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气候变化框架公约

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议程项目 7
气候变化影响相关损失和损害华沙国际机制

气候变化影响相关损失和损害华沙国际机制

主席的提案

决定草案-/CP.27

避免、尽量减轻和处理气候变化不利影响相关损失和损害问题圣地亚哥网络

《公约》缔约方会议，[1]

*核可*第-/CMA.4 号决定，[2] 其内容如下：

“*回顾*《巴黎协定》以及《公约》缔约方会议和作为《巴黎协定》缔约方会议的《公约》缔约方会议的有关决定，

“1. *回顾*建立避免、尽量减轻和处理气候变化不利影响相关损失和损害问题圣地亚哥网络，是为了加快有关组织、机构、网络和专家提供的技术援助，从而在特别易受气候变化不利影响的发展中国家，在地方、国家和区域各级实施用于避免、尽量减轻和处理气候变化不利影响相关损失和损害的有关方法；[3]

[1] 本文件任何内容均不影响各缔约方的观点，也不预判气候变化影响相关损失和损害华沙国际机制治理事宜的相关审议结果。

[2] 在作为《巴黎协定》缔约方会议的《公约》缔约方会议第四届会议议程项目 7 下提出的题为“避免、尽量减轻和处理气候变化不利影响相关损失和损害问题圣地亚哥网络”的决定草案。

[3] 第 2/CMA.2 号决定，第 43 段。

请回收

“2. *表示赞赏*丹麦政府于 2022 年 5 月 4 日至 6 日主办圣地亚哥网络体制安排问题技术研讨会，包括为技术研讨会作出必要的后勤和财政安排；

“3. *决定*圣地亚哥网络的结构如下：

(a) 一个便利其工作的东道秘书处，拟称为“圣地亚哥网络秘书处”；

(b) 一个咨询委员会，就有效履行圣地亚哥网络的职能向圣地亚哥网络秘书处提供指导和监督；

(c) 一个由成员组织、机构、网络和专家组成的网络，涵盖与避免、尽量减轻和处理损失和损害有关的广泛主题；

“4. *回顾*第 1/CMA.3 号决定第 67 段，其中决定，将向圣地亚哥网络提供资金，[4] 以支持技术援助，在发展中国家实施避免、尽量减轻和处理与气候变化不利影响相关损失和损害的各种方法，从而支持第 19/CMA.3 号决定第 9 段所载的职能；

“5. *又回顾*第 1/CMA.3 号决定第 70 段，其中促请发达国家缔约方为圣地亚哥网络的运作和开展该决定第 67 段所述的技术援助提供资金；

“6. *鼓励其他*各方为圣地亚哥网络的运作提供支持和技术援助；

“7. *欢迎*已经向圣地亚哥网络作出的认捐；

“8. *通过*附件一所载圣地亚哥网络的职权范围；

“9. *决定*设立圣地亚哥网络咨询委员会，作为气候变化影响相关损失和损害华沙国际机制的一部分，该咨询委员会将接受适当的理事机构[5] 的领导和指导，并向其负责，其作用和职责载于附件一；

“10. *又决定*咨询委员会成员将在理事机构下届会议(2023 年 11 月)上选出，并鼓励缔约方提名在避免、尽量减轻和处理气候变化不利影响相关损失和损害以及附件一所述咨询委员会的作用和职责等方面具有各种技术经验和知识的专家，同时根据第 36/CP.7 号、第 23/CP.18 号和第 3/CP.25 号决定考虑到性别平衡的需要以及附件一所述的咨询委员会的组成；

“11. *还决定*咨询委员会的当选成员任期两年，最多可连任两届；

“12. *决定* 2023 年当选的半数成员任期三年，半数成员任期两年，此后理事机构每年应选举半数成员，任期两年；

“13. *又决定*在选出继任者之前，咨询委员会成员应继续履行职务；

“14. *请*咨询委员会拟订议事规则草案，[6] 以期作为建议通过附属机构向理事机构提出议事规则草案，供附属机构第六十一届会议(2024 年 11 月)审议和通过；

