



# General Assembly

Distr.: General  
10 March 2023

Original: English

---

**Review Conference on the Agreement for the  
Implementation of the Provisions of the  
United Nations Convention on the Law of the Sea of  
10 December 1982 relating to the Conservation and  
Management of Straddling Fish Stocks and Highly  
Migratory Fish Stocks**

New York, 22–26 May 2023

**Report on the status of the Assistance Fund under Part VII  
of the Agreement for the Implementation of the Provisions  
of the United Nations Convention on the Law of the Sea of  
10 December 1982 relating to the Conservation and  
Management of Straddling Fish Stocks and Highly  
Migratory Fish Stocks**

**Note by the Secretariat**

1. In its resolution [58/14](#), the General Assembly decided to establish an Assistance Fund under Part VII of the Agreement for the Implementation of the Provisions of the United Nations Convention on the Law of the Sea of 10 December 1982 relating to the Conservation and Management of Straddling Fish Stocks and Highly Migratory Fish Stocks, to assist developing States parties in the implementation of the Agreement. Pursuant to that resolution, the Fund is jointly administered by the Food and Agriculture Organization of the United Nations (FAO), which acts as the implementing office for the Fund, and the Division for Ocean Affairs and the Law of the Sea of the Office of Legal Affairs of the Secretariat. The terms of reference for the Fund provide that, in addition to the submission of an annual report on the activities of the Fund, a report on the activities of the Fund, including a financial statement of the contributions to and disbursements from the Fund, shall be presented to the Review Conference provided for under article 36 of the Agreement.

2. Pursuant to that requirement, the Secretariat hereby transmits the report of FAO on the activities of the Fund, dated March 2023.



# **Report on the status of the Assistance Fund under Part VII of the Agreement for the Implementation of the Provisions of the United Nations Convention on the Law of the Sea of 10 December 1982 relating to the Conservation and Management of Straddling Fish Stocks and Highly Migratory Fish Stocks**

**March 2023**

## **I. Introduction**

1. In November 2003, the General Assembly, in its resolution [58/14](#), established an Assistance Fund under Part VII of the Agreement for the Implementation of the Provisions of the United Nations Convention on the Law of the Sea of 10 December 1982 relating to the Conservation and Management of Straddling Fish Stocks and Highly Migratory Fish Stocks, to assist developing States parties in the implementation of the Agreement. It also decided that the Fund would be administered by the Food and Agriculture Organization of the United Nations (FAO), in collaboration with the Division for Ocean Affairs and the Law of the Sea of the Office of Legal Affairs of the Secretariat. On 19 April 2005, the Fund was established. It is managed in accordance with its terms of reference and the FAO Financial Regulations, as well as other applicable rules.

## **II. Contributions to the Assistance Fund**

2. The United Nations and FAO have continued to make calls for contributions to the Assistance Fund at international forums, including at sessions of the General Assembly and the FAO Committee on Fisheries, as well as on the web page of the Fund. Calls for contributions were also made at the thirteenth to fifteenth rounds of informal consultations of States parties to the Fish Stocks Agreement, held in New York on 22 and 23 May 2018, on 2 and 3 May 2019 and from 17 to 19 May 2022, respectively. Other fundraising initiatives include a joint letter dated 3 August 2016 from the Division for Ocean Affairs and the Law of the Sea and FAO addressed to permanent representatives and permanent observer missions to FAO, and a joint letter dated 1 November 2016 from the Division and FAO addressed to permanent representatives and permanent observer missions to the United Nations in New York.

3. The terms of reference for the Assistance Fund have been revised once since the Review Conference on the Fish Stocks Agreement resumed in 2016,<sup>1</sup> namely at the fourteenth round of informal consultations of States parties to the Agreement, held in 2019. Among other things, the revision broadened the way in which voluntary contributions to the Fund can be made, including, in addition to general voluntary contributions, contributions through donor agreements for specific activities that meet any of the purposes of the Fund set forth in paragraph 16 of the revised terms of reference. In addition, pursuant to paragraph 4 of the revised terms of reference, contributions to the Fund will be used to support the purposes of the Fund, including through projects and programmes implemented by FAO or the Division for Ocean Affairs and the Law of the Sea, acting on behalf of the United Nations.

4. General voluntary contributions to the Assistance Fund are administered by FAO in collaboration with the Division for Ocean Affairs and the Law of the Sea

---

<sup>1</sup> See [www.un.org/depts/los/convention\\_agreements/fishstocktrustfund/TOR.pdf](http://www.un.org/depts/los/convention_agreements/fishstocktrustfund/TOR.pdf).

through the Fund. As at 31 December 2022, the Governments of Australia, Canada, Iceland, Lebanon, New Zealand, Norway and the United States of America, all of which are States parties to the Fish Stocks Agreement, had made general voluntary contributions to the Fund totalling \$1,680,594.

5. In 2021, within the framework of paragraphs 4 and 13 of the revised terms of reference for the Assistance Fund, the European Union and FAO entered into a donor agreement for a three-year project valued at \$1,127,599, on assistance to strengthen participation in and implementation of the Fish Stocks Agreement. The objective of the project, jointly implemented by the Division for Ocean Affairs and the Law of the Sea and FAO, is to improve the conservation and management of straddling fish stocks and highly migratory fish stocks by strengthening participation in the Agreement and the full and effective implementation of its provisions, in particular by developing States. A contribution to the Fund amounting to \$451,040 and representing the first pre-financing instalment for the project was made by the European Union in 2022.

