



## 安全理事会

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### 2005 年 12 月 16 日安全理事会第 1540(2004) 号决议所设委员会主席给 安全理事会主席的信

谨代表安全理事会第 1540 (2004) 号决议所设委员会，向安理会提交所附的委员会主席的报告。

请提请安全理事会成员注意此信及其附件，并将它们作为安理会文件分发给  
荷。

安全理事会第 1540 (2004) 号决议  
所设委员会

主席

米赫内亚·莫措克（签字）



## 附件

### 安全理事会第 1540 (2004) 号决议所设委员会主席给安全理事会的报告

#### 一. 引言

1. 本文件阐述了安全理事会第 1540 (2004) 号决议所设委员会在 2005 年 1 月 1 日至 12 月 16 日期间开展的活动和取得的成果。
2. 报告是主席编写的，因此由主席裁定和负责。但是，委员会主席同委员会成员进行了协商，以编写一份内有全体成员共同意见的报告。

#### 二. 法律框架

3. 安全理事会于 2004 年 4 月 28 日一致通过了第 1540 (2004) 号决议。安理会在第 1540 (2004) 号决议中申明，核武器、化学武器和生物武器及其运载工具的扩散是对国际和平与安全的威胁。安理会根据《联合国宪章》第七章采取行动，决定如下：

- 各国应不向企图开发、获取、制造、拥有、运输、转移或使用核生化武器及其运载工具的非国家行为者提供任何形式的支持，
- 各国应通过和实施适当、有效的法律，禁止任何非国家行为者制造、获取、拥有、开发、运输、转移或使用核生化武器及其运载工具，
- 各国应采取和实施有效措施，建立国内管制，以防止核生化武器及其运载工具的扩散。各国的管制包括采取措施安全存放和保护这类物品；实行出口和边境管制；管制过境和再出口，管制为这种出口和转口提供资金和服务，例如助长扩散的融资和运输；实行最终用户管制；采取执法行动；和制订及改进有关立法和行政规定。

第 1540 (2004) 号决议规定，所有国家都有具有约束力的义务，根据本国的程序，在不改变《不扩散核武器条约》、《关于禁止发展、生产、储存和使用化学武器及销毁此种武器的公约》（《化学武器公约》）和《禁止细菌(生物)及毒素武器的发展、生产和储存以及销毁这类武器的公约》（《生物武器公约》）规定的缔约国权利和义务和国际原子能机构（原子能机构）或禁止化学武器组织的职责的情况下，采取立法和行政行动。

#### 三. 聘请专家

4. 2004 年 12 月 20 日，秘书长通知安全理事会主席说，他打算任命 4 名专家，以协助委员会审议各国根据第 1540 (2004) 号决议提交的报告。
5. 2005 年 1 月 7 日，安全理事会注意到秘书长的打算。

6. 4 名专家于 2 月和 3 月签署了裁军事务部签发的合同，开始为委员会工作。
7. 2005 年 5 月 6 日，秘书长通知安全理事会主席说，委员会于 2005 年 4 月 19 日批准增聘专家，他已经任命了 4 名专家协助委员会工作。
8. 新任命的 4 名专家于 2005 年 6 月和 7 月参加了现有的专家组。
9. 任命 8 名专家符合第 1540 (2004) 号决议的有关规定和委员会开展工作的准则。

#### 四. 向安全理事会通报情况和工作方案

10. 2005 年 4 月 25 日，委员会主席向安全理事会通报了取得的进展和委员会 4 月 1 日至 6 月 30 日期间的工作方案。
11. 2005 年 7 月 11 日，委员会主席向安全理事会通报了取得的进展和委员会 7 月 1 日至 9 月 30 日期间的工作方案。
12. 2005 年 10 月 26 日，委员会主席向安全理事会通报了取得的进展和委员会 10 月 1 日至 12 月 31 日期间的工作方案。
13. 各工作方案的重大事项包括审查报告、通过对外建立联系办法，鼓励进一步提交报告和协助提供援助以执行第 1540 (2004) 号决议，还包括同国际组织联系，充分保持委员会活动的透明度。

#### 五. 提交报告

14. 安理会在第 1540 (2004) 号决议中呼吁所有国家至迟于 2004 年 10 月 28 日向委员会提交第一次报告，说明它们为执行决议已经或准备采取的措施。经委员会核准，主席 2005 年继续另外向所有国家发送通知，指明这一期限，鼓励它们向委员会提交本国的报告。此外，主席还参加了拉丁美洲和加勒比国家集团以及亚洲国家集团在纽约召开的两次会议，呼吁会员国提交报告。
15. 截至 2005 年 12 月 16 日，共有 124 个国家和 1 个组织已向委员会提交了报告（见附录一）。附录二列有尚未提交报告的国家。