[4] 另见第 1/CMA.3 号决定，第 68 和 70 段。

[5] 本文件任何内容均不影响各缔约方的观点，也不预判华沙国际机制治理事宜的相关审议结果。

[6] 咨询委员会将在其程序中说明在穷尽一切努力仍无法达成共识的情况下，将如何作出决定。

“15. *又请*秘书处继续为可能寻求或希望从该网络下各组织、机构、网络和专家可提供的技术援助中受益的特别易受气候变化不利影响的发展中国家提供支持；

“16. *决定*圣地亚哥网络秘书处将通过咨询委员会向理事机构负责，在其指导下开展工作，并由一个或一组能够为其有效运作提供必要行政和基础设施支持的组织托管；

“17. *又决定*圣地亚哥网络秘书处一旦投入运作，应在咨询委员会的指导下并经其核准，根据附件一所载职权范围，拟订圣地亚哥网络的模式和程序，同时考虑到经第 17/CP.26 号决定核可的第 19/CMA.3 号决定第 9 段和第 1/CMA.3 号决定第 67 段，包括：

(a) 拟订用于指定组织、机构、网络和专家为圣地亚哥网络成员的准则；

(b) 拟订响应技术援助请求的准则和程序，包括考虑针对需要做出紧急响应的请求拟订程序；

(c) 拟订技术援助资金管理准则，包括确保向特别易受气候变化不利影响的社区提供由圣地亚哥网络直接供资的技术援助，包括规定最低须将圣地亚哥网络直接供资的技术援助的百分之几提供给特别易受气候变化不利影响的社区；

“18. *还决定*圣地亚哥网络秘书处东道机构的遴选进程应在《公约》缔约方会议第二十七届会议和作为《巴黎协定》缔约方会议的《公约》缔约方会议第四届会议结束时启动，以便在 2023 年之前选定东道机构，且遴选过程应公开、透明、中立，依照下文第 19-23 段所述的进程，并参照联合国的做法和标准；

“19. *请*秘书处在两个附属机构主席的指导下：

(a) 在 2022 年 12 月 31 日前拟订关于托管圣地亚哥网络秘书处的提案征集启事，包括拟订提案模板，予以广泛公开发布，并邀请感兴趣的组织，包括组织联合会，在 2023 年 3 月 31 日前响应征集启事提交提案；

(b) 酌情答复感兴趣的组织的询问；

(c) 在 2023 年 4 月 7 日前汇编所提交的提案中所载的执行摘要，并在《气候公约》网站上公布；

(d) 在 2023 年 4 月 7 日前召集一个评估小组，并支持该小组编写下文第 22 段所述的评估报告；

(e) 确保不存在潜在的利益冲突，包括为此采用适当的保障和程序；

“20. *请*执行委员会指定 4 名成员、气候技术中心和网络咨询委员会及巴黎能力建设委员会各指定 2 名成员，担任上文第 19(d)段所述评估小组的成员，以审议这些提案，同时确保发达国家缔约方和发展中国家缔约方的平衡代表性；

“21. *又请*评估小组酌情与具备相关专门知识的其他组成机构协商，特别是与适应委员会及地方社区和土著人民平台促进工作组协商；

“22. *请*评估小组编写一份评估报告，列出最多三份符合附件二所述标准的提案的短名单，包括说明评估标准是如何适用于这些提案的，并将评估报告提交附属机构第五十八届会议(2023 年 6 月)审议；

“23. *又请*附属机构在第五十八届会议上作为建议提出一项决定草案，其中包括一份最符合附件二所列标准的关于托管圣地亚哥网络秘书处的提案，供理事机构在拟于 2023 年 11 月举行的届会上审议和通过；

“24. *还请*秘书处在两个附属机构主席的指导下，与附属机构第五十八届会议建议的提议机构拟订一份东道方协议(谅解备忘录)草案，以期作为建议将该草案提交理事机构在拟于 2023 年 11 月举行的届会上审议和批准；

“25. *请*缔约方根据本国国情酌情向圣地亚哥网络秘书处通报其与圣地亚哥网络秘书处联络的情况，以支持将通过圣地亚哥网络提供的技术援助与国家优先事项保持一致；

“26. *申明*将通过包容和国家驱动的进程开发以需求驱动的方式通过圣地亚哥网络提供的技术援助，同时考虑到弱势群体、土著人民和地方社区的需要；

“27. *又申明*圣地亚哥网络在提供技术援助时，应考虑到《巴黎协定》序言部分第十一段所述的跨领域问题；

“28. *注意到*将在第五届会议(2023 年 11 月)上继续审议与华沙国际机制的治理有关的问题；[7]