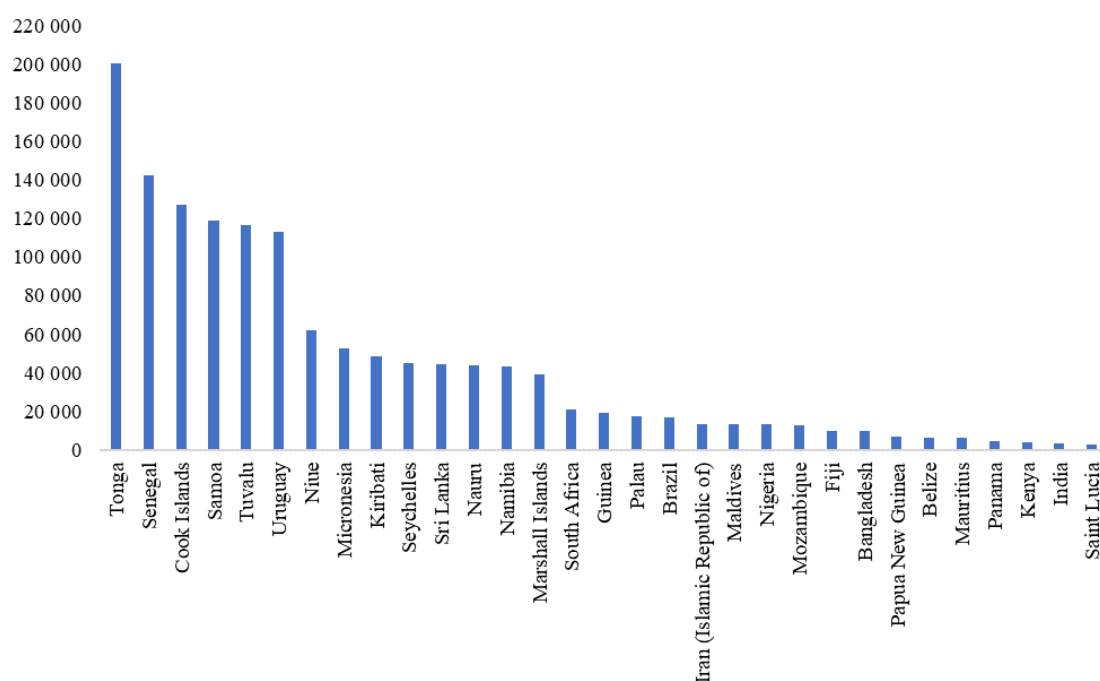
6. Annex I provides information on contributions to the Assistance Fund, together with accrued interest. As at 31 December 2022, contributions to the Fund amounted cumulatively to \$2,131,634. The Fund's total receipts were \$2,184,793, of which \$53,159 was accrued interest. Since the Review Conference on the Fish Stocks Agreement resumed in 2016, contributions amounting to \$13,139 and \$451,040 have been received from the Government of New Zealand and from the European Union, respectively.

### **III. Requests for assistance and use of the Fund**

7. Information about the existence and purpose of the Assistance Fund has been disseminated widely by the United Nations and FAO, including through electronic means, international meetings and contacts with relevant regional fisheries bodies. Owing to the near depletion of the Fund at the end of 2016, its use has had to be temporarily suspended, and no applications have since been accepted.

8. As at 31 December 2022, the total expenditure from the Assistance Fund was estimated at \$2,070,293. Annex II provides details of the expenditure from the Fund as at 31 December 2022, including through specific projects and programmes. Expenditure is categorized according to the purposes for which assistance is permitted and administrative expenses, as reflected, respectively, in paragraphs 14 and 21 of the original terms of reference for the Fund. Since 2011, the Fund has been used exclusively for travel-related expenses. The figure below shows the allocation of travel expenditure by State party for the period from 2006 to 2016; further details of this expenditure by category are provided in annex III. There was no expenditure from the Fund from 1 January 2017 to 31 December 2022. Expenditure through specific projects and programmes amounting to \$363,675 was incurred in 2022.

Figure  
Travel support by State party, 2006–2016



9. The panel of experts, established pursuant to paragraph 15 of the original terms of reference to review applications and make recommendations on the amount of financial assistance to be given in each case, has not been convened since the Review Conference resumed in 2016, as only travel-related expenses have been supported by the Assistance Fund. Details of allocations from the Fund under FAO letters of agreement<sup>2</sup> since 2009 are provided in annex IV.

#### IV. Assistance Fund balance

10. As at 31 December 2022, the balance of the Assistance Fund was \$24,132, including accrued interest.

11. As at the same date, the balance for projects and programmes under the Assistance Fund was \$90,368, including accrued interest.

