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**Economic and environmental questions: human settlements**

**Coordinated implementation of the Habitat Agenda****Report of the Secretary-General***Summary*

The present report has been prepared pursuant to paragraph 7 of Economic and Social Council resolution 2015/34. It describes the activities undertaken by the United Nations Human Settlements Programme in cooperation with other entities and organizations of the United Nations system in the coordinated implementation of the Habitat Agenda.

The report concludes with four recommendations, calling upon Member States to: (a) adapt the City Prosperity Initiative as a national monitoring framework for Goal 11 of the Sustainable Development Goals and targets of other Goals relevant to cities and human settlements, as well as of the New Urban Agenda; (b) promote the role of local and other subnational governments in sustainable development at the local level, as reflected in paragraph 34 of the Addis Ababa Action Agenda, and in the implementation and monitoring of Goal 11 of the Sustainable Development Goals and the New Urban Agenda; (c) provide support to the United Nations Human Settlements Programme (UN-Habitat) contribution to the implementation of the Sendai Framework for Disaster Risk Reduction 2015-2030; and (d) consider, in formulating and implementing their urban climate change strategies, using the “Guiding Principles for City Climate Action Planning”, launched during the twenty-first session of the Conference of the Parties to the United Nations Framework Convention on Climate Change.



## I. Introduction

1. The present report has been prepared pursuant to paragraph 7 of Economic and Social Council resolution 2015/34.
2. During the reporting period, the United Nations Human Settlements Programme (UN-Habitat) continued its cooperation with United Nations system organizations and agencies. Among the key mechanisms for cooperation were the United Nations System Chief Executives Board for Coordination (including the High-level Committee on Programmes, the High-level Committee on Management and the United Nations Development Group), the Executive Committee on Economic and Social Affairs, the Executive Committee on Humanitarian Affairs and the Inter-Agency Standing Committee. UN-Habitat continued to provide technical inputs to reports of the Secretary-General and other relevant system-wide documents, including those on the 2030 Agenda for Sustainable Development and the Sustainable Development Goals, the Addis Ababa Action Agenda of the Third International Conference on Financing for Development and the Paris Agreement of the United Nations Framework Convention on Climate Change.
3. Outside the United Nations system, UN-Habitat continued to strengthen its relations with Habitat Agenda partners, including local governments, civil society groups, private sector organizations, professional bodies and research and training institutions.

## II. Activities at the global level

4. Activities at the global level in which UN-Habitat worked with numerous United Nations agencies, funds and programmes, Governments and a wide range of partners included preparations for the United Nations Conference on Housing and Sustainable Urban Development (Habitat III), preparation of a paper for the High-level Committee on Programmes on urbanization and sustainable development, the World Urban Campaign, the *World Cities Report 2016*,<sup>1</sup> consultations on the 2030 Agenda for Sustainable Development and the Sustainable Development Goals, and implementation of the International Aid Transparency Initiative.

### A. Preparatory activities for the United Nations Conference on Housing and Sustainable Urban Development

5. In accordance with General Assembly resolution 67/216, the Preparatory Committee for the United Nations Conference on Housing and Sustainable Urban Development (Habitat III) held its second session from 14 to 16 April 2015 at the United Nations Office at Nairobi. A number of system organizations participated in the session.
6. The United Nations task team on Habitat III, consisting of 25 United Nations agencies and programmes, met in January 2015 to prepare inputs for the preparatory

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<sup>1</sup> The United Nations Human Settlements Programme (UN-Habitat) is streamlining its global reports, therefore it is merging its two flagship reports, *State of the World Cities Report* and *Global Report on Human Settlements*, into one flagship report, *World Cities Report* (see [A/68/6](#) (Sect.15), annex III).

process for Habitat III, in the form of a series of issue papers on 22 main topics expected to be covered by the New Urban Agenda that will emerge from Habitat III. The issue papers were translated into the official languages of the United Nations and published on the website for the Conference, inviting participating States and stakeholders to submit written comments, which are also published on the website, so as to ensure full transparency (see [www.habitat3.org](http://www.habitat3.org)).

7. The Habitat III policy units, each composed of up to 20 experts, bringing together individual experts from a variety of fields, including academia, government, civil society and other regional and international bodies, submitted policy paper frameworks for Habitat III on 29 February 2016. The policy paper frameworks present policy recommendations that may contribute to the work to be undertaken by the Bureau of the Preparatory Committee in preparing the draft outcome document of the Conference.

8. A number of official regional and thematic high-level meetings involving a wide range of participants were held to debate priorities for the New Urban Agenda and policy recommendations. The final declarations from regional and thematic meetings will be considered as official inputs to the Conference process by the Bureau of the Preparatory Committee. The regional meetings for Habitat III for Asia-Pacific and Africa were held in Jakarta, in October 2015 and in Abuja, in February 2016, with the support of the Economic and Social Commission for Asia and the Pacific (ESCAP) and the Economic Commission for Africa (ECA). Thematic meetings were held in Tel Aviv, Israel, in September 2015, Montreal, Canada, in October 2015, Cuenca, Ecuador, in November 2015, Abu Dhabi, in January 2016, Mexico City, in March 2016, and in Barcelona, Spain and Pretoria, in April 2016.

9. Member States continued submitting their national reports for Habitat III, as well as engaging stakeholders at the national level in organizing national urban forums and/or national urban campaigns. Regional reports for the Conference are in the final stages of preparation and are being coordinated by the regional commissions and UN-Habitat regional offices.

10. The General Assembly of Partners for Habitat III was established during the second session of the Preparatory Committee, held in Nairobi in April 2015, as a special initiative of the World Urban Campaign, with the aim of supporting and improving stakeholders' engagement in and contributions to the preparatory process for Habitat III and the Conference itself.