#### 六. 审查各国的报告

16. 委员会已经在专家的协助下，根据决议的规定，制订了一个汇总表，用于审查各国的报告。用这一汇总表概要列出了第一次国家报告提供的信息。汇总表中还有从各国提交给联合国、原子能机构和禁止化学武器组织及其他国际组织的官方公开资料中收集到的其他信息，涉及它们在第 1540 号决议所涉领域中采取的措施。
17. 为了全面了解各国根据第 1540 号决议规定的义务采取的措施，委员会在进行上述审查的基础上，同那些已经提交报告的国家进行了联系，以便进一步索取

信息或要求在第一次报告涉及的领域作解释说明。已经起草了给所有 124 个已提交第一次报告的国家的信函，要求它们进一步提供信息和/或作解释说明。大多数信函已发送给会员国，剩余为数不多的信函很快就会发送。为透明起见，委员会决定也把汇总表提供给提交报告国家。

18. 截至 2005 年 12 月 16 日，42 个国家已经回复了主席索取进一步信息的信函（见附录三）。它们向委员会提交了一份以叙述形式编写的最新报告，其中大多数报告都附有一份经过修订的汇总表。委员会的网站上除了第一次国家报告外，也有这些报告。

## 七. 与国际组织的合作和建立联系

19. 委员会认为，必要时，有些国家可能需要那些在第 1540（2004）号决议所涉领域中拥有专长的国际组织，尤其是国际原子能机构和禁止化学武器组织，为其提供技术援助。

20. 2005 年 4 月 13 日，应主席的邀请，禁止化学武器组织总干事和原子能机构代表向委员会通报了这两个组织开展的活动，尤其是在提供援助，使各国更好地履行《化学武器公约》、《不扩散核武器公约》和各项核安全和核保障公约规定的义务方面。

21. 2005 年 9 月 8 日，主席在第二届世界议长大会上发表了讲话。这是第一次同各国议会领袖接触，向他们介绍第 1540（2004）号决议，争取他们通过把决议的规定列入本国立法的方式，来支持决议的执行工作。

22. 主席、委员会成员和专家参加了各类讨论会、讲习班和会议，阐述第 1540 号决议的规定和委员会的活动，以便提高人们的认识，争取他们支持该决议的执行工作。附录四列有有关的各项活动。

## 八. 援助

23. 委员会在审议各国的报告时，查明了有关某些国家愿意提供援助的类别和申请援助以执行第 1540 号决议的信息。委员会有系统地编列了这类信息。委员会的网站（见 <http://disarmament2.un.org/committee1540>）上有这一信息。委员会已经表明哪一个国家可以在哪些方面提供援助，并表明如何通过双边途径就援助事项进行接触。已经通过一份普通照会向所有愿意提供援助的国家发送了要求提供援助的清单。

24. 委员会将继续在援助问题上起信息中心的作用。委员会在进一步审查各国提交的报告和补充资料时，将不断更新有关援助的信息，以便协助执行第 1540（2004）号决议。

25. 为了有效地发挥它作为援助事项信息中心的作用，委员会已经要求并会继续酌情要求各国指定援助事项联络人。

## 九. 透明度

26. 透明度是委员会工作的一个重点。主席和副主席都定期同联合国会员国联系。这包括同联合国媒体合作，同安全理事会关于反恐怖主义的第 1373(2001) 号决议所设委员会(反恐委员会)和安全理事会关于阿富汗问题的第 1267(1999) 号决议所设委员会(制裁基地组织/塔利班委员会)主席一起通报情况。委员会不断更新它的网页，使它成为一个第 1540 号决议相关信息的来源。

## 十. 一般性结论

27. 在本报告所述期间，工作的重点是让委员会全面开始运作。专家组为委员会提供的协助非常有用，尤其是在审议会员国根据第 1540(2004) 号决议提交的国家报告方面。委员会已经实现了 2005 年工作方案规定的目标，因而为编写将于 2006 年 4 月提交给安全理事会的委员会报告奠定了必要的基础。

28. 委员会期望所有国家在它在今后几个月中继续开展工作时予以合作。所有国家制订本国立法和采取措施执行这些立法，以此全面执行第 1540 号决议，是一个长期目标；它超越了委员会的现行任务。将需要在国家、区域和国际一级不断努力提供能力建设和援助。