<sup>2</sup> A letter of agreement is an instrument setting forth the terms of agreement between the Food and Agriculture Organization of the United Nations and a recipient organization for the production of specified outputs that will contribute to the achievements of specific objectives through the supply of services and/or other work products. A recipient organization is a governmental, regional, intergovernmental, parastatal or other non-profit institution that will, whenever possible, be located in the country where the services are to be rendered or the materials used.

## Annex I

## Assistance Fund under the Fish Stocks Agreement: contributions as at 31 December 2022

| <i>Donor</i>             | <i>2004</i> | <i>2005</i> | <i>2006</i> | <i>2007</i> | <i>2008</i> | <i>2009</i> | <i>2010</i> | <i>2011</i> | <i>2012</i> | <i>2013</i> | <i>2014</i> | <i>2015</i> | <i>2016</i> | <i>2017</i> | <i>2018</i> | <i>2019</i> | <i>2020</i> | <i>2021</i> | <i>2022</i> | <i>Total</i> |
|--------------------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|--------------|
| United States of America | 200 000     | –           | –           | 15 000      | –           | –           | –           | –           | –           | –           | –           | –           | –           | –           | –           | –           | –           | –           | –           | 215 000      |
| Iceland                  | –           | 50 000      | –           | –           | –           | –           | –           | –           | –           | –           | –           | –           | –           | –           | –           | –           | –           | –           | –           | 50 000       |
| Norway                   | –           | 98 179      | –           | –           | –           | –           | 96 798      | –           | –           | –           | –           | 200 000     | –           | –           | –           | –           | –           | –           | –           | 394 977      |
| Canada                   | –           | –           | 64 230      | 389 948     | –           | –           | –           | –           | –           | –           | –           | –           | –           | –           | –           | –           | –           | –           | –           | 454 178      |
| Lebanon                  | –           | –           | –           | –           | –           | 2 000       | –           | –           | –           | –           | –           | –           | –           | –           | –           | –           | –           | –           | –           | 2 000        |
| New Zealand              | –           | –           | –           | –           | –           | 19 500      | –           | –           | –           | –           | –           | –           | –           | –           | –           | 13 139      | –           | –           | –           | 32 639       |
| Australia                | –           | –           | –           | –           | –           | –           | –           | 531 800     | –           | –           | –           | –           | –           | –           | –           | –           | –           | –           | –           | 531 800      |
| European Union           | –           | –           | –           | –           | –           | –           | –           | –           | –           | –           | –           | –           | –           | –           | –           | –           | –           | –           | 451 040     | 451 040      |
| Subtotal                 | 200 000     | 148 179     | 64 230      | 404 948     | –           | 21 500      | 96 798      | 531 800     | –           | –           | –           | 200 000     | –           | –           | –           | 13 139      | –           | –           | 451 040     | 2 131 634    |
| Interest                 | –           | 6 249       | 14 725      | 21 567      | 4 102       | 1 485       | 262         | 189         | 457         | 243         | 63          | 156         | 102         | 77          | 161         | 105         | 86          | 1           | 3 130       | 53 159       |
| Total                    | 200 000     | 154 428     | 78 955      | 426 515     | 4 102       | 22 985      | 97 060      | 531 989     | 457         | 243         | 63          | 200 156     | 102         | 77          | 161         | 13 244      | 86          | 1 454 170   | 2 184 793   |              |

## Annex II

## Assistance Fund under the Fish Stocks Agreement: expenditure account, including expenditure on projects and programmes, as at 31 December 2022<sup>a</sup>

### A. Expenditure account

| Paragraph<br>of the<br>original<br>terms of<br>reference <sup>b</sup> | Expenditure category  | Expenditure in United States dollars (percentage) |                |                |                |                 |                |                 |                |                 |                |                | Total           |
|---|---|---|----------------|----------------|----------------|-----------------|----------------|-----------------|----------------|-----------------|----------------|----------------|-----------------|
|   |   | 2006  | 2007           | 2008           | 2009           | 2010            | 2011           | 2012            | 2013           | 2014            | 2015           | 2016           |                 |
| 14 (a)  | Expenses for participation in meetings and activities of relevant regional fisheries management organizations and arrangements  | 20 421<br>(30)                                    | 30 657<br>(52) | 51 596<br>(35) | 78 370<br>(18) | 131 297<br>(63) | 51 939<br>(85) | 145 408<br>(75) | 80 342<br>(48) | 107 413<br>(72) | 53 870<br>(65) | 76 900<br>(59) | 828 213<br>(49) |
| 14 (b)  | Expenses for participation in high seas meetings of global organizations such as the United Nations Development Programme, the Food and Agriculture Organization of the United Nations and other specialized agencies, the Global Environment Facility, the Commission on Sustainable Development and other appropriate international and regional organizations and bodies | 45 729<br>(68)                                    | 3 862<br>(7)   | 59 702<br>(41) | 74 488<br>(17) | 30 740<br>(15)  | 5 120<br>(8)   | 40 090<br>(21)  | 62 286<br>(37) | 29 074<br>(19)  | 19 595<br>(24) | 39 768<br>(30) | 410 454<br>(24) |