11. As part of the efforts to improve the participation of all relevant stakeholders, including local authorities, at all stages of the preparatory process and at Habitat III itself, preparations were initiated towards the organization of the second World Assembly of Local and Regional Authorities, immediately prior to the convening of Habitat III, in October 2016.

12. The General Assembly, in its resolution 70/210, approved the provisional rules of procedure of the Conference and the arrangements for the accreditation and participation of major groups and other stakeholders in the preparatory process and in Habitat III, as set out in annexes I and II to that resolution, and also decided that a process of open-ended informal consultative meetings should be held for five days in April 2016, in order to provide an opportunity for feedback on the conclusions of the policy units and the thematic and regional meetings.

13. The Bureau of the Preparatory Committee was also invited by the General Assembly, in its resolution 70/210, to convene further informal intergovernmental negotiations in New York, for three days in May 2016, three days in June 2016 and three days in July 2016. Representatives of local authorities' associations and representatives of major groups and other stakeholders were invited to two-day informal hearings, to be held in May 2016 and June 2016, respectively, to exchange views with countries on the zero draft of the outcome document of Habitat III.

## **B. High-Level Committee on Programmes working group on a new United Nations urban agenda**

14. During the reporting period, UN-Habitat continued to chair the High-level Committee on Programmes working group on a new United Nations urban agenda, which was established in early July 2014. The working group consists of 24 core United Nations system organizations.

15. The working group prepared a draft paper entitled "Urbanization and sustainable development: a United Nations system input to a New Urban Agenda". The main objectives of the paper were (a) to develop a coherent United Nations system input to the preparatory process for Habitat III, in particular towards the outcome document of the Conference, the New Urban Agenda; (b) to ensure policy coherence and cooperation in the implementation of the cities and human settlements dimension of the 2030 Agenda and of the outcome of Habitat III; and (c) to provide a framework for the United Nations system organizations to showcase their work on urban issues.

16. The paper addresses the challenges facing today's urbanization patterns and the opportunities that urbanization offers for sustainable development. The paper also proposes an issue-based multi-stakeholder partnership on the New Urban Agenda, to be established within the framework of the World Urban Campaign that is currently managed by UN-Habitat.

17. The working group also prepared a draft joint statement of the Chief Executives Board on urbanization and sustainable development, based on the above-mentioned paper, to be delivered at the Conference.

18. Both the draft paper and the draft joint statement were approved by the High-level Committee on Programmes at its thirty-first session, held in Geneva on 8 and 9 March 2016, and were to be considered by the Chief Executives Board at its first regular session of 2016, to be held in April 2016 in Vienna.

## **C. World Urban Campaign**

19. The World Urban Campaign has grown considerably in terms of visibility and membership, currently with 136 partners and members, which is instrumental in promoting urbanization issues and solutions through multiple networks and constituencies. Partners and members in the Campaign include representatives of local and subnational authorities, research institutions and academia, civil society organizations, grass-roots organizations, women's groups, parliamentarians, children and youth, business and industries, professionals and trade unions.

20. In April 2015, the World Urban Campaign launched a General Assembly of Partners, conceived as a deliberative platform for non-governmental partners for Habitat III, in order to prepare “The City We Need 2.0”, a consensus document consisting of principles, drivers of changes and solutions for developing cities that are inclusive and equitable, ecological and resilient, economically vibrant, safe and healthy, as well as cities planned for everyone, and with an identity that respect their culture and history.

21. During the reporting period, the World Urban Campaign organized a set of 20 urban thinkers campuses, engaging about 1,200 organizations and 4,000 participants from 70 countries on the key themes addressed in “The City We Need”, namely, urban resilience, smart planning, public space, urban slums and housing, the right to the city, urban prosperity and employment, urban services, safety and health, justice, megacities, small and medium-sized cities, and the role of children, youth and women. The recommendations made by participants in those campuses contributed to “The City We Need 2.0”, which was adopted in March 2016 and is to be shared before Habitat III, through various channels.

#### **D. World Cities Report 2016**

22. During the reporting period, UN-Habitat collaborated with the International City Leaders Foundation and three regional development banks in the preparation of the *World Cities Report 2016*. The objective of the first edition of the *World Cities Report* is to take stock of urban developments over the past two decades, with a view to contributing to the formulation of a new urban agenda for the twenty-first century. The report will also serve as a major background document for Habitat III.

23. Recognizing the significance of that global effort, the International City Leaders Foundation, in partnership with UN-Habitat, held an expert group meeting in New York from 30 June to 2 July 2015, to advise UN-Habitat on the substantive content and organization of the report. The meeting was attended by distinguished international experts and members of the Advisory Board for the report.

24. On 31 July 2015 the Inter-American Development Bank hosted a one-day workshop with staff from UN-Habitat and the Asian Development Bank to discuss the first draft of the report and to provide a more interactive dialogue on the report and its regional illustrations.

25. In an effort to strengthen the regional content and relevance of the *World Cities Report 2016*, UN-Habitat initiated collaboration with three regional development banks early in the drafting process. The African Development Bank, Asian Development Bank and Inter-American Development Bank participated in a series of dialogues with UN-Habitat on the narrative and content of the report.

#### **E. 2030 Agenda for Sustainable Development and the Sustainable Development Goals**

26. During the reporting period, UN-Habitat continued to participate actively in the processes relating to the post-2015 development agenda (now the 2030 Agenda for Sustainable Development) and the Sustainable Development Goals.

27. The 2030 Agenda for Sustainable Development, including its Sustainable Development Goals, was adopted by Member States at the United Nations summit for the adoption of the post-2015 development agenda, on 25 September 2015 (General Assembly resolution 70/1). It includes a goal on making cities and human settlements inclusive, safe, resilient and sustainable (Goal 11), with 10 targets that address both the unfinished business of the Millennium Development Goals and new issues for the twenty-first century, indicating that well-planned and managed urbanization is now recognized as an important tool for sustainable development.

