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Financial and budgetary matters

Resources and expenditures for the functioning of the Mechanism for the Review of Implementation of the United Nations Convention against Corruption

Note by the Secretariat

I. Introduction

1. The present note provides updated budgetary information on expenditures incurred as at 28 February 2021 for the operation of the first and second cycles of the Mechanism for the Review of Implementation of the United Nations Convention against Corruption, resources received both from the regular budget and from voluntary contributions, projected expenditures and the current shortfall on resource requirements for the functioning of the Mechanism.

2. It updates the previous note by the Secretariat on resources and expenditures for the functioning of the Mechanism (CAC/COSP/IRG/2020/4), which was submitted to the Implementation Review Group at its eleventh session, held from 8 to 10 June 2020. The present note also complements and updates the financial information on the operation of the first and second cycles of the Mechanism as at 30 September 2020, which was orally provided at the second resumed eleventh session of the Group, held in November 2020.

II. Regular budget resources and expenditures for the Implementation Review Mechanism

3. Table 1 presents regular budget expenditures for the period 2010–2019 as well as regular budget resources and expenditure for the years 2020 and 2021.

* CAC/COSP/IRG/2021/1.



Table 1

Interim statement of regular budget expenditures for the functioning of the Implementation Review Mechanism in 2010-2021, as at 28 February 2021

(United States dollars)

<i>Budgetary item</i>	<i>2010–2019^a</i>		<i>2020</i>		<i>2021</i>
	<i>Expenditures</i>	<i>Appropriation</i>	<i>Expenditures</i>	<i>Appropriation</i>	<i>Expenditures</i>
Posts and related general operating expenses					
Posts (1 D-1, 1 P-5, 3 P-4, 3 P-3, 3 P-2 and 1 General Service (Other level)) ^b	11 963 200	1 745 300	1 771 300	1 933 300	278 000
Computer maintenance	157 100	21 600	21 600	21 600	3 600
Communications	151 900	20 400	20 400	20 400	3 400
Subtotal	12 272 200	1 787 300	1 813 300	1 975 300	285 000
Implementation Review Group					
Interpretation ^c	1 610 100	201 700	206 400	202 500	
Translation of documentation ^d	7 414 800	626 400	878 900	554 100	
Subtotal	9 024 900	828 100	1 085 300	756 600	-
Total	21 297 100	2 615 400	2 898 600	2 731 900	285 000

^a Details related to the regular budget resources and expenditures for 2010–2019 by biennium are set out in the note by the Secretariat on resources and expenditures for the functioning of the Mechanism for the Review of Implementation of the United Nations Convention against Corruption (CAC/CO SP/IRG/2020/4).

^b In this table, the 12 posts are costed in the years 2020 and 2021 as continuing posts. Appropriation for 2020 adjusted for recosting factors.

^c With regard to 2020, interpretation was provided for a total of 13 meetings for the sessions of the Implementation Review Group in 2020.

^d With regard to 2020, translation was provided for a total of 421 pages of documentation. The higher number of pages in 2020 was due to the high number of complete d executive summaries of country review reports. While the budget for the translation of documentation was based on the assumption that 160 countries in total would be reviewed under the first cycle, the actual number of countries being reviewed under the first cycle had grown to 185 as at 28 February 2021, since more countries ratified or acceded to the Convention than had been forecast in 2009. The Conference Management Service of the United Nations Office at Vienna was able to cover the additional expenditures for translation of documentation in the reporting period through the reallocation of existing translation resources under section 2 of the approved regular budget. At the same time, owing to delays in the country reviews and in the finalization of the country reports and the related executive summaries, several such reports were presented to the Group as conference room papers, for which no translation was provided.