| Paragraph<br>of the<br>original<br>terms of<br>reference <sup>b</sup> | Expenditure category  | Expenditure in United States dollars (percentage) |                |                |                 |                |      |              |               |              |               |              |                 |
|---|---|---|----------------|----------------|-----------------|----------------|------|--------------|---------------|--------------|---------------|--------------|-----------------|
|   |   | 2006  | 2007           | 2008           | 2009            | 2010           | 2011 | 2012         | 2013          | 2014         | 2015          | 2016         | Total           |
| 14 (c)  | Ongoing and future negotiations to establish new regional fisheries management organizations and arrangements, renegotiation of founding agreements for such organizations and strengthening of existing regional fisheries management organizations and arrangements | –   | 23 347<br>(40) | 22 980<br>(16) | 43 197<br>(10)  | –              | –    | –            | –             | –            | –             | –            | 89 524<br>(5)   |
| 14 (d)  | Building capacity in relation to flag State responsibility, monitoring, control and surveillance, data collection and scientific research at the national and/or regional level   | –   | –              | 8 775<br>(6)   | 47 771<br>(11)  | –              | –    | 5 166<br>(3) | 15 452<br>(9) | 8 562<br>(6) | –             | 8 726<br>(7) | 94 452<br>(6)   |
| 14 (e)  | Facilitating exchange of information and experience on the implementation of the Fish Stocks Agreement  | –   | –              | –              | –               | –              | –    | –            | –             | –            | –             | –            | –               |
| 14 (f)  | Supporting human resource development, technical training and technical assistance to   | –   | –              | –              | 182 340<br>(41) | 37 354<br>(18) | –    | –            | 10 279<br>(6) | –            | 9 534<br>(11) | 3 200<br>(2) | 242 708<br>(14) |

| Paragraph<br>of the<br>original<br>terms of<br>reference <sup>b</sup> | Expenditure category   | Expenditure in United States dollars (percentage) |               |                |                |                |               |                |                |                |               |                |                  |
|---|--|---|---------------|----------------|----------------|----------------|---------------|----------------|----------------|----------------|---------------|----------------|------------------|
|   |  | 2006  | 2007          | 2008           | 2009           | 2010           | 2011          | 2012           | 2013           | 2014           | 2015          | 2016           | Total            |
|   | support proper conservation and management   |   |               |                |                |                |               |                |                |                |               |                |                  |
| 14 (g)  | Dispute settlement   | –   | –             | –              | –              | –              | –             | –              | –              | 4 098          | –             | –              | 4 098            |
|   |  |   |               |                |                |                |               |                |                | (3)            |               |                | (0)              |
| 21  | Administrative expenses (staff time, insurance and project servicing costs for certain projects) | 867   | 1 106         | 2 642          | 14 621         | 8 741          | 4 326         | 2 367          | –              | –              | 11            | 2 488          | 37 169           |
|   |  | (1)   | (2)           | (2)            | (3)            | (4)            | (7)           | (1)            |                |                |               | (2)            | (2)              |
| <b>Total<sup>c</sup></b>  |  | <b>67 017</b>                                     | <b>58 972</b> | <b>145 695</b> | <b>440 787</b> | <b>208 132</b> | <b>61 385</b> | <b>193 031</b> | <b>168 359</b> | <b>149 147</b> | <b>83 011</b> | <b>131 083</b> | <b>1 706 619</b> |
|   |  | <b>(100)</b>                                      | <b>(100)</b>  | <b>(100)</b>   | <b>(100)</b>   | <b>(100)</b>   | <b>(100)</b>  | <b>(100)</b>   | <b>(100)</b>   | <b>(100)</b>   | <b>(100)</b>  | <b>(100)</b>   | <b>(100)</b>     |

<sup>a</sup> There was no expenditure from the Assistance Fund in 2004 and 2005 and from 2017 to 2022.

<sup>b</sup> Corresponding to paragraphs 16 and 23 of the revised terms of reference.

<sup>c</sup> Some percentages may not add to 100 owing to rounding.

## B. Projects and programmes<sup>d</sup>

| Project/programme   | Objective   | Expenditure in 2022<br>in United States<br>dollars (percentage) | Total expenditure in<br>United States dollars<br>(percentage) |
|---|---|---|---|
| Project of assistance to strengthen participation in and implementation of the Fish Stocks Agreement <sup>e</sup> | To improve the conservation and management of straddling fish stocks and highly migratory fish stocks by strengthening participation in the Agreement and the full and effective implementation of its provisions, in particular by developing States | 363 675<br>(100)  | 363 675<br>(100)  |
| <b>Total</b>  |   | <b>363 675</b><br><b>(100)</b>                                  | <b>363 675</b><br><b>(100)</b>                                |

<sup>d</sup> Prior to 2022, there was no expenditure for specific projects and programmes under the terms of reference for the Assistance Fund.

<sup>e</sup> On 25 October 2022, the Food and Agriculture Organization of the United Nations (FAO) and the United Nations, through the Division for Ocean Affairs and the Law of the Sea of the Office of Legal Affairs of the Secretariat, entered into a United Nations-United Nations transfer agreement to transfer \$363,452 from FAO to the Division for the implementation of the project. The balance of reported expenditure represents other costs incurred by FAO in accordance with its Financial Regulations, as well as other applicable rules.