28. Since the creation by the Statistical Commission of the Inter-Agency and Expert Group on Sustainable Development Goal Indicators, UN-Habitat has been supporting and assisting national statistical offices, particularly at its meetings held in New York in June 2015 and in Bangkok in October 2015. UN-Habitat has also been coordinating the inputs of other system entities on the review and refinement of the indicators under discussion for Goal 11 and leading the compilation of metadata for the same. A majority of the indicators tabled for Goal 11 were recommended in the report of the Inter-Agency and Expert Group (see [E/CN.3/2016/2/Rev.1](#), annex IV).

29. UN-Habitat co-organized the consultation on localizing the Sustainable Development Goals, along with the United Nations Development Programme (UNDP) and the Global Task Force of Local and Regional Governments. In addition, UN-Habitat is in the process of preparing a toolkit for the local implementation of the Goals, in cooperation with UNDP and the Global Task Force.

30. Finally, UN-Habitat continued to work with local and regional government networks, urban service providers, academic institutions, the private sector and non-governmental organizations to ensure that the partnerships that advocated for Goal 11 will continue to work together on the implementation of the 2030 Agenda.

## **F. International Aid Transparency Initiative**

31. In 2012, UN-Habitat became the third United Nations system entity to publish transparency data conforming to the standards of the International Aid Transparency Initiative, committing itself to publish financial and substantive information about its project portfolio on the Initiative's Registry and on a dedicated transparency website, <http://open.unhabitat.org>.

32. During the reporting period, UN-Habitat continued to make progress in this area, publishing information on all ongoing projects on a quarterly basis. UN-Habitat also began publishing information on all donors and financing, including developing a methodology enabling donors to trace aid to multilateral organizations using the International Aid Transparency Initiative standard.

33. As a result of their being among the first system organizations to publish information in this way, UN-Habitat, UNDP and the United Nations Office for Project Services have, since 2013, organized a series of inter-agency meetings on transparency issues, attended by over 35 system organizations. The meetings resulted in the establishment of a working group on transparency, which has been supporting the work of the United Nations Development Group with regard to transparency issues.

### III. Activities at the regional level

34. At the regional level, UN-Habitat continued to work collaboratively with other United Nations system organizations to assist in the organization of, or contribute to, regional ministerial meetings on housing and urban development. The Programme also worked closely with a number of regional development banks to promote the implementation of the Habitat Agenda. In addition, the Programme worked with a number of organizations to prepare the regional State of Cities reports.

#### A. Regional ministerial meeting

35. Based on a resolution of the Governing Council of UN-Habitat, the Arab Council of Ministers of Housing and Construction, affiliated to the League of Arab States, agreed, at its twenty-ninth meeting, on the establishment of the Arab Ministerial Forum for Housing and Urban Development to discuss housing and sustainable development challenges in the Arab region and introduce new ways of addressing them.

36. The first session of the Arab Ministerial Forum, on the theme “Arab Urbanization — Present Challenges and Future Prospects”, was hosted by Egypt, represented by the Ministry of Housing, Utilities and Urban Communities, from 20 to 22 December 2015. The meeting was organized in cooperation with the League of Arab States, represented by the Arab Council of Ministers of Housing and Construction, with the technical support of UN-Habitat, and in coordination with the Arab Towns Organization and the Arab Urban Development Institute. With the participation of 19 Arab countries, discussions during the forum covered six thematic areas, namely, social justice, planning and sustainable development, risk management, infrastructure and basic services, climate change and environmental sustainability, legislation and urban economy, and proposed a number of recommendations to address the urban challenges facing the region.

37. The forum culminated in the adoption by the Arab countries of the Cairo Declaration on Housing and Sustainable Urban Development, which reiterated their commitment towards the Sustainable Development Goals and the 2030 Agenda for Sustainable Development and reaffirmed their willingness to contribute and implement the New Urban Agenda, the outcome document expected from Habitat III.

38. In parallel, the Technical Secretariat of the League of Arab States, supported by UN-Habitat, drafted the Arab Strategy for Housing and Sustainable Urban Development, which was endorsed during the thirty-second meeting of the Arab Council of Ministers of Housing and Construction, held on 20 December 2015 in Cairo.

#### B. Regional development banks

39. In order to promote coordinated implementation of the Habitat Agenda and strengthen the impact of its activities at the field level, UN-Habitat engaged with a number of international financial institutions during the reporting period.

40. UN-Habitat and the African Development Bank collaborated towards improving the implementation of the Achieving Sustainable Urban Development programme and other integrated projects with national authorities. Further, UN-Habitat entered into a partnership agreement with the African Development Bank for the preparation of the *State of African Cities 2017*, with the Bank providing financial support for the research costs for the fourth report in the series. The report will focus on the analysis of current foreign direct investment (FDI) flows into Africa and how those financial flows can be increased and redirected towards city development.

41. In collaboration with the African Development Bank, the International Finance Corporation and Shelter Afrique, UN-Habitat actively participated in the Donors Working Group on Housing Finance at the Making Finance Work for Africa initiative and in improving knowledge on alternative finance mechanisms and housing market challenges to reaching the most vulnerable populations. In particular, UN-Habitat is producing a joint regional study with the Bank on housing market dynamics in Africa and conducted joint national assessments throughout the continent, including joint missions to Angola, Cameroon, Côte d'Ivoire, Ethiopia and Kenya.

42. A conference organized by the Inter-American Development Bank and UN-Habitat was held in Bogota to explore ways of supporting municipal development corporations and private investors in local infrastructure development. Its main objective was to design an investment vehicle for local governments to fund feasibility studies and small infrastructure projects.

43. UN-Habitat cooperated with the Islamic Development Bank in organizing training workshops on municipal finance and public-private investment programmes. The workshops were held in Madrid and Riyadh.

44. UN-Habitat and the Asian Development Bank are jointly preparing a major paper on sustainable municipal finance, to be published during the second quarter of 2016.