“29. *注意到*本决定所述将由秘书处开展的活动所涉估计预算问题；

“30. *请*秘书处在资金允许的情况下开展本决定所要求的行动。”

[7] 注意到有关华沙国际机制的治理的讨论未产生结果；这并不影响对这一事项的进一步审议。

Annex I*

Terms of reference of the Santiago network for averting, minimizing and addressing loss and damage associated with the adverse effects of climate change

[English only]**

I. Objective

1. The Conference of the Parties serving as the meeting of the Parties to the Paris Agreement (CMA), at its second session, established, as part of the Warsaw International Mechanism for Loss and Damage associated with Climate Change Impacts, the Santiago network for averting, minimizing and addressing loss and damage associated with the adverse effects of climate change to catalyse the technical assistance of relevant organizations, bodies, networks and experts for the implementation of relevant approaches at the local, national and regional level in developing countries that are particularly vulnerable to the adverse effects of climate change.[1]

II. Functions

2. CMA 3 decided that the Santiago network is to have the following functions:[2]

(a) Contributing to the effective implementation of the functions[3] of the Warsaw International Mechanism, in line with the provisions in paragraph 7 of decision 2/CP.19 and Article 8 of the Paris Agreement, by catalysing the technical assistance of organizations, bodies, networks and experts;

(b) Catalysing demand-driven technical assistance, including of relevant organizations, bodies, networks and experts, for the implementation of relevant approaches to averting, minimizing and addressing loss and damage in developing countries that are particularly vulnerable to the adverse effects of climate change by assisting in:

(i) Identifying, prioritizing and communicating technical assistance needs and priorities;

(ii) Identifying types of relevant technical assistance;

(iii) Actively connecting those seeking technical assistance with best suited organizations, bodies, networks and experts;

(iv) Accessing technical assistance available, including from such organizations, bodies, networks and experts;

(c) Facilitating the consideration of a wide range of topics relevant to averting, minimizing and addressing loss and damage approaches, including but not limited to current and future impacts, priorities, and actions related to averting, minimizing and addressing loss and damage pursuant to decisions 3/CP.18 and 2/CP.19, the areas referred to in Article 8, paragraph 4, of the Paris Agreement and the strategic workstreams of the five-year rolling workplan of the Executive Committee of the Warsaw International Mechanism;

* Annex I to decision -/CMA.4 (see footnote 2 to this decision), endorsed by the Conference of the Parties in this decision.

** To be made available in all six official languages in the report on the session.

[1] Decision 2/CMA.2, para. 43.

[2] Decision 19/CMA.3, para. 9.

[3] Decision 2/CP.19, para. 5.

(d) Facilitating and catalysing collaboration, coordination, coherence and synergies to accelerate action by organizations, bodies, networks and experts, across communities of practices, and for them to deliver effective and efficient technical assistance to developing countries;

(e) Facilitating the development, provision and dissemination of, and access to, knowledge and information on averting, minimizing and addressing loss and damage, including comprehensive risk management approaches, at the regional, national and local level;

(f) Facilitating, through catalysing technical assistance of organizations, bodies, networks and experts, access to action and support (finance, technology and capacity-building), under and outside the Convention and the Paris Agreement, relevant to averting, minimizing and addressing loss and damage associated with the adverse effects of climate change, including urgent and timely responses to the impacts of climate change.

III. Structure

3. The Santiago network for averting, minimizing and addressing loss and damage associated with the adverse effects of climate change will have the following structure:

(a) A hosted secretariat that will facilitate its work, to be known as the Santiago network secretariat;

(b) An Advisory Board to provide guidance and oversight to the Santiago network secretariat on the effective implementation of the functions of the network;

(c) A network of member organizations, bodies, networks and experts covering a wide range of topics relevant to averting, minimizing and addressing loss and damage.

IV. Roles and responsibilities

A. Santiago network secretariat

4. The Santiago network secretariat shall be accountable to and operate under the guidance of the Advisory Board.

5. The Santiago network secretariat shall facilitate the implementation of the functions of the Santiago network.[4]

6. The Santiago network secretariat shall manage day-to-day operations of the Santiago network, including:

(a) Building and managing the network of member organizations, bodies, networks and experts, covering a wide range of topics relevant to averting, minimizing and addressing loss and damage approaches;[5]

(b) Ensuring the coordination and collaboration of the work of the Santiago network with relevant UNFCCC constituted bodies, in particular the Executive Committee, as well as exploring synergies with other initiatives and networks;

(c) Receiving, assessing and managing the process of responding to requests from developing countries that are particularly vulnerable to the adverse effects of climate change for technical assistance to avert, minimize and address loss and damage, in coordination with network members;

(d) Developing and executing the work programme approved by the Advisory Board, building on synergies with the five-year rolling workplan of the Executive Committee;

[4] As per decision 19/CMA.3, para. 9, endorsed in decision 17/CP.26.