## Annex III

## Assistance Fund under the Fish Stocks Agreement: travel expenditure as at 31 December 2022

## Allocation by State party

(United States dollars)

| Year                  | Country          | Paragraph of the original terms of reference <sup>a</sup> |               |               |        |        |        | Total         |
|-----------------------|------------------|---|---------------|---------------|--------|--------|--------|---------------|
|                       |                  | 14 (a)  | 14 (b)        | 14 (c)        | 14 (d) | 14 (f) | 14 (g) |               |
| 2006                  | Fiji             | —   | 3 967         | —             | —      | —      | —      | 3 967         |
|                       | Guinea           | —   | 6 394         | —             | —      | —      | —      | 6 394         |
|                       | Maldives         | —   | 3 063         | —             | —      | —      | —      | 3 063         |
|                       | Marshall Islands | —   | 4 855         | —             | —      | —      | —      | 4 855         |
|                       | Mauritius        | —   | 6 073         | —             | —      | —      | —      | 6 073         |
|                       | Namibia          | 5 580   | 3 520         | —             | —      | —      | —      | 9 100         |
|                       | Niue             | 8 203   | —             | —             | —      | —      | —      | 8 203         |
|                       | Saint Lucia      | —   | 2 553         | —             | —      | —      | —      | 2 553         |
|                       | Samoa            | —   | 6 300         | —             | —      | —      | —      | 6 300         |
|                       | Senegal          | —   | 3 195         | —             | —      | —      | —      | 3 195         |
|                       | South Africa     | 2 868   | —             | —             | —      | —      | —      | 2 868         |
|                       | Uruguay          | 3 769   | 5 810         | —             | —      | —      | —      | 9 579         |
| <b>Subtotal, 2006</b> |                  | <b>20 421</b>   | <b>45 729</b> | —             | —      | —      | —      | <b>66 150</b> |
| 2007                  | Belize           | 1 754   | —             | —             | —      | —      | —      | 1 754         |
|                       | Cook Islands     | —   | —             | 4 665         | —      | —      | —      | 4 665         |
|                       | Guinea           | 3 849   | —             | —             | —      | —      | —      | 3 849         |
|                       | Marshall Islands | 2 963   | —             | —             | —      | —      | —      | 2 963         |
|                       | Micronesia       | —   | —             | 12 058        | —      | —      | —      | 12 058        |
|                       | Niue             | 6 945   | —             | 6 623         | —      | —      | —      | 13 568        |
|                       | Samoa            | —   | -200          | —             | —      | —      | —      | -200          |
|                       | Senegal          | 5 609   | 4 062         | —             | —      | —      | —      | 9 671         |
|                       | South Africa     | 4 606   | —             | —             | —      | —      | —      | 4 606         |
|                       | Uruguay          | 4 932   | —             | —             | —      | —      | —      | 4 932         |
| <b>Subtotal, 2007</b> |                  | <b>30 657</b>   | <b>3 862</b>  | <b>23 347</b> | —      | —      | —      | <b>57 866</b> |
| 2008                  | Cook Islands     | 3 027   | 3 027         | 8 736         | —      | —      | —      | 14 790        |
|                       | Kiribati         | 5 966   | —             | —             | —      | —      | —      | 5 966         |
|                       | Maldives         | —   | 4 300         | —             | —      | —      | —      | 4 300         |
|                       | Marshall Islands | 7 732   | 10 166        | —             | —      | —      | —      | 17 898        |
|                       | Micronesia       | 4 429   | —             | 9 269         | —      | —      | —      | 13 698        |
|                       | Nauru            | 4 182   | 4 182         | —             | —      | —      | —      | 8 364         |
|                       | Niue             | —   | —             | 4 976         | —      | —      | —      | 4 976         |
|                       | Palau            | 2 311   | —             | —             | —      | —      | —      | 2 311         |
|                       | Samoa            | 2 675   | 7 051         | —             | —      | —      | —      | 9 726         |
|                       | Senegal          | 12 354  | 4 856         | —             | —      | —      | —      | 17 210        |

