| up a Community regime for the control of     | p.2; Art. 253 <sup>(1)</sup>      |
| exports, transfer, brokering and transit of  |                                   |
| dual-use items                               | Code of Administrative            |
| addi de items                                | Offences, Art. 189 <sup>(9)</sup> |
| Law on Implementation of Economic and        | Officiality, Art. 109             |
| Other Sanctions of 2004                      |                                   |
| Other Sanctions of 2004                      |                                   |
| Government Resolution No. 932 on the Ap-     |                                   |
| proval of Regulations for Licensing Export,  |                                   |
| Import, Transit and Brokering of Strategic   |                                   |
| Goods and on Regulations for Enforcing the   |                                   |
|  |                                   |
| Control of Strategic Goods of 2004 as last   |                                   |
| amended on 2012                              |                                   |
| BW:  |                                   |
| Law on the Control of Strategic Goods of     |                                   |
| 2004 as last amended in 2011                 |                                   |
| 2004 as last differined iii 2011             |                                   |
| Council Regulation (EC) No. 428/2009 setting |                                   |
| up a Community regime for the control of     |                                   |
| · · · · · · · · · · · · · · · · · · ·        |                                   |
| exports, transfer, brokering and transit of  |                                   |
| dual-use items                               |                                   |
| Law on Economic and other Sanctions of       |                                   |
| 2004 as last amended in 2011                 |                                   |
| 2004 as last differiued in 2011              |                                   |
| Government Resolution No. 932 on the Ap-     |                                   |
| proval of Regulations for Licensing Export,  |                                   |
| provar or negulations for Licensing Export,  |                                   |

|   |                      |   |   |   | Import, Transit and Brokering of Strategic<br>Goods and on Regulations for Enforcing the<br>Control of Strategic Goods of 2004 as last<br>amended on 2012  |  |  |  |
|---|----------------------|---|---|---|--|--|--|--|
| 7 | Individual licensing | x | x | x | NW: Government Resolution No. 932 on the Approval of Regulations for Licensing Export, Import, Transit and Brokering of Strategic Goods and on Regulations for Enforcing the Control of Strategic Goods of 2004 as last amended on 2012  Regulations of Licensing the Practices Involving Sources of Ionizing Radiation (1999, last amended in 2011) (radioactive materials)  CW: Government Resolution No. 932 on the Approval of Regulations for Licensing Export, Import, Transit and Brokering of Strategic Goods and on Regulations for Enforcing the Control of Strategic Goods of 2004 as last amended on 2012  BW: Law on the Control of Strategic Goods of 2004 as last amended in 2011  Council Regulation (EC) No. 428/2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items  Law on Economic and other Sanctions of 2004 as last amended in 2011  Government Resolution No 932 on the Approval of Regulations for Licensing Export, |  | NW: Law on the Control of Strategic Goods of 2004 as last amended in 2011, Art. 10, pp. 2, 4 |  |

|          |               |   | Import, Transit and Brokering of Strategic<br>Goods and on Regulations for Enforcing the<br>Control of Strategic Goods of 2004 as last<br>amended on 2012  |  |
|----------|---------------|---|--|--|
| 8 Genera | I licensing x | x | CW: Law on the Control of Strategic Goods of 2004 as last amended in 2011  Council Regulation (EC) No. 428/2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items  Government Resolution No. 932 on the Approval of Regulations for Licensing Export, Import, Transit and Brokering of Strategic Goods and on Regulations for Enforcing the Control of Strategic Goods of 2004 as last amended on 2012  Common List of Military Equipment (Government Resolution No. 807 of 2007).  BW: Law on the Control of Strategic Goods of 2004 as last amended in 2011  Council Regulation (EC) No. 428/2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items  Law on Economic and Other Sanctions of 2004 as last amended in 2011  Government Resolution No. 932 on the Approval of Regulations for Licensing Export, Import, Transit and Brokering of Strategic Goods and on Regulations for Enforcing the | NW: Law on the Control of Strategic Goods of 2004 as last amended in 2011, Art. 10, pp. 2, 4 |