### C. Regional State of Cities reports

45. During the period from May 2015 to January 2016, UN-Habitat finalized, in close cooperation with ESCAP, the English language version of the *State of Asian and Pacific Cities 2015*, entitled "Urban transformations: Shifting from quantity to quality", which was launched at the sixth Asia-Pacific Urban Forum, held in Jakarta, in September 2015. The report is currently being translated into Chinese for possible launch at the third meeting of the Preparatory Committee for Habitat III, to be held in Surabaya, Indonesia, in July 2016.

46. In close cooperation with the Directorate-General for Regional and Urban Policy of the European Commission, UN-Habitat started drafting the English language version of the *State of European Union Cities 2016*, to be published in June 2016. The European member States view the publication as the consolidated submission of the European Union to Habitat III.

47. As noted in paragraph 40, UN-Habitat completed the preparation of phase 1 of the research for the *State of African Cities 2017*, analysing financial flows for urban development, in close cooperation with the African Development Bank, the



Department for International Development of the United Kingdom of Great Britain and Northern Ireland, Oxford Economics and the Institute for Housing and Urban Development Studies of Erasmus University, Rotterdam, the Netherlands. The research findings of phase 1 will be an integral part of the forthcoming report, “Africa Economic Outlook 2016”, to be issued by the Organization for Economic Cooperation and Development (OECD).

#### **IV. Thematic activities at the national and subnational levels**

48. During the reporting period, UN-Habitat cooperated with United Nations system organizations and other partners in implementing activities, including operational projects, at the national and subnational levels in the following thematic areas: urban legislation, land and governance; urban planning and design; urban economy and municipal finance; urban basic services; housing and slum upgrading; risk reduction and rehabilitation; research and capacity development; and gender mainstreaming and empowerment of women.

##### **A. Urban legislation, land and governance**

49. With the Department of Economic and Social Affairs and on behalf of the United Nations task team supporting preparations for Habitat III, UN-Habitat produced the issue paper on rules and legislation, as part of an overall process towards Habitat III that includes the preparation of 22 issue papers. The document highlights the main concepts and key facts on the state of urban law since Habitat II and identifies key drivers for action for the New Urban Agenda.

50. UN-Habitat supported capacity development in 12 counties through the Kenya Municipal Programme, with support from the World Bank. The Programme aims at strengthening local governance and improving service delivery in selected municipalities in Kenya. UN-Habitat conducted training for both Members of County Assemblies and technical staff based on its integrated rapid planning studio methodology, which integrates legislation, finance and economy, and urban planning and design.

51. UN-Habitat continued to participate as a member of the Rule of Law Coordination and Resource Group, partnering with the Executive Office of the Secretary-General and 20 other United Nations offices and entities to advance work relating to the rule of law across the United Nations system. This included initiating a review of system engagement with regard to land and conflict.

52. UN-Habitat led the Global Land Indicators Initiative, comprising 45 international partners, including system entities (e.g., the International Fund for Agricultural Development (IFAD), the Food and Agriculture Organization of the United Nations (FAO), ECA) and the World Bank, towards the promotion of issues concerning land within the Sustainable Development Goals.

53. UN-Habitat continued to support the implementation of the Framework and Guidelines on Land Policy in Africa, as endorsed by the African Union in 2009, in collaboration with ECA, particularly as regards implementing joint capacity development initiatives on land governance.

54. In collaboration with FAO, UN-Habitat developed a situational analysis and scoping report on the applications of the Voluntary Guidelines on the Responsible Governance of Tenure of Land, Fisheries and Forests in the Context of National Food Security in urban and peri-urban areas. In December 2015, UN-Habitat concluded an agreement of cooperation with the office of FAO in Kenya to implement a project on land use planning in urban and peri-urban contexts in the Turkana district.

55. UN-Habitat has given priority to partnering with local governments and their associations, with the aim of enhancing their capacities to offer technical support to public administrations of all sizes. Strong alliances were promoted and joint workplans implemented with local government associations and city networks such as United Cities and Local Governments, the Commonwealth Local Government Forum, Metropolis, the Council of European Municipalities and Regions, the Forum for Cities in Transition and the Global fund for cities development, among others.

56. UN-Habitat supported the delegation of mayors and local and regional governments to the third International Conference on Financing for Development in Addis Ababa, in collaboration with the Global Task Force of Local and Regional Governments, Global fund for cities development, the United Nations Capital Development Fund and the African Union.

57. Adding to this effort, it supported the organization of 5 high-level side events during the third International Conference on Financing for Development, and one full day technical event on the theme of local financing for development and innovation in municipal finance for inclusive growth, gathering 90 representatives from local and central governments, financial institutions, foundations and United Nations system entities to exchange experiences and to identify new trends in municipal finance.

58. The presence of mayors and local government representatives during the third International Conference on Financing for Development contributed to the visibility of issues relating to sustainable urban development and local financing, as reflected in paragraph 34 of the Addis Ababa Action Agenda.

## **B. Urban planning and design**

59. As a contribution to Habitat III, UN-Habitat co-led policy unit 3, on national urban policies, along with OECD. Two expert group meetings were held, in Paris and Incheon, Republic of Korea, to develop a policy paper framework on national urban policy. UN-Habitat also coordinated the contribution of the United Nations task team on Habitat III in the preparation of issue paper 10, on urban-rural linkages. The task team included FAO, IFAD, the United Nations Environment Programme (UNEP), the United Nations Population Fund (UNFPA) and the secretariat of the Convention on Biological Diversity. Issue paper 8, on urban and spatial planning and design, and issue paper 11, on public space, served as the basis for an online discussion on spatial development hosted on the website for Habitat III.

60. UN-Habitat organized a panel on public spaces as a key to urban regeneration, in partnership with the United Nations Educational, Scientific and Cultural Organization (UNESCO), at the International Conference on Culture for Sustainable Cities, held in Hangzhou, China, from 10 to 12 December 2015.