| Year | Country               | Paragraph of the original terms of reference <sup>a</sup> |               |               |              |              |          | Total          |
|------|-----------------------|---|---------------|---------------|--------------|--------------|----------|----------------|
|      |                       | 14 (a)  | 14 (b)        | 14 (c)        | 14 (d)       | 14 (f)       | 14 (g)   |                |
|      | Seychelles            | –   | 7 504         | –             | 4 756        | –            | –        | 12 260         |
|      | Tonga                 | 2 906   | 11 130        | –             | –            | –            | –        | 14 036         |
|      | Uruguay               | 6 013   | 7 486         | –             | 4 019        | –            | –        | 17 518         |
|      | <b>Subtotal, 2008</b> | <b>51 596</b>   | <b>59 702</b> | <b>22 981</b> | <b>8 775</b> | <b>–</b>     | <b>–</b> | <b>143 053</b> |
| 2009 | Cook Islands          | –   | 5 288         | –             | –            | –            | –        | 5 288          |
|      | Guinea                | –   | 4 544         | –             | –            | –            | –        | 4 544          |
|      | Kiribati              | –   | 14 885        | 5 726         | –            | –            | –        | 20 612         |
|      | Marshall Islands      | 6 449   | –             | –             | –            | –            | –        | 6 449          |
|      | Micronesia            | 44  | –             | –             | –            | –            | –        | 44             |
|      | Mozambique            | –   | 4 218         | –             | –            | –            | –        | 4 218          |
|      | Namibia               | –   | 3 242         | –             | –            | –            | –        | 3 242          |
|      | Niue                  | 3 804   | –             | –             | –            | –            | –        | 3 804          |
|      | Samoa                 | 3 968   | 5 375         | –             | –            | –            | –        | 9 343          |
|      | Senegal               | 13 334  | 19 603        | –             | –            | –            | –        | 32 937         |
|      | Seychelles            | 3 583   | 11 879        | –             | –            | –            | –        | 15 462         |
|      | Tonga                 | 12 523  | 5 454         | 5 397         | –            | –            | –        | 23 374         |
|      | Tuvalu                | 16 451  | –             | –             | –            | –            | –        | 16 451         |
|      | Uruguay               | 18 214  | –             | –             | –            | –            | –        | 18 213         |
|      | <b>Subtotal, 2009</b> | <b>78 370</b>   | <b>74 488</b> | <b>11 123</b> | <b>–</b>     | <b>–</b>     | <b>–</b> | <b>163 981</b> |
| 2010 | Cook Islands          | 11 832  | –             | –             | –            | –            | –        | 11 832         |
|      | Fiji                  | –   | 4 542         | –             | –            | 1 394        | –        | 5 936          |
|      | India                 | –   | 3 160         | –             | –            | –            | –        | 3 160          |
|      | Kiribati              | 4 419   | –             | –             | –            | 3 300        | –        | 7 719          |
|      | Mozambique            | –   | 4 999         | –             | –            | –            | –        | 4 999          |
|      | Namibia               | 7 844   | –             | –             | –            | –            | –        | 7 844          |
|      | Nauru                 | –   | –             | –             | –            | 3 759        | –        | 3 759          |
|      | Nigeria               | 13 189  | –             | –             | –            | –            | –        | 13 189         |
|      | Niue                  | 17 562  | –             | –             | –            | –            | –        | 17 562         |
|      | Palau                 | 3 595   | –             | –             | –            | –            | –        | 3 595          |
|      | Samoa                 | 8 293   | 9 140         | –             | –            | –            | –        | 17 432         |
|      | Senegal               | 17 026  | 4 065         | –             | –            | –            | –        | 21 091         |
|      | Seychelles            | 4 738   | 4 835         | –             | –            | –            | –        | 9 573          |
|      | South Africa          | 3 436   | –             | –             | –            | –            | –        | 3 436          |
|      | Tonga                 | 18 935  | –             | –             | –            | –            | –        | 18 935         |
|      | Tuvalu                | 13 694  | –             | –             | –            | –            | –        | 13 694         |
|      | Uruguay               | 6 734   | –             | –             | –            | –            | –        | 6 734          |
|      | <b>Subtotal, 2010</b> | <b>131 297</b>  | <b>30 741</b> | <b>–</b>      | <b>–</b>     | <b>8 453</b> | <b>–</b> | <b>170 490</b> |
| 2011 | Cook Islands          | 6 849   | –             | –             | –            | –            | –        | 6 849          |
|      | Micronesia            | 1 497   | –             | –             | –            | –            | –        | 1 497          |
|      | Namibia               | 4 443   | –             | –             | –            | –            | –        | 4 443          |