III. Estimates and extrabudgetary expenditures for the first cycle of the Implementation Review Mechanism

4. Table 2 presents estimates and final extrabudgetary expenditures for the first and for the fifth years, as well as estimates and interim expenditures for the second, third and fourth years, respectively, for the operation of the first cycle of the Mechanism. The first year of the Mechanism started in June 2010. Expenditures reported under the first year relate to activities undertaken with regard to the first group of 27 countries under review. The second year of the Mechanism started in June 2011. Expenditures reported under the second year relate to activities undertaken with regard to the second group of 41 countries under review. The third year of the Mechanism started in June 2012. Expenditures reported under the third year relate to activities undertaken with regard to the third group of 35 countries under review. The fourth year of the Mechanism started in June 2013. Expenditures reported under the fourth year relate to activities undertaken with regard to the fourth group of 82 countries under review. The fourth group of countries includes those that were part of the fourth group in June 2013 and those that have ratified or acceded to the Convention since June 2013. The fifth year started in June 2014 and ended in December 2017. The fifth year covers only expenditures related to staff financed through extrabudgetary resources working in support of country reviews that were conducted under the second, third and fourth years of the first cycle between June 2014 to December 2017 and the participation of least developed countries in sessions of the Implementation Review Group that were held in 2014 and 2015. Expenditures that relate to training provided in relation to country reviews conducted after 31 May 2014 are reported under the fourth year of the Review Mechanism. Other expenditures that relate to the second, third or fourth group of countries under review are reported under the second, third and fourth year of the Review Mechanism, respectively. Expenditures that relate to countries that have ratified the Convention since June 2014 are reported under the fourth year of the Review Mechanism.

Table 2
Estimates and extrabudgetary expenditures for the first cycle of the Implementation Review Mechanism, as at 28 February 2021

(United States dollars)

<i>Budgetary item</i>	<i>First year</i>		<i>Second year</i>		<i>Third year</i>		<i>Fourth year</i>		<i>Fifth year</i>		<i>All years</i>	
	<i>Estimates</i>	<i>Expenditures</i>	<i>Estimates</i>	<i>Expenditures</i>	<i>Estimates</i>	<i>Expenditures</i>	<i>Estimates</i>	<i>Expenditures</i>	<i>Estimates</i>	<i>Expenditures</i>	<i>Estimates</i>	<i>Expenditures</i>
Travel of participants for country visits and joint meetings	607 500	607 500	758 200	753 000	632 600	634 600	1 233 800	1 161 600	-	-	3 232 100	3 156 700
Translation of working documentation	303 000	303 000	470 500	435 300	426 100	423 300	933 500	738 500	-	-	2 133 100	1 900 100
Participation of least developed countries in the sessions of the Implementation Review Group	185 900	185 900	287 000	287 000	251 700	251 700	290 500	290 500	522 000	522 000	1 537 100	1 537 100
Training of governmental experts	275 200	275 200	454 600	454 600	490 200	490 200	415 000	389 300	-	-	1 635 000	1 609 300
Consultants	99 900	99 900	-	-	-	-	-	-	-	-	99 900	99 900
1 P-3 post and 1 General Service (Other level) post	-	-	36 800	36 800	174 900	174 900	267 500	267 500	962 100	962 100	1 441 300	1 441 300
Computer maintenance and communications costs	-	-	1 800	1 800	7 100	7 100	7 600	7 600	24 300	24 300	40 800	40 800
Total	1 471 500	1 471 500	2 008 900	1 968 500	1 982 600	1 981 800	3 147 900	2 855 000	1 508 400	1 508 400	10 119 300	9 785 200
	<i>First year</i>		<i>Second year</i>		<i>Third year</i>		<i>Fourth year</i>				<i>All years</i>	
Number of countries under review	27		41		35		82				185	