|    |                                 |   |   | Control of Strategic Goods of 2004 as last amended on 2012  |   |   |  |
|----|---------------------------------|---|---|---|---|---|--|
| 9  | Exceptions from licensing       | x | x | NW: Council Regulation (EC) n. 428/2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items  Law on Radiation Protection of 1999 as last amended in 2011  Possibility of National General Authorisation for intra-Community Trade Regulations on Licensing the Practices Involving Sources of Ionizing Radiation (1999, last amended in 2011) (radioactive materials)  BW: Council Regulation (EC) n. 428/2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items)  Possibility of National General Authorisation for intra-Community trade. | x | x | An authorisation shall be required for intra-Community transfers of dual-use items listed in Annex IV. Items listed in Part 2 of Annex IV shall not be covered by a general authorisation.  BW: An authorisation shall be required for intra-Community transfers of dual-use items listed in Annex IV. Items listed in Part 2 of Annex IV shall not be covered by a general authorisation. |
| 10 | Licensing of deemed export/visa | x | x | NW: Council Joint Action of 22 June 2000 concerning the control of technical assistance related to certain military end-uses  Law on the Control of Strategic Goods of 2004 as last amended in 2011  Government Resolution No. 932 on the Approval of Regulations for Licensing Export, Import, Transit and Brokerage of Strategic Goods and on Regulations for Enforcing the Control of Strategic Goods of 22 July 2004 as last amended in 2006  |   |   |  |

|    |                              |   |   |   | Regulations on Licensing the Practices Involving Sources of Ionizing Radiation (1999, last amended in 2011) (radioactive materials)  **BW:* Council Joint Action of 22 June 2000 concerning the control of technical assistance related to certain military end-uses  Law on the Control of Strategic Goods of 2004 as last amended in 201  Government Resolution No. 932 on the Approval of Regulations for Licensing Export, Import, Transit and Brokerage of Strategic Goods and on Regulations for Enforcing the Control of Strategic Goods of 22 July 2004 as last amended in 2006  |   |   |
|----|------------------------------|---|---|---|--|---|---|
| 11 | National licensing authority | x | x | х | NW: Ministry of Economy (licensing of import/export of dual use items  Radiation Protection Centre (licensing of transport of radioactive materials and radioactive waste, issuance of single permits  State Nuclear Power Safety Inspectorate (licensing of transportation of nuclear fuel cycle materials, and licensing of transportation of nuclear materials and fissile materials in quantities of more than 5 g of nuclear or fissile material isotope, issuance of single permits for (a) shipment (import, export and transit transportation) of radioactive waste generated during the nuclear fuel cycle; (b) shipment (import, export and transit transportation) of spent nuclear fuel; (c) shipment (import, export and transit transportation) of nuclear materials and fissile materi- | x | NW: Code of Administrative Offences, Art .42 (2); Art. 51 (5), Art. 94 and 94(1); Art. 189 (9)  Law on Nuclear Safety, Art. 6, p. 2; Art. 27; Art. 29, p. 1, subpara.; Art. 47, pp. 1 and 2 |

|    |                                 |   |   |   | als in quantities established in the Rules on transport, import, transit and export of radioactive material, radioactive waste and spent nuclear fuel (2008), issuance of enduser statement for import of nuclear-related dual-use items  CW: Ministry of Economy  BW: Ministry of Economy                                  |  |   |  |
|----|---------------------------------|---|---|---|---|--|---|--|
| 12 | Interagency review for licences | x | x | х | NW: Commission on the Issues of Licensing of Export, Import, Transit and Brokering of Strategic Goods  CW: Commission on the Issues of Licensing of Export, Import, Transit and Brokering of strategic Goods  BW: Commission on the Issues of Licensing of Export, Import, Transit and brokering of Strategic Goods         |  |   |  |
| 13 | Control lists                   | x | x | x | NW: Common List of Military Equipment (Government Resolution No. 807 of 2007)  Council Regulation (EC) No. 428/2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items  CW: Common List of Military Equipment (Government Resolution No. 1398 of 8 November 2004); |  | NW:<br>Code of Administrative<br>Offences Art. 189(9) |  |

