



General Assembly

Distr.: General
18 February 2022

Original: English

Seventy-sixth session

Agenda items 146 and 150

Report on the activities of the Office of Internal Oversight Services

Administrative and budgetary aspects of the financing
of the United Nations peacekeeping operations

Activities of the Office of Internal Oversight Services on peace operations for the period from 1 January to 31 December 2021

Report of the Office of Internal Oversight Services

Summary

The present report is submitted pursuant to General Assembly resolutions [48/218](#) B (para. 5 (e)), [54/244](#) (paras. 4–5), [59/272](#) (paras. 1–3), [64/263](#) (para. 1) and [69/253](#) (para. 1). It covers the activities of the Office of Internal Oversight Services relating to peace operations from 1 January to 31 December 2021.

The Office issued 313 oversight reports relating to peace operations in 2021. They included 544 recommendations addressing significant risks, none of which were classified as having a critical impact on organizational safety and security, operations, finances or reputation. The Office's report on its non-peacekeeping activities for the period from 1 July 2020 to 30 June 2021 is contained in documents [A/76/281 \(Part I\)](#) and [A/76/281 \(Part I\)/Add.1](#).



I. Introduction

1. The Office of Internal Oversight Services (OIOS) was established by the General Assembly pursuant to resolution 48/218 B to enhance oversight in the Organization. It is operationally independent and assists the Secretary-General in fulfilling his internal oversight responsibilities in respect of resources and staff of the Organization through the provision of internal audit, evaluation and investigation services.
2. The present report provides an overview of OIOS activities pertaining to peace operations, including all peacekeeping and special political and peacebuilding missions, and Headquarters entities directly supporting them, such as the Department of Peace Operations, the Department of Political and Peacebuilding Affairs, the Department of Management Strategy, Policy and Compliance and the Department of Operational Support, during the period from 1 January to 31 December 2021. Internal audit and evaluation reports issued during the period were published on the OIOS website (<https://oios.un.org>) in accordance with General Assembly resolution 69/253.
3. From 1 January to 31 December 2021, OIOS issued 313 reports relating to peace operations.

II. General trends and strategic challenges regarding internal oversight at the United Nations

Programmatic priorities

4. OIOS aims to provide recommendations to improve risk management, governance and operations of entities implementing and supporting peace operations. In 2021, four areas were prioritized in the Office's workplan: (a) implementation of the Secretariat reforms; (b) organizational culture; (c) procurement and supply chain; and (d) missions in transition.

General trends and challenges

5. In implementing its risk-based workplan, the Internal Audit Division considers enterprise risk management, delegation of authority and information management systems of the Secretariat and its entities. The noted strengthening of these systems in recent years allows the Division to focus more effectively on areas of higher residual risk and help to improve compliance with policies and performance towards mandated results.
6. For the first time in 2021, the Inspection and Evaluation Division covered 15 peace operations in the biennial report on strengthening the role of evaluation (A/76/69), including a detailed assessment of the United Nations Multidimensional Integrated Stabilization Mission in the Central African Republic (MINUSCA), United Nations Organization Stabilization Mission in the Democratic Republic of the Congo (MONUSCO), the United Nations Integrated Office in Haiti (BINUH), the United Nations Peacekeeping Force in Cyprus (UNFICYP) and the United Nations Interim Force in Lebanon (UNIFIL). This result will guide the work of the Division to support results-based management in peace operations through strengthening evaluation.
7. During 2021, the average time taken by the Investigations Division to complete an investigation was 12.8 months, compared with 10.6 months in 2020. However, at the end of 2021, the average age of open cases was 8 months, which is the lowest average age for the end-of-year caseload for the past few years (10 per cent lower than in 2019 and 13 per cent lower than in 2020). The Division will continue to prioritize

older cases to the extent possible, while strengthening the use of data to reduce investigation time.

III. Cooperation and coordination

8. OIOS received effective cooperation from management and staff in entities covered by its oversight activities during the reporting period.

9. The Office coordinated with the Board of Auditors and the Joint Inspection Unit to enhance synergies and efficiencies in the discharge of their respective mandates.

10. The Office also shared knowledge on oversight strategies and methodologies with the other internal oversight functions of the United Nations system through the meetings of the Representatives of Internal Audit Services of the United Nations Organizations, the United Nations Representatives of Investigative Services and the United Nations Evaluation Group.

IV. Impediments

11. There were no inappropriate scope limitations that impeded the work or independence of OIOS during the reporting period.