IV. Estimates for the second cycle of the Implementation Review Mechanism

5. Table 3 presents estimates and interim extrabudgetary expenditures for the operation of the first, second, third, fourth and fifth years of the second cycle. As explained in the note by the Secretariat on resources and expenditures for the functioning of the Mechanism for the Review of Implementation of the United Nations Convention against Corruption (CAC/COSP/IRG/2018/4), the projections are based on the assumption that the cost-saving measures currently in place will continue to be applied. The first year of the second cycle of the Mechanism started in June 2016. Expenditures reported under the first year relate to activities undertaken with regard to the first group of 29 countries under review, as well as training activities and travel of UNODC experts to provide targeted assistance in countries under review until 30 June 2017. The second year of the second cycle of the Mechanism started in June 2017. Expenditures reported under the second year relate to activities undertaken with regard to the second group of 48 countries under review, as well as training activities and travel of UNODC experts to provide targeted assistance in countries under review between 1 July 2017 and 30 June 2018. The third year of the second cycle of the Mechanism started in June 2018. Expenditures reported under the third year relate to activities undertaken with regard to the third group of 36 countries under review, as well as training activities between 1 July 2018 and 30 June 2019. The fourth year of the second cycle of the Mechanism started in June 2019. Expenditures reported under the fourth year relate to activities undertaken with regard to the fourth group of 37 countries under review, as well as training activities and travel of UNODC experts to provide targeted assistance in countries under review between 1 July 2019 and 30 June 2020. The fifth year of the second cycle of the Mechanism started in June 2020. Expenditures reported under the fifth year relate to activities undertaken with regard to the fifth group of 35 countries under review, as well as training activities and travel of UNODC experts to provide targeted assistance in countries under review between 1 July 2020 to 28 February 2021. Expenditures for the participation of least developed countries in the sessions of the Implementation Review Group are reported under all respective year groups.

Table 3
Estimates and extrabudgetary expenditures for the second cycle of the Implementation Review Mechanism, as at 28 February 2021

(United States dollars)

<i>Budgetary item</i>	<i>First year</i>		<i>Second year</i>		<i>Third year</i>		<i>Fourth year</i>		<i>Fifth year</i>		<i>All years</i>	
	<i>Estimates</i>	<i>Expenditures</i>	<i>Estimates</i>	<i>Expenditures</i>	<i>Estimates</i>	<i>Expenditures</i>	<i>Estimates</i>	<i>Expenditures</i>	<i>Estimates</i>	<i>Expenditures</i>	<i>Estimates</i>	<i>Expenditures</i>
Travel of participants for country visits and joint meetings	379 600	278 000	702 800	332 600	555 300	151 900	540 300	-	465 300	-	2 643 300	762 500
Translation of working documentation	363 700	258 700	601 900	245 900	464 000	46 100	451 400	96 800	388 700	200	2 269 700	647 700
Participation of least developed countries in the sessions of the Implementation Review Group	309 500	309 500	243 100	243 100	311 000	236 900	311 000	147 100	311 000	400	1 485 600	937 000
Training of governmental experts	409 800	409 800	350 000	182 500	352 000	49 000	352 000	81 300	352 000	-	1 815 800	722 600
Travel of UNODC experts to provide targeted assistance in countries under review	58 300	58 300	58 500	26 900	58 500	-	58 500	25 600	58 500	-	292 300	110 800
Additional posts (1 P-4, 2 P-3)	313 300	313 300	207 400	207 400	-	-	-	-	-	-	520 700	520 700
Computer maintenance and communications costs	7 900	7 900	5 100	5 100	-	-	-	-	-	-	13 000	13 000
Total	1 842 100	1 635 500	2 168 800	1 243 500	1 740 800	483 900	1 713 200	350 800	1 575 500	600	9 040 400	3 714 300
	<i>First year</i>		<i>Second year</i>		<i>Third year</i>		<i>Fourth year</i>		<i>Fifth year</i>		<i>All years</i>	
Number of countries	29		48		36		37		35		185	

V. Resource requirements for the functioning of the Implementation Review Mechanism, extrabudgetary contributions received and funding shortfall