29. 委员会坚信，安全理事会会考虑如何以最佳方式确保委员会的工作将对不扩散作出持久的贡献。

## Appendix I

## Submission of national reports

## List of submitting Member States as of 16 December 2005

(In accordance with paragraph 4 of resolution 1540 (2004) and/or in reply to note verbale SCA/10/04(02) dated 21 June 2004)

|    | <i>Submitting State</i> | <i>Date of submission</i> | <i>S/Symbol</i>       |
|----|-------------------------|---------------------------|-----------------------|
| 1  | Albania                 | 28 Oct 2004               | S/AC.44/2004/(02)/38  |
| 2  | Algeria                 | 10 Nov 2004               | S/AC.44/2004/(02)/73  |
| 3  | Andorra                 | 27 Oct 2004               | S/AC.44/2004/(02)/19  |
| 4  | Angola                  | 27 Oct 2004               | S/AC.44/2004/(02)/29  |
| 5  | Argentina               | 26 Oct 2004               | S/AC.44/2004/(02)/13  |
| 6  | Armenia                 | 9 Nov 2004                | S/AC.44/2004/(02)/72  |
| 7  | Australia               | 28 Oct 2004               | S/AC.44/2004/(02)/53  |
| 8  | Austria                 | 28 Oct 2004               | S/AC.44/2004/(02)/51  |
| 9  | Azerbaijan              | 28 Oct 2004               | S/AC.44/2004/(02)/66  |
| 10 | Bahamas                 | 28 Oct 2004               | S/AC.44/2004/(02)/42  |
| 11 | Bahrain                 | 22 Dec 2004               | S/AC.44/2004/(02)/93  |
| 12 | Belarus                 | 20 Oct 2004               | S/AC.44/2004/(02)/16  |
| 13 | Belgium                 | 26 Oct 2004               | S/AC.44/2004/(02)/12  |
| 14 | Belize                  | 20 Oct 2004               | S/AC.44/2004/(02)/7   |
| 15 | Benin                   | 3 Mar 2005                | S/AC.44/2004/(02)/106 |
| 16 | Bolivia                 | 14 Mar 2005               | S/AC.44/2004/(02)/107 |
| 17 | Bosnia and Herzegovina  | 22 Nov 2004               | S/AC.44/2004/(02)/80  |
| 18 | Brazil                  | 26 Oct 2004               | S/AC.44/2004/(02)/17  |
| 19 | Brunei Darussalam       | 30 Dec 2004               | S/AC.44/2004/(02)/96  |
| 20 | Bulgaria                | 18 Nov 2004               | S/AC.44/2004/(02)/79  |
| 21 | Burkina Faso            | 4 Jan 2005                | S/AC.44/2004/(02)/99  |
| 22 | Cambodia                | 21 Mar 2005               | S/AC.44/2004/(02)/110 |
| 23 | Canada                  | 31 Dec 2004               | S/AC.44/2004/(02)/98  |

|    | <i>Submitting State</i>    | <i>Date of submission</i> | <i>S/Symbol</i>                |
|----|----------------------------|---------------------------|--------------------------------|
| 24 | Chile                      | 27 Oct 2004               | S/AC. 44/2004/ (02) /27        |
|    |                            | 9 May 2005                | S/AC. 44/2004/ (02) /27/Add. 1 |
| 25 | China                      | 4 Oct 2004                | S/AC. 44/2004/ (02) /4         |
| 26 | Colombia                   | 9 Feb 2004                | S/AC. 44/2004/ (02) /103       |
| 27 | Costa Rica                 | 4 Aug 2004                | S/AC. 44/2004/ (02) /1         |
| 28 | Croatia                    | 30 Nov 2004               | S/AC. 44/2004/ (02) /86        |
| 29 | Cuba                       | 28 Oct 2004               | S/AC. 44/2004/ (02) /50        |
| 30 | Cyprus                     | 30 Nov 2004               | S/AC. 44/2004/ (02) /85        |
| 31 | Czech Republic             | 27 Oct 2004               | S/AC. 44/2004/ (02) /28        |
| 32 | Denmark                    | 28 Oct 2004               | S/AC. 44/2004/ (02) /30        |
| 33 | Djibouti                   | 17 Mar 2005               | S/AC. 44/2004/ (02) /108       |
| 34 | Ecuador                    | 12 April 2005             | S/AC. 44/2004/ (02) /114       |
| 35 | Egypt                      | 28 Oct 2004               | S/AC. 44/2004/ (02) /88        |
| 36 | El Salvador                | 28 Oct 2005               | S/AC. 44/2004/ (02) /124       |
| 37 | Estonia                    | 29 Oct 2004               | S/AC. 44/2004/ (02) /69        |
| 38 | Finland                    | 28 Oct 2004               | S/AC. 44/2004/ (02) /57        |
| 39 | France                     | 28 Oct 2004               | S/AC. 44/2004/ (02) /58        |
| 40 | Georgia                    | 28 Oct 2004               | S/AC. 44/2004/ (02) /37        |
| 41 | Germany                    | 26 Oct 2004               | S/AC. 44/2004/ (02) /20        |
| 42 | Ghana                      | 5 Nov 2004                | S/AC. 44/2004/ (02) /76        |
| 43 | Greece                     | 25 Oct 2004               | S/AC. 44/2004/ (02) /10        |
| 44 | Grenada                    | 26 Oct 2005               | S/AC. 44/2004/ (02) /123       |
| 45 | Guatemala                  | 27 Oct 2004               | S/AC. 44/2004/ (02) /18        |
| 46 | Guyana                     | 11 Nov 2004               | S/AC. 44/2004/ (02) /78        |
| 47 | Hungary                    | 27 Oct 2004               | S/AC. 44/2004/ (02) /25        |
| 48 | Iceland                    | 28 Oct 2004               | S/AC. 44/2004/ (02) /43        |
| 49 | India                      | 1 Nov 2004                | S/AC. 44/2004/ (02) /62        |
| 50 | Indonesia                  | 28 Oct 2004               | S/AC. 44/2004/ (02) /45        |
| 51 | Iran (Islamic Republic of) | 28 Feb 2005               | S/AC. 44/2004/ (02) /105       |