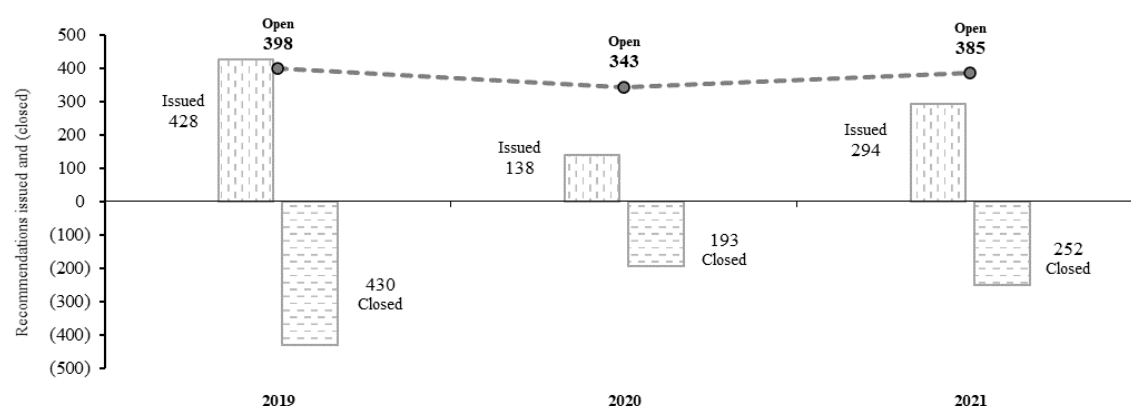
V. Recommendation trend analysis

12. OIOS issued 74 internal audit and evaluation reports containing 294 recommendations relating to peace operations. All recommendations were accepted by management. A total of 252 recommendations were closed as implemented during 2021, an increase compared with 2020 (see figure I).

Figure I

Peacekeeping audit and evaluation recommendations issued, closed and still open, 2019–2021

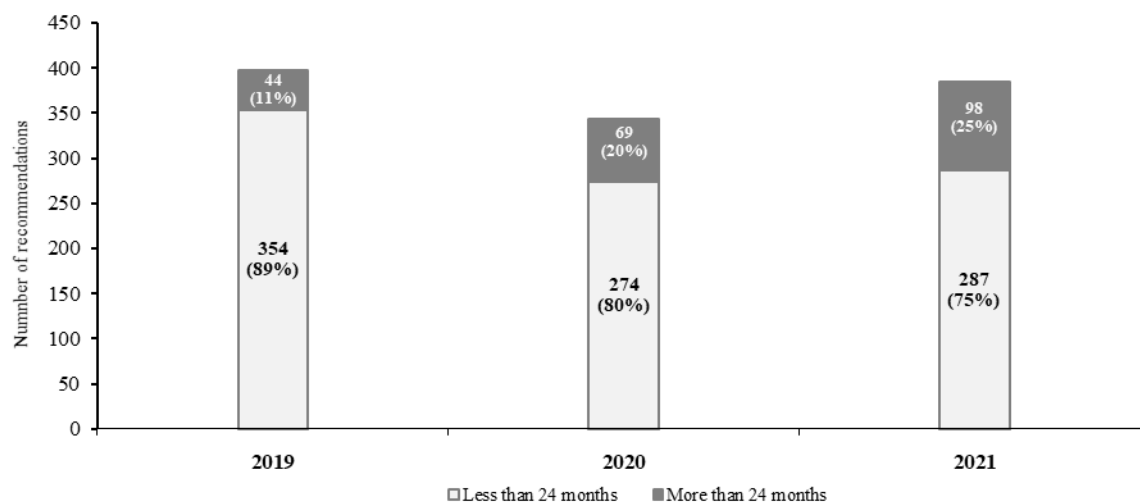
(Number of recommendations)



13. Of the 385 recommendations open at the end of 2021, 98 (25 per cent) had been open for more than 24 months (see figure II). OIOS will continue to work closely with management to remedy causes for slow implementation, which was partly attributable to disruptions and reprioritization by mission management during the pandemic.

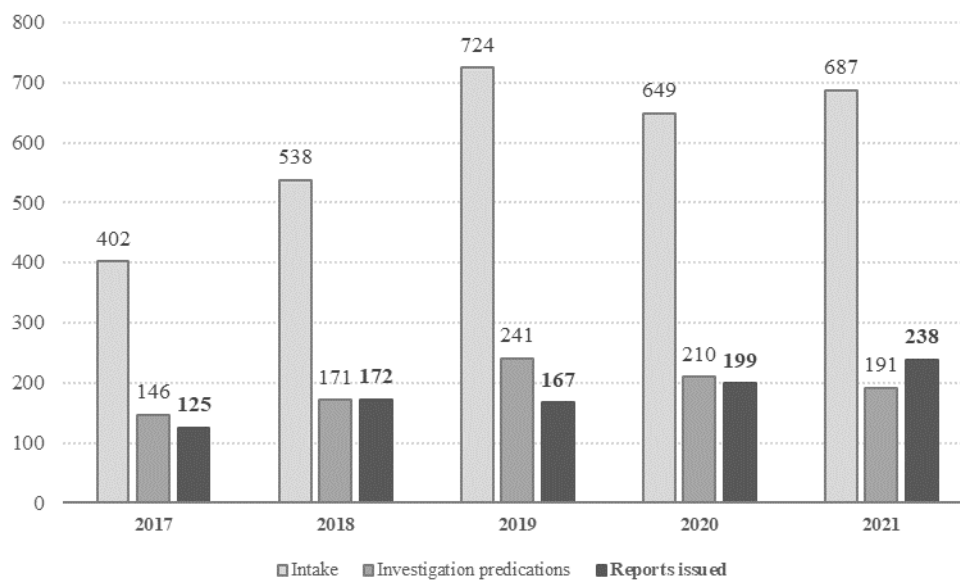
Figure II
Average age of open audit and evaluation recommendations, 2019–2021

(Number of recommendations less than 24 months and more than 24 months old)



14. In 2021, OIOS issued 238 investigation reports and closure memorandums related to peace operations, showing a steady increase since 2017 (see figure III).