61. UN-Habitat and the United Nations Entity for Gender Equality and the Empowerment of Women (UN-Women) partnered on organizing World Habitat Day, on 5 October 2015, around the theme of “Public spaces for all”, as well as global activities for Urban October, which took place in Kenya, the Philippines, Kenya and the United States of America, among other countries.

62. A global exchange platform on national urban policies, established by UN-Habitat in collaboration with other entities (the Economic Commission for Europe (ECE), the Cities Alliance, the German Society for International Cooperation, ECA, the United Nations Centre for Regional Development, the Korea Research Institute for Human Settlements, OECD and United Cities and Local Governments) has become an important knowledge management platform for peer-to-peer learning on national urban policy development and implementation processes.

63. UN-Habitat, ESCAP, the Korea Research Institute for Human Settlements and the Korea Institute of Civil Engineering and Building Technology launched the first International Conference on National Urban Policy, in Incheon, in December 2015. The conference brought together over 200 national urban policy experts, government officials and international organizations.

64. UN-Habitat and the United Nations Centre for Regional Development co-organized an expert group meeting on urban-rural linkages, focusing on systems of intermediate cities to strengthen urban-rural linkages towards the New Urban Agenda, which was held on 26 and 27 October 2015 in Monteria, Colombia. The meeting offered an opportunity to discuss preliminary findings and ideas and build consensus with regard to an understanding of urban-rural linkages.

65. Further, UN-Habitat and the United Nations Centre for Regional Development collaborated on a publication on urban-rural linkages in support of the New Urban Agenda, scheduled for publication as a special issue of the Centre’s “Regional Development Dialogue” journal, in 2016. The issue attracted 11 submissions for papers, including from FAO and IFAD.

66. Following the approval for implementation of the international guidelines on urban and territorial planning, by the Governing Council of UN-Habitat in its resolution 25/6, the guidelines are now available in the official languages of the United Nations and in four additional languages (Bahasa, Persian, Portuguese and Vietnamese). A compendium of inspiring practices was published to support the implementation of the guidelines. The guidelines were developed through consultations with national Governments, United Nations system organizations, international associations of local authorities and professional associations, as well as members of the Habitat Professionals Forum.

67. UN-Habitat led the development of a multi-stakeholder climate change knowledge product, which was launched during the twenty-first session of the Conference of the Parties to the United Nations Framework Convention on Climate Change, with the title “Guiding Principles for City Climate Action Planning”. To date, the document has been endorsed by 45 partner organizations, including the World Bank, the Global Environment Facility (GEF), UNEP, the Inter-Agency Secretariat of the International Strategy for Disaster Reduction, United Cities and Local Governments, Local Governments for Sustainability and the World Resources Institute, as well as seven cities.

68. In 2015, UN-Habitat continued as an active participant in two multilateral climate initiatives that it had helped to launch in 2014, the Compact of Mayors and the Cities Climate Finance Leadership Alliance. By the end of 2015, more than 420 mayors had announced their intention to comply with the Compact of Mayors, on whose management committee UN-Habitat serves. The Compact of Mayors enjoyed high visibility at the twenty-first session of the Conference of the Parties to the United Nations Framework Convention on Climate Change. The Cities Climate Finance Leadership Alliance, on whose steering committee UN-Habitat serves, and whose other members include UNEP and the United Nations Capital Development Fund, was also visible at the Conference. At the Conference, the Secretary-General launched the Alliance's report, entitled "The State of City Climate Finance".

69. In 2015, UN-Habitat became the twelfth accredited Multilateral Implementing Entity to the Adaptation Fund, alongside UNDP, UNEP, UNESCO, the World Meteorological Organization, the World Bank and others. This accreditation should pave the way for increased support to cities. Also in 2015, UN-Habitat joined the Climate and Clean Air Coalition to Reduce Short-Lived Climate Pollutants.

70. In the Asia-Pacific region, ESCAP, the Rockefeller Foundation and UN-Habitat co-organized a workshop in Indonesia, where a joint publication was launched, entitled "Quick Guide for Policy Makers: Pro-poor Urban Climate Resilience in Asia and the Pacific".

71. UN-Habitat, UNEP and Tongji University, in collaboration with national professionals and the Government of China, co-organized a workshop on the theme "Planning for Greener Cities and South-South Cooperation in Africa", which approved the implementation of pilot projects on greener city planning. The workshop was attended by 25 mayors, from eight cities in Africa.

72. In Myanmar, a programme of the Myanmar Climate Change Alliance, funded by the European Union and implemented by UN-Habitat and UNEP, helped Myanmar develop its intended nationally determined contribution, its official plan for addressing climate change, which was submitted to the Secretariat of the United Nations Framework Convention on Climate Change in advance of the twenty-first session of the Conference of the Parties to the Convention.

### **C. Urban economy and municipal finance**

73. UN-Habitat continued to contribute to the creation and dissemination of knowledge products on inclusive economic growth, with an emphasis on the promotion of local economic development strategies, the creation of jobs and livelihoods, particularly for youth and women, and the promotion of innovative municipal revenue-generating mechanisms.

74. A key outcome was the production of issue papers on municipal finance, local economic development, jobs and livelihoods and the informal economy for Habitat III. The papers were prepared jointly with the World Bank, the International Labour Organization (ILO) and Women in Informal Employment: Globalizing and Organizing, an international non-governmental organization.

75. Capacities for developing plans and strategies for supporting local economic development were strengthened through the Achieving Sustainable Urban Development programme, which has been supported financially by the Government

of Spain and implemented in Bogota. The Secretariat for Economic Development and the Chamber of Commerce in Bogota, universities, labour unions, civil society organizations and the Office of the Mayor of Bogota provided institutional guidance and assistance.