|    | <i>Submitting State</i>          | <i>Date of submission</i> | <i>S/Symbol</i>       |
|----|----------------------------------|---------------------------|-----------------------|
| 52 | Iraq                             | 13 April 2005             | S/AC.44/2004/(02)/116 |
| 53 | Ireland                          | 28 Oct 2004               | S/AC.44/2004/(02)/32  |
| 54 | Israel                           | 30 Nov 2004               | S/AC.44/2004/(02)/84  |
| 55 | Italy                            | 28 Oct 2004               | S/AC.44/2004/(02)/52  |
| 56 | Jamaica                          | 5 April 2005              | S/AC.44/2004/(02)/111 |
| 57 | Japan                            | 28 Oct 2004               | S/AC.44/2004/(02)/49  |
| 58 | Jordan                           | 9 Feb 2005                | S/AC.44/2004/(02)/104 |
| 59 | Kazakhstan                       | 3 Nov 2004                | S/AC.44/2004/(02)/68  |
| 60 | Kenya                            | 25 July 2005              | S/AC.44/2004/(02)/121 |
| 61 | Kuwait                           | 31 Mar 2005               | S/AC.44/2004/(02)/109 |
| 62 | Kyrgyzstan                       | 14 Dec 2004               | S/AC.44/2004/(02)/91  |
| 63 | Lao People's Democratic Republic | 3 May 2005                | S/AC.44/2004/(02)/117 |
| 64 | Latvia                           | 28 Oct 2004               | S/AC.44/2004/(02)/40  |
| 65 | Lebanon                          | 23 Nov 2004               | S/AC.44/2004/(02)/83  |
| 66 | Libyan Arab Jamahiriya           | 13 April 2005             | S/AC.44/2004/(02)/115 |
| 67 | Liechtenstein                    | 29 Oct 2004               | S/AC.44/2004/(02)/59  |
| 68 | Lithuania                        | 27 Oct 2004               | S/AC.44/2004/(02)/26  |
| 69 | Luxembourg                       | 29 Oct 2004               | S/AC.44/2004/(02)/56  |
| 70 | Malaysia                         | 26 Oct 2004               | S/AC.44/2004/(02)/35  |
| 71 | Malta                            | 15 Oct 2004               | S/AC.44/2004/(02)/6   |
| 72 | Marshall Islands                 | 23 Nov 2004               | S/AC.44/2004/(02)/82  |
| 73 | Mexico                           | 7 Dec 2004                | S/AC.44/2004/(02)/87  |
| 74 | Monaco                           | 29 Oct 2004               | S/AC.44/2004/(02)/55  |
| 75 | Mongolia                         | 1 June 2005               | S/AC.44/2004/(02)/119 |
| 76 | Morocco                          | 28 Oct 2004               | S/AC.44/2004/(02)/33  |
| 77 | Myanmar                          | 6 April 2005              | S/AC.44/2004/(02)/113 |
| 78 | Namibia                          | 28 Oct 2004               | S/AC.44/2004/(02)/36  |
| 79 | Netherlands                      | 28 Oct 2004               | S/AC.44/2004/(02)/47  |
| 80 | New Zealand                      | 28 Oct 2004               | S/AC.44/2004/(02)/54  |