[5] FCCC/SB/2022/2/Add.2, annex I.

(e) Promoting and disseminating information on the Santiago network in a manner that is comprehensible and accessible to communities particularly vulnerable to the adverse effects of climate change;

(f) Managing and directing the disbursement of funds provided for the Santiago network consistently with the fiduciary standards, legal and ethical integrity policies, financial rules and regulations of the host of the secretariat;

(g) Administering the funds provided for technical assistance under the Santiago network in a cost-effective and transparent manner;

(h) Maintaining a monitoring and evaluation system to assess the timeliness, appropriateness and outcomes of assistance provided;

(i) Supporting and facilitating the work of the Advisory Board.

B. Advisory Board

7. The Advisory Board will:

(a) Approve policies, procedures and guidelines developed by the Santiago network secretariat;

(b) Provide guidance on the effective implementation of the functions of the Santiago network;

(c) Provide guidance on the preparation of the annual report of the Santiago network;

(d) Approve modalities for the designation of organizations, bodies, networks and experts as members of the Santiago network;

(e) Approve the work programme of the Santiago network, ensuring, as much as possible, coherence and synergies with the five-year rolling workplan of the Executive Committee and the plans of action of the expert groups, task force and technical expert group of the Warsaw International Mechanism;

(f) Approve the annual budget of the Santiago network;

(g) Endorse the appointment of the director of the Santiago network secretariat;

(h) Endorse the financial statement of the Santiago network;

(i) Review the timeliness and quality of the responses of the Santiago network to requests for technical assistance;

(j) Provide guidance on and approve the criteria used to assure the relevance and quality of expertise and services delivered by organizations, bodies, networks and experts.

V. Composition of the Advisory Board

8. The Advisory Board, with the aim of achieving fair and balanced representation, shall be composed of the following:

(a) Two members from each of the five recognized United Nations regional groups;

(b) One member each from the least developed countries and small island developing States;

(c) Two members of the Executive Committee, nominated by the Executive Committee from among its members.

9. The Advisory Board will also have three other representatives, one from the women and gender constituency, one from indigenous peoples organizations, and one from the children and youth non-governmental organizations, who may actively participate in the deliberations of the Advisory Board.

VI. Matters related to meetings of the Advisory Board

10. The Advisory Board meetings will be open to observers, unless otherwise decided by the Advisory Board, and the Advisory Board will invite observers from relevant constituted bodies, civil society organizations and other organizations, bodies, networks and experts to attend its meetings in order to provide technical expertise and inputs, as appropriate, to the Advisory Board for its deliberations.

11. Decisions of the Advisory Board will be taken by consensus by the members listed in paragraph 8 above.

12. The Advisory Board shall meet at least twice a year, where possible in connection with meetings of the Executive Committee, while retaining its flexibility to adjust the number of meetings to suit its needs.

VII. Organizational structure of the secretariat

13. The Santiago network secretariat will have a lean, cost-efficient organizational structure, led by a director who will manage a small core team of professional and administrative staff, in order to meet its responsibilities and perform its functions efficiently and effectively.

14. The Santiago network secretariat is to be hosted by an organization or a consortium of several organizations capable of supporting the functions of the Santiago network secretariat.

15. Subject to the endorsement of the Advisory Board, the director of the Santiago network secretariat will be appointed by the host. The director will be accountable to the host for administrative issues relating to the administrative effectiveness and efficiency of the Santiago network secretariat and to the Advisory Board for the effective implementation of the functions of the Santiago network.

16. The director will have a fixed term of office no longer than the term of the host agreement, which may be renewed subject to endorsement by the Advisory Board, and should have broad responsibility to provide strategic leadership to the Santiago network and to manage its secretariat.