76. In the Philippines, local and national counterparts carried out economic assessments based on integrated economic and spatial planning for the cities of Silay and Cagayan de Oro. Partners in the project included the Arcadis consulting company and Ecoplan International.

77. The Urban Youth Fund, the India Youth Fund and the newly established, private sector supported Lotte Youth Fund window are the anchor projects under the youth-led development programme of UN-Habitat. Since its inception, the Youth Fund has supported 277 youth groups in 70 developing countries and 172 cities. The Lotte Youth Fund window is now funding 10 youth-led groups in the Asia-Pacific region. In 2015, the India Youth Fund granted funding to five youth-led groups in the areas of environment, women's rights and entrepreneurship development. Partners for the youth livelihood programme of UN-Habitat include Lotte Incorporated, Samsung C&T Corporation, Ericsson, Mathare Environmental Conservation Youth Group, Strathmore University, Narotam Sekhsaria Foundation, the University of the Fraser Valley (Canada), Canada World Youth and Youth Challenge International.

78. There was a strong focus on youth and post-conflict issues during 2015. By closely working with other system entities, civil society actors and the Secretary-General's Envoy on Youth, UN-Habitat contributed to the adoption of Security Council resolution 2250 (2015) on youth and peacebuilding. UN-Habitat partnered with many organizations in this work, including the World Bank, the Peacebuilding Support Office, the United Network of Young Peacebuilders, the Office of the Envoy on Youth, UNDP, UNFPA, Search for Common Ground, the Norwegian Children and Youth Council, National Youth Secretariat of Brazil, the major group for children and youth, World Vision and the University of Victoria (Canada), among others.

79. In Somalia, UN-Habitat, with support from UNDP, contributed to improving the capacity of local authorities to identify ways through which they could generate additional municipal revenue, particularly from local sources. Financial management systems were established in 15 districts under the joint programme on local governance and decentralized service delivery for Somalia. Since its introduction, an automated municipal finance system has considerably improved revenue collection, transparency, accountability and the financial procedures of local governments. Financial support for the project was provided by the following organizations: the Department for International Development (United Kingdom); the Swedish International Development Cooperation Agency; the Danish International Development Agency; the Swiss Agency for Development and Cooperation; the Government of Norway; and the European Commission.

#### **D. Housing and slum upgrading**

80. During the reporting period, UN-Habitat continued to implement the participatory slum upgrading programme in 35 countries in Africa, the Caribbean and the Pacific. UN-Habitat is aiming at mainstreaming programme principles and

priorities in the work of the United Nations country teams. The programme is financed by the European Commission. Of the 35 countries, 25 already reflect the programme in their United Nations Development Assistance Frameworks.

81. In Papua New Guinea, UN-Habitat implemented the action planning phase of the participatory slum upgrading programme during 2015. This was jointly undertaken with the Office of Urbanization and the Cities Alliance. UN-Habitat is providing technical support to the elaboration of a citywide slum upgrading strategy for Port Moresby. In Greater Accra, UN-Habitat cooperated with the World Bank, through its urban programmatic technical assistance on finance and metropolitan planning and enhancing urban resilience, to undertake the diagnostic study of the city resilience of Accra.

82. The office of the Resident Coordinator in Windhoek provided support to the newly-formed Ministry of Poverty Eradication and Social Welfare in developing a strategy to address poverty in the country. UN-Habitat provided advisory services pertaining to the urban context of that effort in December 2015.

83. UN-Habitat and the Economic Commission for Latin America and the Caribbean (ECLAC) and ECE collaborated in the roll-out of the Global Housing Strategy. UN-Habitat and ECE further collaborated on the Geneva United Nations Charter on Sustainable Housing, and ECE participated in the expert consultation on homelessness, which was organized under the auspices of the United Nations Housing Rights Programme, in Geneva in November 2015.

84. UNDP and UN-Habitat collaborated in the formulation of a national housing strategy in Guyana. A contribution agreement was signed and UNDP provided financial support to UN-Habitat for technical activities in the field of housing in Guyana. UNDP also contributed to the formulation of a national housing strategy in Lesotho, led by UN-Habitat.

85. UN-Habitat and UNEP contributed and continue to contribute to the Sustainable Buildings and Construction programme of the 10-year framework of programmes on sustainable consumption and production patterns and the Global Housing Strategy. In June 2015, UN-Habitat and the Sustainable Building and Climate Initiative of UNEP launched their joint publication, entitled “Green Building Interventions for Social Housing” at the annual symposium of the Sustainable Building and Climate Initiative, which was held in Nice, France.

86. The Special Rapporteur of the Human Rights Council on the right to adequate housing as a component of the right to an adequate standard of living collaborated with UN-Habitat on raising awareness regarding the responsibilities of Governments with respect to the right to adequate housing.

87. UN-Habitat strengthened its collaboration with the Office of the United Nations High Commissioner for Human Rights (OHCHR). The two entities continued joint work under the auspices of the United Nations Housing Rights Programme. Two new streams of work under the Programme were opened in 2015, in preparation for Habitat III, namely, on persons with disabilities and on homelessness.

88. On the occasion of World Cities Day 2015, the theme of which was “Designed to live together”, UN-Habitat, in collaboration with the Department of Economic and Social Affairs, organized a 3-day forum on disability, inclusion and accessible

urban development, in Nairobi. The event attracted 58 global experts. During the forum, UN-Habitat and OHCHR launched a study by the United Nations Housing Rights Programme, entitled “The Right to Adequate Housing for Persons with Disabilities Living in Cities — Towards Inclusive Cities”. In addition, a peer-review meeting was held in Geneva, in November 2015, with the purpose of convening key stakeholders to strategically place the issue of homelessness within the purview of the New Urban Agenda.

89. UN-Habitat commenced active engagement in the Inter-Agency Support Group for the Convention on the Rights of Persons with Disabilities, including through participation in the annual meeting, held in Beirut in May 2015.