|     | <i>Submitting State</i> | <i>Date of submission</i> | <i>S/Symbol</i>       |
|-----|-------------------------|---------------------------|-----------------------|
| 81  | Nigeria                 | 28 Oct 2004               | S/AC.44/2004/(02)/46  |
| 82  | Norway                  | 28 Oct 2004               | S/AC.44/2004/(02)/31  |
| 83  | Oman                    | 17 Dec 2004               | S/AC.44/2004/(02)/92  |
| 84  | Pakistan                | 27 Oct 2004               | S/AC.44/2004/(02)/22  |
| 85  | Panama                  | 25 July 2005              | S/AC.44/2004/(02)/120 |
| 86  | Paraguay                | 3 Nov 2004                | S/AC.44/2004/(02)/67  |
| 87  | Peru                    | 28 Oct 2004               | S/AC.44/2004/(02)/60  |
| 88  | Philippines             | 28 Oct 2004               | S/AC.44/2004/(02)/34  |
| 89  | Poland                  | 27 Oct 2004               | S/AC.44/2004/(02)/23  |
| 90  | Portugal                | 28 Oct 2004               | S/AC.44/2004/(02)/44  |
| 91  | Qatar                   | 15 Nov 2004               | S/AC.44/2004/(02)/75  |
| 92  | Republic of Korea       | 27 Oct 2004               | S/AC.44/2004/(02)/24  |
| 93  | Republic of Moldova     | 17 Dec 2004               | S/AC.44/2004/(02)/95  |
| 94  | Romania                 | 27 Oct 2004               | S/AC.44/2004/(02)/21  |
| 95  | Russian Federation      | 26 Oct 2004               | S/AC.44/2004/(02)/14  |
| 96  | Saudi Arabia            | 1 Nov 2004                | S/AC.44/2004/(02)/65  |
| 97  | Senegal                 | 6 April 2005              | S/AC.44/2004/(02)/112 |
| 98  | Serbia and Montenegro   | 5 Jan 2005                | S/AC.44/2004/(02)/100 |
| 99  | Singapore               | 21 Oct 2004               | S/AC.44/2004/(02)/8   |
| 100 | Slovakia                | 1 Nov 2004                | S/AC.44/2004/(02)/64  |
| 101 | Slovenia                | 28 Oct 2004               | S/AC.44/2004/(02)/61  |
| 102 | South Africa            | 31 Jan 2005               | S/AC.44/2004/(02)/102 |
| 103 | Spain                   | 26 Oct 2004               | S/AC.44/2004/(02)/15  |
| 104 | Sri Lanka               | 11 May 2005               | S/AC.44/2004/(02)/118 |
| 105 | Sweden                  | 28 Oct 2004               | S/AC.44/2004/(02)/41  |
| 106 | Switzerland             | 22 Oct 2004               | S/AC.44/2004/(02)/9   |
| 107 | Syrian Arab Republic    | 14 Oct 2004               | S/AC.44/2004/(02)/70  |
| 108 | Tajikistan              | 11 Jan 2005               | S/AC.44/2004/(02)/101 |
| 109 | Thailand                | 5 Nov 2004                | S/AC.44/2004/(02)/71  |

| <i>Submitting State</i>                                  | <i>Date of submission</i> | <i>S/Symbol</i>       |
|----------------------------------------------------------|---------------------------|-----------------------|
| 110 The former Yugoslav Republic of Macedonia            | 22 Nov 2004               | S/AC.44/2004/(02)/81  |
| 111 Tunisia                                              | 10 Dec 2004               | S/AC.44/2004/(02)/90  |
| 112 Turkey                                               | 1 Nov 2004                | S/AC.44/2004/(02)/63  |
| 113 Turkmenistan                                         | 10 Sep 2004               | S/AC.44/2004/(02)/2   |
| 114 Uganda                                               | 14 Sep 2005               | S/AC.44/2004/(02)/125 |
| 115 Ukraine                                              | 25 Oct 2004               | S/AC.44/2004/(02)/11  |
| 116 United Arab Emirates                                 | 9 Dec 2004                | S/AC.44/2004/(02)/89  |
| 117 United Kingdom of Great Britain and Northern Ireland | 29 Sep 2004               | S/AC.44/2004/(02)/3   |
| 118 United Republic of Tanzania                          | 29 Aug 2005               | S/AC.44/2004/(02)/122 |
| 119 United States of America                             | 12 Oct 2004               | S/AC.44/2004/(02)/5   |
| 120 Uruguay                                              | 22 Dec 2004               | S/AC.44/2004/(02)/94  |
| 121 Uzbekistan                                           | 15 Nov 2004               | S/AC.44/2004/(02)/74  |
| 122 Venezuela (Bolivarian Republic of)                   | 16 Nov 2004               | S/AC.44/2004/(02)/77  |
| 123 Viet Nam                                             | 28 Oct 2004               | S/AC.44/2004/(02)/39  |
| 124 Yemen                                                | 29 Dec 2004               | S/AC.44/2004/(02)/97  |
| 1 <b>European Union (non-member)</b>                     | 28 Oct 2004               | S/AC.44/2004/(02)/48  |

*Note:* Reports are translated in the order of submission and published as stated most recently in section 3, paragraph 7, of General Assembly resolution 58/250 of 23 December 2003, regarding simultaneous distribution of documents in all official languages. Once documents are available in all official languages, they may be posted on a United Nations website. However, in some instances, there may be a variance in the processing time for certain reports, due to their length and/or complexity.