17. The director of the Santiago network secretariat shall serve as secretary to the Advisory Board.

VIII. Reporting

18. In line with guidance provided by the Advisory Board, the Santiago network secretariat will prepare an annual report on activities of the Santiago network secretariat and network and on the performance of their respective functions, including information on:

(a) Requests received and activities carried out by the Santiago network and their outcomes;

(b) Responses to requests;

(c) Ongoing work as well as lessons learned and best practices derived from that work;

(d) Support delivered in regions, finance disbursed and administrative costs;

(e) Inclusion of new members in the Santiago network and their engagement therein;

(f) Assistance to developing country Parties in identifying, prioritizing and communicating their needs for technical assistance;

(g) Efforts to reach communities that are particularly vulnerable to the adverse effects of climate change;

(h) Gender considerations, including through the use of gender-disaggregated data, consistently with decision 3/CP.25.

19. The Santiago network secretariat will prepare an annual report and submit it to the Advisory Board for its consideration and approval. The approved report will be forwarded to the secretariat to be included in a joint annual report of the Santiago network and the Executive Committee submitted to the governing body or bodies through their subsidiary bodies.

IX. Review

20. The Santiago network secretariat will commission one independent review of the performance of the Santiago network, including, inter alia, sustainability and sources of funding, adequacy of funding levels relative to technical assistance requests, timeliness, effectiveness, engagement, gender-responsiveness and delivery of technical assistance to communities particularly vulnerable to the adverse effects of climate change, in a timely manner so that the findings of this review can feed into the subsequent review of the Warsaw International Mechanism,[6] for determining the need for further independent reviews of the performance of the Santiago network.

X. Term of host agreement

21. The initial term of the agreement to host the secretariat of the Santiago network will be five years, with five-year renewal periods, if so decided by the appropriate governing body or bodies.

22. The renewal of the agreement to host the Santiago network secretariat will be subject to the host organization satisfactorily fulfilling its functions.

[6] As per decision 2/CMA.2, para. 46.

Annex II*

Criteria to be used to evaluate proposals and select the host of the Santiago network secretariat for averting, minimizing and addressing loss and damage associated with the adverse effects of climate change

[English only]**

1. The proposals submitted pursuant to paragraph 19(a) of this decision will be evaluated against the criteria below.

I. Technical capability

2. The technical capability criteria are as follows:

(a) Be a single organization or a consortium of partner organizations capable of providing a lean, efficient and agile service to the Santiago network secretariat;

(b) Have strong networks across communities of practice, including development and humanitarian actors, relevant to averting, minimizing and addressing loss and damage, climate change adaptation, and disaster risk reduction, response and recovery;

(c) Have a broad regional presence and have demonstrated experience and expertise in understanding the dynamics of various countries;

(d) Have a track record of facilitating technical assistance and building capacity in developing countries and communities that are particularly vulnerable to the adverse effects of climate change;

(e) Have demonstrated experience in managing global networks or initiatives and capability to engage with multiple stakeholders for effective operations;

(f) Have demonstrated the capacity to engage in partnerships with a wide range of organizations, bodies, networks and experts across different communities working in domains relevant to averting, minimizing and addressing loss and damage, including climate change adaptation, disaster risk management, humanitarian aid and development cooperation, as well as with finance providers and other partners.

II. Management and governance

3. The management and governance criteria are as follows:

(a) Have an effective governance and management structure to support high-quality administration, ensuring compliance with ethical standards;

(b) Have the necessary staff recruitment and management capability;

(c) Have demonstrated an ability to simultaneously administer and manage multiple and complex projects in developing countries;

(d) Be able to manage and maintain information systems to enable sharing of best practices and lessons learned;

(e) Be able to guarantee the appropriate monitoring and tracking of the actions undertaken.

* Annex II to decision -/CMA.4 (see footnote 2 to this decision), endorsed by the Conference of the Parties in this decision.

** To be made available in all six official languages in the report on the session.

III. Financial management

4. The financial management criteria are as follows:

(a) Have a financial management, auditing and reporting functions; a robust accountability system; sound financial systems of international standard; and a fiduciary record that ensures the correct and impartial administering and disbursement of funds;

(b) Have a track record in financial stability and sustainability.

IV. Vision and management plan

5. The vision and management plan criteria are as follows:

(a) Have an overall vision, structure and approach in terms of how the host will support the effective functioning of the Santiago network;

(b) Have a proposal for providing the Santiago network secretariat with in-kind and financial support;

(c) Identify the ways in which partners and networks could be engaged to facilitate and catalyse technical assistance.