|    |                           |   |   |   | Council Regulation (EC) No. 428/2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items  BW:  Common List of Military Equipment (Government Resolution No. 807 of 2007)  Council Regulation (EC) No. 428/2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use item  |
|----|---------------------------|---|---|---|--|
| 14 | Updating of lists         | x | x | x | NW:  Common List of Military Equipment (Government Resolution No. 807 of 2007) will be updated in the future following changes in the EU Common List of Military Equipment  CW:  Common List of Military Equipment (Government Resolution No. 807 of 2007) will be updated in the future following the changes in the EU Common List of Military Equipment  BW:  Common List of Military Equipment (Government Resolution No. 807 of 2007) will be updated in the future following the changes in the EU Common List of Military Equipment |
| 15 | Inclusion of technologies | x | x | x | NW: Law on the Control of Strategic Goods of 2004 as last amended in 2011  Council Regulation (EC) No. 428/2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items  |

|    |                                   |   |   |   | CW: Law on the Control of Strategic Goods of 2004 as last amended in 201  Council Regulation (EC) No. 428/2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items  BW: Law on the Control of Strategic Goods of 2004 as last amended in 20112  Council Regulation (EC) No. 428/2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items   |
|----|-----------------------------------|---|---|---|--|
| 16 | Inclusion of means of<br>delivery | x | x | х | NW: Law on the Control of Strategic Goods of 2004 as last amended in 2011  Council Regulation (EC) No. 428/2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items  CW: Law on the Control of Strategic Goods of 2004 as last amended in 2011  Council Regulation (EC) No. 428/2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items  BW: Law on the Control of Strategic Goods of 2004 as last amended in 2011 Council Regulation (EC) No. 428/2009 setting |

|    |                   |   |   |   | up a Community regime for the control of exports, transfer, brokering and transit of dual-use items  |   |  |
|----|-------------------|---|---|---|--|---|--|
| 17 | End-user controls | x | x | x | NW:  Law on the Control of Strategic Goods of 2004 as last amended in 2011  Council Regulation (EC) No. 428/2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items  Government Resolution No. 653 on Approval of Rules on Licencing Practices with Sources of Ionising Radiation of 1999 as last amended on 2011  Regulations on import, export, transit and transportation of radioactive materials, radioactive waste and spent nuclear fuel in the Republic of Lithuania, approved by the Order of the Minister of Health and the Head of the State Nuclear Power Safety Inspectorate in 2008  CW:  Law on the Control of Strategic Goods of 2004 as last amended in 2011  Council Regulation (EC) No. 428/2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items  BW:  Law on the Control of Strategic Goods of 2004 as last amended in 2011 | x | NW: Code of Administrative Offences, Art. 42 <sup>(2)</sup> and Art. 51 <sup>(5)</sup> , Art. 94, Art. 94(1), Art. 189(9), |