## Appendix II

### Submission of national reports

#### List of non-submitting Member States as of 16 December 2005

(In accordance with paragraph 4 of resolution 1540 (2004) and/or in reply to note verbale SCA/10/04(02) dated 21 June 2004)

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|    | <i>Non-submitting State</i>           |
|----|---------------------------------------|
| 1  | Afghanistan                           |
| 2  | Antigua and Barbuda                   |
| 3  | Bangladesh                            |
| 4  | Barbados                              |
| 5  | Bhutan                                |
| 6  | Botswana                              |
| 7  | Burundi                               |
| 8  | Cameroon                              |
| 9  | Cape Verde                            |
| 10 | Central African Republic              |
| 11 | Chad                                  |
| 12 | Comoros                               |
| 13 | Congo                                 |
| 14 | Côte d'Ivoire                         |
| 15 | Democratic People's Republic of Korea |
| 16 | Democratic Republic of the Congo      |
| 17 | Dominica                              |
| 18 | Dominican Republic                    |
| 19 | Equatorial Guinea                     |
| 20 | Eritrea                               |
| 21 | Ethiopia                              |
| 22 | Fiji                                  |
| 23 | Gabon                                 |
| 24 | Gambia                                |
| 25 | Guinea                                |
| 26 | Guinea-Bissau                         |
| 27 | Haiti                                 |
| 28 | Honduras                              |
| 29 | Kiribati                              |
| 30 | Lesotho                               |

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*Non-submitting State*

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|    |                                  |
|----|----------------------------------|
| 31 | Liberia                          |
| 32 | Madagascar                       |
| 33 | Malawi                           |
| 34 | Maldives                         |
| 35 | Mali                             |
| 36 | Mauritania                       |
| 37 | Mauritius                        |
| 38 | Micronesia (Federated States of) |
| 39 | Mozambique                       |
| 40 | Nauru                            |
| 41 | Nepal                            |
| 42 | Nicaragua                        |
| 43 | Niger                            |
| 44 | Palau                            |
| 45 | Papua New Guinea                 |
| 46 | Rwanda                           |
| 47 | Saint Kitts and Nevis            |
| 48 | Saint Lucia                      |
| 49 | Saint Vincent and the Grenadines |
| 50 | Samoa                            |
| 51 | San Marino                       |
| 52 | Sao Tome and Principe            |
| 53 | Seychelles                       |
| 54 | Sierra Leone                     |
| 55 | Solomon Islands                  |
| 56 | Somalia                          |
| 57 | Sudan                            |
| 58 | Suriname                         |
| 59 | Swaziland                        |
| 60 | Timor-Leste                      |
| 61 | Togo                             |
| 62 | Tonga                            |
| 63 | Trinidad and Tobago              |
| 64 | Tuvalu                           |
| 65 | Vanuatu                          |
| 66 | Zambia                           |
| 67 | Zimbabwe                         |

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## Appendix III

## Submission of second national reports as of 16 December 2005

(In accordance with paragraph 4 of resolution 1540 (2004) and/or in reply to note verbale SCA/10/04(02) dated 21 June 2004)