6. As shown in tables 4 and 5, a total of \$17,739,600 in voluntary contributions was received from Australia, Austria, Brazil, Canada, China, Denmark, the European Commission, France, Germany, Italy, Japan, Kazakhstan, Liechtenstein, Mexico, Morocco, the Netherlands, Norway, Panama, Qatar, the Russian Federation, Saudi Arabia, Sweden, Switzerland, Turkey, the United Kingdom of Great Britain and Northern Ireland, the United States of America and the United Nations Development Programme (UNDP) between 2010 and 2021. In-kind contributions were provided by Angola, Argentina, Armenia, Australia, Austria, the Bahamas, Bahrain, Belize, Benin, Bhutan, Botswana, Brazil, Burkina Faso, Cabo Verde, Cameroon, China, Colombia, the Dominican Republic, Ecuador, Egypt, Eswatini, Fiji, Gabon, Greece, Grenada, Guyana, Haiti, India, Israel, Italy, Kazakhstan, Kenya, Kiribati, Liechtenstein, Madagascar, Malaysia, Mauritania, Mexico, Morocco, Mozambique, Myanmar, Nepal, Papua New Guinea, Portugal, Qatar, the Russian Federation, Saudi Arabia, Senegal, Singapore, Solomon Islands, Tajikistan, Turkey, the United Arab Emirates, Uzbekistan, Vanuatu and Zimbabwe.

Table 4
Extrabudgetary contributions to the Implementation Review Mechanism, by year, as at 28 February 2021

(United States dollars)

<i>Extrabudgetary contributions</i>	
2010	1 999 600
2011	2 711 000
2012	2 094 500
2013	2 085 800
2014	2 031 100
2015	392 500
2016	813 700
2017	2 099 300
2018	906 400
2019	2 116 600
2020	479 100
2021	10 000
Total all years	17 739 600

Table 5
Extrabudgetary contributors to the Implementation Review Mechanism, by year, as at 28 February 2021

<i>Extrabudgetary contributors</i>	
2010	Canada, France, Germany, Mexico, Netherlands, Norway, Sweden, United Kingdom, United States, UNDP
2011	Australia, Austria, Brazil, Canada, France, Norway, Qatar, Russian Federation, Sweden, Switzerland, United States
2012	Australia, France, Mexico, Norway, Sweden, United States
2013	Australia, France, Italy, Mexico, Morocco, Norway, Qatar, Russian Federation, Sweden, Switzerland, United States
2014	Australia, France, Norway, Panama, Russian Federation, Saudi Arabia, Sweden, United Kingdom, United States
2015	Australia, France, Mexico, Morocco, Sweden, Turkey, United States
2016	France, Japan, Mexico, Morocco, Norway, Qatar, Russian Federation, Sweden, United States
2017	Austria, Canada, China, France, Germany, Italy, Japan, Liechtenstein, Mexico, Panama, Qatar, Russian Federation, Sweden, United States
2018	Australia, European Commission, France, Germany, Japan, Mexico, Panama, Russian Federation, Sweden, Turkey
2019	Denmark, France, Germany, Japan, Kazakhstan, Mexico, Panama, Russian Federation, United States
2020	Japan, Kazakhstan, Russian Federation, United States
2021	Mexico

7. As shown in table 6, the voluntary extrabudgetary contributions received as at 28 February 2021 cover the costs for the operation of the first cycle and the first four years of the second cycle of the Review Mechanism. A funding shortfall of \$1,420,100 remains to be filled to meet the total estimated requirements for the Review Mechanism.

Table 6
Extrabudgetary resource requirements for the functioning of the Implementation Review Mechanism, contributions received and funding shortfall, as at 28 February 2021

(United States dollars)

	<i>Total estimated requirements</i>	<i>Requirements covered by extrabudgetary contributions</i>	<i>Shortfall</i>
First cycle	10 119 300	10 119 300	-
Subtotal	10 119 300	10 119 300	-
Second cycle			
First year	1 842 100	1 842 100	-
Second year	2 168 800	2 168 800	-
Third year	1 740 800	1 740 800	-
Fourth year	1 713 200	1 713 200	-
Fifth year	1 575 500	155 400	1 420 100
Subtotal	9 040 400	7 620 300	1 420 100
Total, first and second cycles	19 159 700	17 739 600	1 420 100