|    |                  |   |   |   | Council Regulation (EC) No. 428/2009 setting  |  |                        |  |
|----|------------------|---|---|---|---|--|------------------------|--|
|    |                  |   |   |   | up a Community regime for the control of  |  |                        |  |
|    |                  |   |   |   | exports, transfer, brokering and transit of   |  |                        |  |
|    |                  |   |   |   | dual-use items  |  |                        |  |
|    |                  |   |   |   | NW:   |  | NW:                    |  |
|    |                  |   |   |   | Law on the Control of Strategic Goods of  |  | Code of Administrative |  |
|    |                  |   |   |   | 2004 as last amended in 2011  |  | Offences, Art. 189(9)  |  |
|    |                  |   |   |   | Council Regulation (EC) No. 428/2009 setting  |  |                        |  |
|    |                  |   |   |   | up a Community regime for the control of  |  |                        |  |
|    |                  |   |   |   | exports, transfer, brokering and transit of dual-use items                            |  |                        |  |
|    |                  |   |   |   | dual-use items  |  |                        |  |
|    |                  |   |   |   | Regulations on import, export, transit and  |  |                        |  |
|    |                  |   |   |   | transportation of radioactive materials, ra-  |  |                        |  |
|    |                  |   |   |   | dioactive waste and spent nuclear fuel in the   |  |                        |  |
|    |                  |   |   |   | Republic of Lithuania, approved by the Order  |  |                        |  |
|    |                  |   |   |   | of the Minister of Health and the Head of the State Nuclear Power Safety Inspectorate |  |                        |  |
|    |                  |   |   |   | in 2008   |  |                        |  |
| 18 | Catch all clause | х | х | х | CW:   |  |                        |  |
|    |                  |   |   |   | Law on the Control of Strategic Goods of  |  |                        |  |
|    |                  |   |   |   | 2004 as last amended in 2011  |  |                        |  |
|    |                  |   |   |   |   |  |                        |  |
|    |                  |   |   |   | Council Regulation (EC) No. 428/2009 setting  |  |                        |  |
|    |                  |   |   |   | up a Community regime for the control of  |  |                        |  |
|    |                  |   |   |   | exports, transfer, brokering and transit of dual-use items                            |  |                        |  |
|    |                  |   |   |   | dual-use items  |  |                        |  |
|    |                  |   |   |   | BW:   |  |                        |  |
|    |                  |   |   |   | Law on the Control of Strategic Goods of  |  |                        |  |
|    |                  |   |   |   | 2004 as last amended in 2011  |  |                        |  |
|    |                  |   |   |   | Council Regulation (EC) No. 428/2009 setting  |  |                        |  |
|    |                  |   |   |   | up a Community regime for the control of  |  |                        |  |
|    |                  |   |   |   | exports, transfer, brokering and transit of   |  |                        |  |
|    |                  |   |   |   | dual-use items  |  |                        |  |

| 19 | Intangible transfers | x | x | x | NW: Law on the Control of Strategic Goods of 2004 as last amended in 2011  Council Regulation (EC) No. 428/2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items  CW: Law on the Control of Strategic Goods of 2004 as last amended in 2011  Council Regulation (EC) No. 428/2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items  BW: Law on the Control of Strategic Goods of 2004 as last amended in 2011  Council Regulation (EC) No. 428/2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items |   |   |   | NW: Code of Administrative Offences, Art. 189(9)  |
|----|----------------------|---|---|---|---|---|---|---|---|
| 20 | Transit control      | x | × | × | NW: Military equipment only: Law on the Control of Strategic Goods of 2004 as last amended in 2011  Government Resolution No. 932 on the Approval of Regulations for Licensing Export, Import, Transit and Brokering of Strategic Goods and on Regulations for Enforcing the Control of Strategic Goods of 2004 as last amended in 2012   | x | x | × | NW: Code of Administrative Offences, Art. 2 <sup>(2)</sup> ; Art. 51 <sup>(5)</sup> ;,Art. 94 and 94(1)  Law on Nuclear Safety, Art. 6, p. 2; Art. 27; Art. 29, p. 1, subpara. 1; Art. 47, pp. 1 and 2  Code of Administrative Offences , Art .189 <sup>(9)</sup> |

|    |                        |   |   | Nuclear materials: Rules on transport, import, transit and export of radioactive material, radioactive waste and spent nuclear fuel (2008, as last amended in 2012)  CW: Military equipment only: Law on the Control of Strategic Goods of 2004 as last amended in 2011  Government Resolution No. 932 on the Approval of Regulations for Licensing Export, Import, Transit and Brokering of Strategic Goods and on Regulations for Enforcing the Control of Strategic Goods of 2004 as last amended in 2012  BW: Military equipment only: Law on the Control of Strategic Goods of 2004 as last amended in 2011  Government Resolution No. 932 on the Approval of Regulations for Licensing Export, Import, Transit and Brokering of Strategic Goods and on Regulations for Enforcing the Control of Strategic Goods of 2004 as last amended in 2012 |   | CW: Code of Administrative Offences, Art. 189 <sup>(9)</sup> BW: Code of Administrative Offences , Art. 189 <sup>(9)</sup>  |
|----|------------------------|---|---|---|---|---|
| 21 | Trans-shipment control | × | x | NW: Nuclear materials: Rules on transport, import, transit and export of radioactive material, radioactive waste and spent nuclear fuel (2008, as last amended in 2012)  BW: Law on the Control of Strategic Goods of 2004 as last amended in 2011  | x | NW: Code of Administrative Offences, Art. 42 <sup>(2)</sup> ; Art. 51 <sup>(5)</sup> ; Art. 94 and 94(1)  Law on Nuclear Safety, Art. 6, p. 2; Art. 27; Art. 29, p. 1, subpara. 1; Art. 47, pp. 1 and 2 |