|    | <i>Submitting State</i> | <i>Date of submission</i> | <i>S/Symbol</i>              |
|----|-------------------------|---------------------------|------------------------------|
| 1  | Malta                   | 4 Aug 05                  | S/AC. 44/2004/(02)/6/Add. 1  |
|    |                         | 8 Nov 05                  | S/AC. 44/2004/(02)/6/Add. 2  |
| 2  | Belize                  | 10 Aug 05                 | S/AC. 44/2004/(02)/7/Add. 1  |
| 3  | Portugal                | 19 Aug 05                 | S/AC. 44/2004/(02)/44/Add. 1 |
|    |                         | 5 Dec 05                  | S/AC. 44/2004/(02)/44/Add. 1 |
| 4  | Russian Federation      | 23 Aug 05                 | S/AC. 44/2004/(02)/14/Add. 1 |
| 5  | Syrian Arab Republic    | 26 Aug 05                 | S/AC. 44/2004/(02)/70/Add. 1 |
|    |                         | 29 Sep 05                 | S/AC. 44/2004/(02)/70/Add. 2 |
|    |                         | 7 Nov 05                  | S/AC. 44/2004/(02)/70/Add. 3 |
| 6  | Singapore               | 29 Aug 05                 | S/AC. 44/2004/(02)/8/Add. 1  |
| 7  | France                  | 25 Aug 05                 | S/AC. 44/2004/(02)/58/Add. 1 |
| 8  | Belarus                 | 30 Aug 05                 | S/AC. 44/2004/(02)/16/Add. 1 |
| 9  | China                   | 2 Sep 05                  | S/AC. 44/2004/(02)/4/Add. 1  |
| 10 | Algeria                 | 6 Sep 05                  | S/AC. 44/2004/(02)/73/Add. 1 |
| 11 | United States           | 13 Sep 05                 | S/AC. 44/2004/(02)/5/Add. 1  |
| 12 | Morocco                 | 13 Sep 05                 | S/AC. 44/2004/(02)/33/Add. 1 |
| 13 | United Kingdom          | 19 Sep 05                 | S/AC. 44/2004/(02)/3/Add. 1  |
| 14 | Lithuania               | 21 Sep 05                 | S/AC. 44/2004/(02)/26/Add. 1 |
| 15 | Brazil                  | 22 Sep 05                 | S/AC. 44/2004/(02)/17/Add. 1 |
| 16 | Pakistan                | 19 Sep 05                 | S/AC. 44/2004/(02)/22/Add. 1 |
| 17 | Republic of Korea       | 26 Sep 05                 | S/AC. 44/2004/(02)/24/Add. 1 |
| 18 | Spain                   | 29 Sep 05                 | S/AC. 44/2004/(02)/15/Add. 1 |
| 19 | Ukraine                 | 6 Oct 05                  | S/AC. 44/2004/(02)/11/Add. 1 |
| 20 | Germany                 | 4 Oct 05                  | S/AC. 44/2004/(02)/20/Add. 1 |
| 21 | Norway                  | 12 Oct 05                 | S/AC. 44/2004/(02)/31/Add. 1 |

|    | <i>Submitting State</i>            | <i>Date of submission</i> | <i>S/Symbol</i>             |
|----|------------------------------------|---------------------------|-----------------------------|
| 22 | Philippines                        | 28 Oct 05                 | S/AC.44/2004/(02)/34/Add.1  |
|    |                                    | 5 Dec 05                  | S/AC.44/2004/(02)/34/Add.2  |
| 23 | Venezuela (Bolivarian Republic of) | 7 Nov 05                  | S/AC.44/2004/(02)/77/Add.1  |
|    |                                    | 5 Dec 05                  | S/AC.44/2004/(02)/77/Add.2  |
| 24 | Australia                          | 8 Nov 05                  | S/AC.44/2004/(02)/53/Add.1  |
| 25 | Austria                            | 8 Nov 05                  | S/AC.44/2004/(02)/51/Add.1  |
| 26 | Sweden                             | 8 Nov 05                  | S/AC.44/2004/(02)/41/Add.1  |
| 27 | Denmark                            | 8 Nov 05                  | S/AC.44/2004/(02)/30/Add.1  |
| 28 | Slovenia                           | 8 Nov 05                  | S/AC.44/2004/(02)/61/Add.1  |
| 29 | Uruguay                            | 7 Nov 05                  | S/AC.44/2004/(02)/94/Add.1  |
| 30 | Andorra                            | 31 Oct 05                 | S/AC.44/2004/(02)/19/Add.1  |
| 31 | Romania                            | 11 Nov 05                 | S/AC.44/2004/(02)/21/Add.1  |
| 32 | Greece                             | 14 Nov 05                 | S/AC.44/2004/(02)/10/Add.1  |
| 33 | Netherlands                        | 16 Nov 05                 | S/AC.44/2004/(02)/47/Add.1  |
| 34 | Republic of Moldova                | 21 Nov 05                 | S/AC.44/2004/(02)/95/Add.1  |
| 35 | Indonesia                          | 22 Nov 05                 | S/AC.44/2004/(02)/45/Add.1  |
| 36 | Kazakhstan                         | 1 Dec 05                  | S/AC.44/2004/(02)/68/Add.1  |
| 37 | Italy                              | 5 Dec 05                  | S/AC.44/2004/(02)/52/Add.1  |
| 38 | Libyan Arab Jamahiriya             | 7 Dec 05                  | S/AC.44/2004/(02)/115/Add.1 |
| 39 | Finland                            | 5 Dec 05                  | S/AC.44/2004/(02)/57/Add.1  |
| 40 | Belgium                            | 6 Dec 05                  | S/AC.44/2004/(02)/12/Add.1  |
| 41 | Chile                              | 1 Dec 05                  | S/AC.44/2004/(02)/27/Add.2  |
| 42 | Argentina                          | 13 Dec 05                 | S/AC.44/2004/(02)/13/Add.1  |