| Year | Country                    | Paragraph of the original terms of reference <sup>a</sup> |               |          |               |               |          | Total          |
|------|----------------------------|---|---------------|----------|---------------|---------------|----------|----------------|
|      |                            | 14 (a)  | 14 (b)        | 14 (c)   | 14 (d)        | 14 (f)        | 14 (g)   |                |
|      | Samoa                      | 6 498   | —             | —        | —             | —             | —        | 6 498          |
|      | Senegal                    | 8 888   | —             | —        | —             | —             | —        | 8 888          |
|      | South Africa               | 1 322   | —             | —        | —             | —             | —        | 1 322          |
|      | Tonga                      | 6 469   | 5 120         | —        | —             | —             | —        | 11 589         |
|      | Tuvalu                     | 8 015   | —             | —        | —             | —             | —        | 8 015          |
|      | Uruguay                    | 7 958   | —             | —        | —             | —             | —        | 7 958          |
|      | <b>Subtotal, 2011</b>      | <b>51 939</b>   | <b>5 120</b>  | <b>—</b> | <b>—</b>      | <b>—</b>      | <b>—</b> | <b>57 059</b>  |
| 2012 | Cook Islands               | 20 049  | 8 420         | —        | —             | —             | —        | 28 469         |
|      | Guinea                     | 312   | —             | —        | —             | —             | —        | 312            |
|      | Kiribati                   | —   | 7 679         | —        | —             | —             | —        | 7 679          |
|      | Micronesia                 | 5 707   | —             | —        | —             | —             | —        | 5 707          |
|      | Namibia                    | 13 685  | —             | —        | —             | —             | —        | 13 685         |
|      | Nauru                      | —   | 8 639         | —        | —             | —             | —        | 8 639          |
|      | Niue                       | 8 088   | —             | —        | —             | —             | —        | 8 088          |
|      | Palau                      | 5 055   | 6 695         | —        | —             | —             | —        | 11 750         |
|      | Samoa                      | 10 954  | —             | —        | —             | —             | —        | 10 954         |
|      | Senegal                    | 11 305  | —             | —        | —             | —             | —        | 11 305         |
|      | Seychelles                 | 7 880   | —             | —        | —             | —             | —        | 7 880          |
|      | South Africa               | 8 147   | —             | —        | —             | —             | —        | 8 147          |
|      | Sri Lanka                  | 8 749   | —             | —        | —             | —             | —        | 8 749          |
|      | Tonga                      | 25 977  | 8 657         | —        | 5 166         | —             | —        | 39 800         |
|      | Tuvalu                     | 10 048  | —             | —        | —             | —             | —        | 10 048         |
|      | Uruguay                    | 9 452   | —             | —        | —             | —             | —        | 9 452          |
|      | <b>Subtotal, 2012</b>      | <b>145 408</b>  | <b>40 090</b> | <b>—</b> | <b>5 166</b>  | <b>—</b>      | <b>—</b> | <b>190 664</b> |
| 2013 | Cook Islands               | 15 560  | 4 310         | —        | —             | —             | —        | 19 870         |
|      | Iran (Islamic Republic of) | —   | —             | —        | —             | 10 279        | —        | 10 279         |
|      | Kiribati                   | —   | 6 590         | —        | —             | —             | —        | 6 590          |
|      | Maldives                   | 5 969   | —             | —        | —             | —             | —        | 5 969          |
|      | Micronesia                 | 7 015   | 6 837         | —        | —             | —             | —        | 13 852         |
|      | Namibia                    | 1 606   | —             | —        | —             | —             | —        | 1 606          |
|      | Nauru                      | —   | 5 709         | —        | —             | —             | —        | 5 709          |
|      | Niue                       | —   | 6 062         | —        | —             | —             | —        | 6 062          |
|      | Samoa                      | 12 726  | —             | —        | —             | —             | —        | 12 726         |
|      | Senegal                    | 6 268   | 1 755         | —        | —             | —             | —        | 8 023          |
|      | South Africa               | 349   | —             | —        | —             | —             | —        | 349            |
|      | Sri Lanka                  | 11 040  | —             | —        | —             | —             | —        | 11 040         |
|      | Tonga                      | 19 808  | 7 803         | —        | 15 452        | —             | —        | 43 063         |
|      | Tuvalu                     | —   | 8 172         | —        | —             | —             | —        | 8 172          |
|      | Uruguay                    | —   | 15 049        | —        | —             | —             | —        | 15 049         |
|      | <b>Subtotal, 2013</b>      | <b>80 341</b>   | <b>62 287</b> | <b>—</b> | <b>15 452</b> | <b>10 279</b> | <b>—</b> | <b>168 359</b> |