|    |   |   |   | Government Resolution No. 932 on the Approval of Regulations for Licensing Export, Import, Transit and Brokering of Strategic Goods and on Regulations for Enforcing the Control of Strategic Goods of 2004 as last amended in 2012  |   |   |   |  |
|----|---|---|---|--|---|---|---|--|
| 22 | Re-export control                       | x |   | Council Regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items  EU Regulation No. 648 of 13 April 2005 (Security Amendment)  Law on the Export, Import and Transit Control of Strategic Goods of 29 April 2004 as last amended in 2006  |   |   |   |  |
| 23 | Control of providing funds              |   |   |  |   |   |   |  |
| 24 | Control of providing transport services |   |   |  |   |   |   |  |
| 25 | Control of importation                  | x | x | Military equipment only: Law on the Control of Strategic Goods of 2004 as last amended in 2011  Government Resolution No 932 on the Approval of Regulations for Licensing Export, Import, Transit and Brokering of Strategic Goods and on Regulations for Enforcing the Control of Strategic Goods of 2004 as last amended in 2012  Nuclear materials: Rules on transport, import, transit and export of radioactive material, radioactive waste and spent nuclear fuel (2008, as amended in 2012) | x | x | x | NW: Code of Administrative Offences, Art. 42(2); Art. 51 <sup>(5)</sup> ; Art. 94 and Art. 94(1)  Law on Nuclear Safety, Art. 6, p. 2; Art. 27, Art. 29, p. 1, subpara. 1; Art. 47, pp. 1 and 2  Code of Administrative Offencess, Art. 189 <sup>(9)</sup> Import and export of all sources are collected in the State Register of Sources of Ionising Radiation and Exposure of Workers |

|    |                                     | CW: Military equipment only: Law on the Control of Strategic Goods of 2004 as last amended in 2011  Government Resolution No 932 on the Approval of Regulations for Licensing Export, | CW: Code of Administrative Offences, Art. 189 <sup>(9)</sup> BW: Code of Administrative Offences , Art. 189 <sup>(9)</sup> |  |
|----|-------------------------------------|---|--|--|
|    |                                     | Import, Transit and Brokering of Strategic<br>Goods and on Regulations for Enforcing the<br>Control of Strategic Goods of 2004 as last<br>amended on 2012.                            |  |  |
| 26 | Extraterritorial appli-<br>cability |   |  |  |

State:

{State's name}

Date

(date)

|    | information be pro-<br>d on the following is-                                | YES |   | Remarks |
|----|--|-----|---|---------|
| 1  | Control lists - items<br>(goods/ equipment/<br>materials/ technolo-<br>gies) | х   | Council Regulation (EC) No. 428/2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items  Common List of Military Equipment (Government Resolution No. 807 of 2007) will be updated in the future following the changes in the EU Common List of Military Equipment     |         |
| 2  | Control lists - other  |     |   |         |
| 3  | Assistance offered   |     |   |         |
| 4  | Assistance requested   | No  | No assistance requested   |         |
| 5  | Point of Contact for assistance  |     |   |         |
| 6  | Assistance in place<br>(bilateral/<br>multilateral)                          |     | Trilateral - among the Livermore National Laboratory of the University of California (United States of America), Customs Training Centre of the Customs Department of Lithuania and the Radiation Protection Centre - in the field of identification of dual-use items and technologies that can be used for developing of WMD. |         |
| 7  | Work with and in-<br>form industry   |     |   |         |
| 8  | Work with and inform the public  |     |   |         |
| 9  | Point of Contact   |     |   |         |
| 10 | Other <sup>d</sup>   |     |   |         |

<sup>&</sup>lt;sup>d</sup> Information may include references to voluntary implementation of national action plans and visits to States, at their invitation, by the 1540 Committee.