90. OHCHR conducted a substantive review of the “Programmatic Guidance Note for UN-Habitat Staff on the Promotion and Protection of Human Rights”, prior to its release in 2015. OHCHR also supported UN-Habitat capacity-building initiatives for staff on the human rights-based approach to development. Finally, UN-Habitat actively participated in the Human Rights Working Group of the United Nations Development Group. Under the auspices of the Working Group, UN-Habitat co-led the drafting of an assessment report on national tracking systems for the follow-up on human rights recommendations.

## **E. Urban basic services**

91. As a member of the technical working group formed by the Department of Economic and Social Affairs to support the Secretary-General’s High-level Advisory Group on Sustainable Transport, UN-Habitat coordinated the preparation of issue papers on urbanization, management and operation, as well as on inter-city and intra-city multi-modal interfacing, with inputs from other members of the working group, including multilateral development banks, regional commissions, UNEP, the United Nations Industrial Development Organization (UNIDO) and non-governmental organizations. In a similar role, UN-Habitat, together with UNEP, also coordinated an analysis of the transport-related aspects of the Sustainable Development Goals.

92. At the twenty-first session of the Conference of the Parties to the United Nations Framework Convention on Climate Change, in December 2015, together with the International Zero Emission Vehicle Alliance, UNEP, the Government of the Netherlands and other partners, UN-Habitat organized a high-level event on the International Zero Emission Vehicle Alliance. Building on the Urban Electric Mobility Initiative launched at the Climate Summit, held on 23 September 2014, the event demonstrated the commitment of governments and the private sector to accelerate the adoption of zero emission vehicles.

93. UN-Habitat also participated in the Transport Forum of the African Development Bank, held in November 2015, during which UN-Habitat and UNEP made presentations on the theme of “Transport for green and inclusive growth”.

94. UN-Habitat played a leading role, together with UNEP and the World Health Organization (WHO), under the umbrella of UN-Water, in developing a Global Expanded Water Monitoring Initiative, which will establish and maintain a global monitoring mechanism for the 2030 Agenda to determine baselines and track progress in the implementation of Goal 6 of the Sustainable Development Goals, on water and sanitation.

95. UN-Habitat was re-elected as co-chair of the Global Wastewater Initiative. The Initiative is a multi-stakeholder platform comprising United Nations entities, international organizations, Governments, scientists and the private sector. It is a voluntary network of stakeholders aimed at facilitating coordinated action to tackle the wastewater challenge and to promote the wastewater agenda.

96. UN-Habitat and UNIDO organized a session on energy and cities at the Vienna Energy Forum 2015. The Forum provided a high-level platform for policymakers and energy practitioners to engage in a multi-stakeholder dialogue on pivotal sustainable energy issues connected to inclusive development, including partnerships, finance, policy, technology, capacity-building and knowledge management.

97. A joint initiative with UNEP during the reporting period resulted in the establishment of a network on district energy and the publication of a report on district energy in cities. UN-Habitat also continued its partnership with UNEP and GEF in the project on promoting energy efficiency in buildings in East Africa. The project has so far trained over 350 architects, engineers and quantity surveyors in the region. UN-Habitat also collaborated with ILO in a project in Kenya on affordable housing, known as “ECO-Manyatta”.

98. UN-Habitat collaborated with Sustainable Energy for All in organizing a side event on cities and energy during the 2015 forum on Sustainable Energy for All, held in New York. Sustainable Energy for All is a multi-stakeholder partnership between governments, the private sector and civil society. Launched by the Secretary-General in 2011, it has three interlinked objectives to be achieved by 2030: (a) ensure universal access to modern energy services; (b) double the global rate of improvement in energy efficiency; and (c) double the share of renewable energy in the global energy mix.

99. Finally, with support from GEF, UN-Habitat continued to implement the project on sustainable transport for East African cities. In Nairobi, an operational plan for the first demonstration of a bus rapid transit corridor was presented to the Government and stakeholders in 2015. In Kampala, a mobility map was developed, using an innovative methodology based on the use of smartphones. This will provide a more people-centred basis for public transport planning.

## **F. Risk reduction and rehabilitation**

100. Building on the City Resilience Profiling Programme, UN-Habitat continued its collaboration with a wide range of partners, including the Inter-Agency Secretariat of the International Strategy for Disaster Reduction. In March 2015, the Sendai Framework for Disaster Risk Reduction 2015-2030 was adopted by Member States at the conclusion of the Third United Nations World Conference on Disaster Risk Reduction (see General Assembly resolution 69/283). In the context of its collaboration with the Inter-Agency Secretariat, UN-Habitat contributes to the implementation of the Sendai Framework through representation on the Senior Management Group, which supports the High-level Committee on Programmes.

101. UN-Habitat worked with other system entities in revising the United Nations Plan of Action on Disaster Risk Reduction for Resilience, stressing the need for integrated and cross-sectoral risk management and the importance of cooperation



between national and local levels of government. UN-Habitat also contributed to the ongoing development of indicators for the monitoring and implementation of the Sendai Framework.

102. In mid-2015, UN-Habitat was appointed a liaison agency to Technical Committee 292 of the International Standards Organization, which has a mandate to develop a new portfolio of standards addressing urban resilience. UN-Habitat, together with the Regulatory Cooperation Unit of ECE, co-convenes a working group, and is providing substance and content as well as coordination of the participating national standards organizations.

103. In 2015, UN-Habitat continued its engagement with the Inter-Agency Standing Committee, especially by strengthening global knowledge of post-crisis urban recovery. In May 2015, UN-Habitat hosted the meeting of Inter-Agency Standing Committee principals in Nairobi. The principals endorsed the work of the reference group on meeting humanitarian challenges in urban areas, which UN-Habitat chairs.