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## Appendix IV

## Outreach activities

## List of events as of 16 December 2005

(Seminars, workshops and conferences in which the Chairmen, members of the Committee and experts participated to inform on resolution 1540 (2004))

| <i>Title</i>                                                           | <i>Organizer</i>                                                                               | <i>Date</i>                 | <i>Location</i>          | <i>Participant</i>                                                                                                      |
|------------------------------------------------------------------------|------------------------------------------------------------------------------------------------|-----------------------------|--------------------------|-------------------------------------------------------------------------------------------------------------------------|
| Interpol Global Conference on Biosecurity                              | Interpol                                                                                       | 1–2 March 2005              | Lyon (France)            | Amb. M. Motoc, Chairman                                                                                                 |
| OSCE Forum for Security Cooperation                                    | OSCE                                                                                           | 15 June 2005                | Vienna (Austria)         | V. Slipchenko, expert                                                                                                   |
| 7th International Export Control Conference                            | Governments of Sweden and United States                                                        | 20–22 September 2005        | Stockholm (Sweden)       | R. Cupitt, expert                                                                                                       |
| Regional seminar: Advancing in the implementation of UNSCR 1540 (2004) | Governments of Argentina and United Kingdom                                                    | 26–28 September 2005        | Buenos Aires (Argentina) | M. T. Taguiang, Vice-Chairperson<br>G. Martinic, member of the Committee<br>I. Suseanu, expert<br>V. Slipchenko, expert |
| The Global Bargain for Bio-Security and Health                         | International Law Institute-Uganda and International Consortium for Law and Strategic Security | 28 September–1 October 2005 | Kampala (Uganda)         | B. Andemicael, expert                                                                                                   |
| Looking towards 2010: Finding common ground                            | International Peace Academy                                                                    | 15 October 2005             | New York (USA)           | V. Beck, expert                                                                                                         |
| Effective Elements of Export Control for APEC Economies                | Governments of USA and Viet Nam                                                                | 1–3 November 2005           | Honolulu (USA)           | I. Suseanu, expert                                                                                                      |
| 18th Annual Conference on Global Trade Controls                        | IBC Global Conferences                                                                         | 2–4 November 2005           | London (UK)              | R. Cupitt, expert                                                                                                       |
| Assisting States to Effectively Fulfil UNSCR 1540's Legal Requirements | International Consortium for Law and Strategic Security                                        | 15 November 2005            | New York (USA)           | Amb. M. Motoc, Chairman<br>V. Beck, expert                                                                              |

| <i>Title</i>                                                                                          | <i>Organizer</i>                                                                                                              | <i>Date</i>         | <i>Location</i>     | <i>Participant</i>                        |
|-------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------|---------------------|---------------------|-------------------------------------------|
| The 4th United Nations-Republic of Korea Joint Conference on Disarmament and Non-Proliferation Issues | Government of the Republic of Korea and UNDDA Regional Office for Asia                                                        | 1-3 December 2005   | Busan (ROK)         | R. Monteleone-Neto, expert                |
| International Seminar on Recent Trend of Export Control Norm and its Effect on Korean Enterprises     | Government of the Republic of Korea                                                                                           | 5 December 2005     | Seoul (ROK)         | R. Monteleone-Neto, expert                |
| Nuclear Non-Proliferation: What next after the NPT Review                                             | Wilton Park Conferences                                                                                                       | 12-16 December 2005 | Steyning (UK)       | V. Slipchenko, expert                     |
| 2nd Conference on Preventing Bioterrorism                                                             | The Regional Center for Higher Studies for Preventing Bioterrorism and International Consortium for Law and Strategic Studies | 13-16 December 2005 | Bucharest (Romania) | I. Suseanu, expert                        |
| Briefing by the Chairman and representatives of the NSG                                               | Formal meeting of the 1540 Committee                                                                                          | 30 November 2005    | New York (USA)      | Members of the 1540 Committee and experts |
| Briefing by the Chairman to the Inter-Parliamentary Union                                             | Inter-Parliamentary Union                                                                                                     | 8 September 2005    | UNHQ                | Amb. M. Motoc, Chairman                   |
| Workshop on "A New Role for the United Nations Security Council: Criminalizing WMD Proliferation"     | Institute of Nuclear Materials Management                                                                                     | 15 March 2005       | Arlington (USA)     | Amb. M. Motoc, Chairman                   |
| Briefing by the Chairman to the Latin American Regional Group                                         | GRULAC                                                                                                                        | 6 October 2005      | UNHQ                | Amb. M. Motoc, Chairman                   |
| Briefing by the Chairman to the Asian Regional Group                                                  | The Asian Regional Group                                                                                                      | 22 November 2005    | UNHQ                | Amb. M. Motoc, Chairman                   |