| Year                  | Country                    | Paragraph of the original terms of reference <sup>a</sup> |               |          |              |              |              | Total            |
|-----------------------|----------------------------|---|---------------|----------|--------------|--------------|--------------|------------------|
|                       |                            | 14 (a)  | 14 (b)        | 14 (c)   | 14 (d)       | 14 (f)       | 14 (g)       |                  |
| 2014                  | Brazil                     | —   | 2 747         | —        | —            | —            | —            | 2 747            |
|                       | Cook Islands               | 13 817  | —             | —        | —            | —            | —            | 13 817           |
|                       | Guinea                     | —   | —             | —        | —            | —            | 4 098        | 4 098            |
|                       | Kenya                      | 4 104   | —             | —        | —            | —            | —            | 4 104            |
|                       | Mozambique                 | —   | 3 728         | —        | —            | —            | —            | 3 728            |
|                       | Namibia                    | 1 007   | —             | —        | —            | —            | —            | 1 007            |
|                       | Samoa                      | 18 570  | —             | —        | —            | —            | —            | 18 570           |
|                       | Senegal                    | 8 043   | —             | —        | —            | —            | —            | 8 043            |
|                       | Sri Lanka                  | 8 328   | —             | —        | —            | —            | —            | 8 328            |
|                       | Tonga                      | 28 519  | 4 115         | —        | 8 562        | —            | —            | 41 196           |
|                       | Tuvalu                     | 25 025  | 8 073         | —        | —            | —            | —            | 33 098           |
|                       | Uruguay                    | —   | 10 411        | —        | —            | —            | —            | 10 411           |
| <b>Subtotal, 2014</b> |                            | <b>107 413</b>  | <b>29 074</b> | <b>—</b> | <b>8 562</b> | <b>—</b>     | <b>4 098</b> | <b>149 147</b>   |
| 2015                  | Bangladesh                 | —   | —             | —        | —            | 9 534        | —            | 9 534            |
|                       | Micronesia                 | —   | 6 012         | —        | —            | —            | —            | 6 012            |
|                       | Namibia                    | 2 442   | —             | —        | —            | —            | —            | 2 442            |
|                       | Nauru                      | —   | 7 471         | —        | —            | —            | —            | 7 471            |
|                       | Samoa                      | 20 814  | —             | —        | —            | —            | —            | 20 814           |
|                       | Senegal                    | 8 028   | —             | —        | —            | —            | —            | 8 028            |
|                       | Sri Lanka                  | 6 366   | —             | —        | —            | —            | —            | 6 366            |
|                       | Tuvalu                     | 16 219  | 83            | —        | —            | —            | —            | 16 302           |
|                       | Uruguay                    | —   | 6 028         | —        | —            | —            | —            | 6 028            |
| <b>Subtotal, 2015</b> |                            | <b>53 870</b>   | <b>19 595</b> | <b>—</b> | <b>—</b>     | <b>9 534</b> | <b>—</b>     | <b>83 000</b>    |
| 2016                  | Belize                     | —   | —             | —        | 4 607        | —            | —            | 4 607            |
|                       | Brazil                     | —   | 14 273        | —        | —            | —            | —            | 14 273           |
|                       | Cook Islands               | 21 249  | —             | —        | —            | —            | —            | 21 249           |
|                       | Fiji                       | -83   | —             | —        | —            | —            | —            | -83              |
|                       | Iran (Islamic Republic of) | —   | —             | —        | —            | 3 200        | —            | 3 200            |
|                       | Marshall Islands           | —   | 6 751         | —        | —            | —            | —            | 6 751            |
|                       | Nauru                      | 10 115  | —             | —        | —            | —            | —            | 10 115           |
|                       | Panama                     | —   | 4 623         | —        | —            | —            | —            | 4 623            |
|                       | Papua New Guinea           | 2 189   | 4 433         | —        | —            | —            | —            | 6 622            |
|                       | Samoa                      | 6 915   | —             | —        | —            | —            | —            | 6 915            |
|                       | Senegal                    | 10 007  | —             | —        | 4 119        | —            | —            | 14 126           |
|                       | Sri Lanka                  | 6 811   | 2 833         | —        | —            | —            | —            | 9 644            |
|                       | Tonga                      | 8 653   | —             | —        | —            | —            | —            | 8 653            |
|                       | Tuvalu                     | 11 044  | —             | —        | —            | —            | —            | 11 044           |
|                       | Uruguay                    | —   | 6 856         | —        | —            | —            | —            | 6 856            |
| <b>Subtotal, 2016</b> |                            | <b>76 900</b>   | <b>39 768</b> | <b>—</b> | <b>8 726</b> | <b>3 200</b> | <b>—</b>     | <b>128 595</b>   |
| <b>Total</b>          |                            | <b>—</b>  | <b>—</b>      | <b>—</b> | <b>—</b>     | <b>—</b>     | <b>—</b>     | <b>1 378 363</b> |

<sup>a</sup> Corresponding to paragraph 16 of the revised terms of reference.

## Annex IV

### Assistance Fund under the Fish Stocks Agreement: letters of agreement and actual expenditure

| Letter of agreement   | Paragraph of the original terms of reference <sup>a</sup> | Expenditure       |               |          |          |          |          |          | Total          |
|---|---|-------------------|---------------|----------|----------|----------|----------|----------|----------------|
|   |   | 2009 <sup>b</sup> | 2010          | 2011     | 2012     | 2013     | 2014     | 2015     |                |
| FFA – 228536 – preparation of regional plan of action for the conservation and management of sharks in the Pacific Islands      | 14 (d)  | 47 771            | –             | –        | –        | –        | –        | –        | 47 771         |
| FFA – 240142 – workshop on proposed SPRFMO: awareness of roles and responsibilities – capacity and participation of FFA members | 14 (c)  | 32 074            | –             | –        | –        | –        | –        | –        | 32 074         |
| SPC – 229962 – training workshop: third tuna data management workshop   | 14 (f)  | 24 205            | –             | –        | –        | –        | –        | –        | 24 205         |
| SPC – 232710 – training workshop: tuna stock and ecological risk assessment   | 14 (f)  | 49 936            | –             | –        | –        | –        | –        | –        | 49 936         |
| SPC – 246282 – tuna stock data workshops (April 2010): data-reporting obligations to WCPFC – regional stock assessments         | 14 (f)  | 40 678            | –             | –        | –        | –        | –        | –        | 40 678         |
| SPC – 246338 – tuna stock assessment, ecosystem and by-catch workshops (June 2010): interpretation and use of information       | 14 (f)  | 67 521            | -25 163       | –        | –        | –        | –        | –        | 42 358         |
| FFA – 254292 – workshop on port State measures (September 2010)   | 14 (f)  | –                 | 54 064        | –        | –        | –        | –        | –        | 54 064         |
| <b>Total</b>  |   | <b>262 185</b>    | <b>28 901</b> | <b>–</b> | <b>–</b> | <b>–</b> | <b>–</b> | <b>–</b> | <b>291 086</b> |

*Abbreviations:* FFA, Pacific Islands Forum Fisheries Agency; SPC, secretariat of the Pacific Community; SPRFMO, South Pacific Regional Fisheries Management Organization; WCPFC, Western and Central Pacific Fisheries Commission.

<sup>a</sup> Corresponding to paragraph 16 of the revised terms of reference.

<sup>b</sup> Some funds approved for expenditure in 2009 were expended in 2010.