104. UN-Habitat continued to support disaster response efforts led by the United Nations at the country level. Following the earthquake in Nepal, in April 2015, UN-Habitat participated in assessment and response missions, and specifically in drafting urban policy papers to help clarify the urban dimensions of the crisis. Four expert urban specialists were deployed to support the United Nations Mission for Ebola Emergency Response (UNMEER), developing urban strategic response plans and programmes for upgrading informal settlement in Ghana, Liberia and Sierra Leone.

105. UN-Habitat, through its City Resilience Profiling Programme and in collaboration with United Cities and Local Governments and over 50 other humanitarian organizations, produced an urban strategy for the World Humanitarian Summit, to be held in May 2016 in Istanbul, Turkey.

106. UN-Habitat forged broad based partnerships with system entities and civil society through the Global Alliance for Urban Crises, an initiative established in close collaboration with the secretariat of the World Humanitarian Summit. The Alliance is a broad issue-based coalition uniting municipal authorities, humanitarian and development actors, urban professionals and the private sector to ensure better preparedness and a more effective response to the growing urban nature of crises.

## **G. Research and capacity development**

107. During the reporting period, UN-Habitat adapted the City Prosperity Initiative, which is being implemented in more than 300 cities across the world, as a proposed global monitoring framework for the Sustainable Development Goals relating to urban matters and the New Urban Agenda. This will allow national and local governments to better organize monitoring on a single platform, minimizing efforts and avoiding duplication in the reporting process.

108. The City Prosperity Initiative works as a support for multi-scale decision-making that connects the city with the region and the country as a whole. This platform promotes integration of the different Sustainable Development Goals and the anticipated New Urban Agenda indicators to address the environmental, social and economic components of sustainability.

109. In close collaboration with various United Nations entities — UNESCO, WHO, the Inter-Agency Secretariat of the International Strategy for Disaster Reduction, UN-Women, UNEP and UNDP — UN-Habitat prepared a global monitoring framework guide for Goal 11 of the Sustainable Development Goals that provides definitions and metadata for all proposed indicators. The guide will assist countries and cities in the setting of national targets, including specific benchmarks and standards, and in their reporting efforts.

110. UN-Habitat advised a number of countries and cities in their efforts to integrate national and local planning processes in response to the indicators for the Sustainable Development Goals. It also initiated the preparation of specific tools and guidelines to provide technical advisory services for localizing indicators at the city level.

111. Working with various partners, including universities, research centres and non-governmental organizations, UN-Habitat started refining approaches and techniques for data collection and the compilation and analysis of information for the New Urban Agenda, the Sustainable Development Goals and relevant targets. This is particularly important with regard to new indicators that have a spatial component and require spatial analysis. Special attention is being given to the need to disaggregate data and information at the city and sub-city levels, combining modern techniques with non-conventional forms of data collection and reporting that involve local communities. In view of the preparation of global reporting on the Sustainable Development Goals and the New Urban Agenda, UN-Habitat partnered with New York University to prepare a global sample of cities so as to assist countries in preparing similar national samples.

112. Finally, in close collaboration with the regional commissions, particularly ECA, ECLAC and ESCAP, UN-Habitat advanced in the preparation of regional meetings to assess monitoring and reporting needs, including training and capacity development in data collection and monitoring.

## **H. Gender mainstreaming and empowerment of women**

113. During the reporting period, UN-Habitat promoted gender equality and women's empowerment as essential components of sustainable urban development. In fulfilling its mandate, UN-Habitat strove, together with relevant United Nations system organizations and other partners, to promote the improvement of women's rights, their equal participation in decision-making and services that benefit women and men equally.

114. In September 2015 the gender equality marker for UN-Habitat was rolled out. The process began in January 2015 with desk research and consultation with other United Nations system entities, including UN-Women. The gender equality marker was applied to 209 ongoing projects in June 2015, in order to establish a baseline for the progress of the marker. Its roll-out occurred in conjunction with that of other cross-cutting markers.

115. More recently, from 15 to 22 November 2015, UN-Habitat, jointly with Israel's Agency for International Development Cooperation, conducted training on gender equality and gender mainstreaming in urban development and results-based

management for UN-Habitat partners, at the Golda Meir Mount Carmel International Training Centre in Haifa.

116. On 7 and 8 December 2015, UN-Habitat organized an expert group meeting on women in sustainable housing, in Nairobi. The meeting outlined a set of steps required to reach agreed programme goals and to harness indicators. The meeting was attended by representatives of a wide range of organizations, including UNEP, ILO, the African Development Bank, Shelter Afrique, the Ministry of Public Works and Housing (Indonesia), the Kenya Investment Authority, Cardiff University (United Kingdom), Dohuk University (Iraq), Kier Construction (United Kingdom), OshDarley Consult, Athi River Mining (Kenya), and Urbanis Africa.

## V. Recommendations

117. In the light of the issues discussed in the present report, Member States are called upon to:

(a) Consider adapting the City Prosperity Initiative, which is currently being implemented in more than 300 cities across the world, as a national monitoring framework for Goal 11 of the Sustainable Development Goals and targets of other Goals relevant to cities and human settlements, as well as of the New Urban Agenda expected to be adopted at Habitat III;

(b) Promote the role of local and other subnational governments in sustainable development at the local level, as reflected in paragraph 34 of the Addis Ababa Action Agenda of the Third International Conference on Financing for Development, including in the implementation and monitoring of Goal 11 of the Sustainable Development Goals and relevant targets of other Goals, as well as of the New Urban Agenda;

(c) Support, including financially, UN-Habitat normative and technical cooperation contributions to the implementation of the Sendai Framework for Disaster Risk Reduction 2015-2030, especially in meeting humanitarian challenges in urban areas and enhancing the resilience of cities and human settlements; and

(d) Consider, in formulating and implementing their urban climate change strategies, using the “Guiding Principles for City Climate Action Planning” launched by UN-Habitat during the twenty-first session of the Conference of the Parties to the United Nations Framework Convention on Climate Change.