Figure III
Matters reported to OIOS (intake), investigation predicated and reports issued, 2017–2021



VI. Summary of oversight activities and results

A. Selected results in priority and thematic areas

Response to the coronavirus disease

15. The Internal Audit Division conducted advisory engagements covering the response of selected missions to the coronavirus disease (COVID-19) pandemic. The advisories confirmed that most missions had established adequate systems to manage the emergency and used opportunities to strengthen the process and documentation of emergency risk management.

Organizational culture

16. The Inspection and Evaluation Division evaluated the organizational culture in 14 peacekeeping operations (IED-21-006). The evaluation found that perceptions about organizational culture varied according to mission component, gender, staff level and duty station, with uniformed personnel more positive about the culture than civilian staff. Female international civilian staff expressed the lowest levels of satisfaction. Improvements were needed for the organizational culture to better support the functioning of peacekeeping missions and more fully align with the high standards adopted by the Organization. The 11 recommendations are being implemented.

17. Five peacekeeping missions were included in the Inspection and Evaluation Division evaluation of the prevention, response and victim support efforts against sexual exploitation and abuse by United Nations Secretariat staff and related personnel (A/75/820). The implementation of the 14 recommendations is in progress.

18. The Internal Audit Division thematic audit of conduct and discipline activities in the United Nations Mission in South Sudan (UNMISS) (2021/070), the United Nations Multidimensional Integrated Stabilization Mission in Mali (MINUSMA) (2021/055) and MINUSCA (2022/001) covered the United Nations three-pronged strategy to address misconduct, namely, (a) prevention, (b) standards of conduct and (c) remedial action:

(a) The three missions had promoted shared values and expectations of conduct through awareness-raising and training but the completion rates of mandatory training that included sexual exploitation and abuse and ethics and integrity were low in UNMISS (55 per cent) and MINUSCA (37 per cent). UNMISS implemented a comprehensive risk management process to prevent misconduct. MINUSMA conducted misconduct risk assessment visits to mission locations but did not adequately identify risks and follow up on risk mitigation, partly owing to personnel and security constraints. MINUSCA had not updated its misconduct risk register and did not visit four bases where serious misconduct allegations had been reported. None of the missions had established adequate welfare and recreation activities, thereby missing an important opportunity for misconduct prevention by strengthening the morale and discipline of personnel;

(b) The three missions had adequate avenues for reporting misconduct, including community-based complaint mechanisms to enable the local community to bring forward allegations of wrongdoing; however, MINUSMA and MINUSCA needed to improve recording of allegations in the misconduct tracking system and properly assess alleged wrongdoing in a timely manner. Low levels of trust by staff that there would be accountability for wrongdoing needed to be addressed in all three missions;

(c) All three missions had adequate community awareness-raising activities and actively collaborated with United Nations country teams in implementing victim assistance mechanisms.

19. The Investigations Division continued to prioritize sexual exploitation and abuse and sexual harassment investigations. Of the 191 peacekeeping-related investigations initiated in 2021, 65 involved reports of sexual exploitation and abuse and 29 involved sexual harassment.

20. The Investigations Division continued to participate in the working group of the Special Coordinator on Improving the United Nations Response to Sexual Exploitation and Abuse and the Task Force on Addressing Sexual Harassment within the Organizations of the United Nations System of the United Nations System Chief Executives Board for Coordination.

21. The Investigations Division also delivered online and in-person training events, both independently and in coordination with other offices, including National Investigation Officer validation training to participants from two Member States; two sessions on the investigative process and communications to 44 participants from seven peace operations, three regional coordinator offices and seven funds and programmes; sexual exploitation and abuse communications training to five peace operations; induction sessions in MINUSMA, MONUSCO and UNMISS; and a session on the reinforcement of the capability of the United Nations police to fight sexual exploitation and abuse at MONUSCO. The Investigations Division contributed a segment on case management and investigations to the “Connect” sessions of the Administrative Law Division of the Department of Management Strategy, Policy and Compliance with.

22. Following the launch by the Secretary-General in 2020 of a system-wide discussion led by the Task Force on Addressing Racism and Promoting Dignity for All in the United Nations, the level of reporting of racial discrimination to OIOS has more than doubled, from 7 cases relating to peace operations in 2019 to 16 cases in 2021.

Political affairs

23. The evaluation of political affairs components in five peacekeeping missions (IED-21-015) found that political affairs officers maintained extensive political networks and knowledge and produced political analyses that were timely and of good quality, contributing to the effective discharge of the Secretary-General’s good offices function by mission senior leaders. Political dialogue had made a positive difference in reducing tensions, with peace agreements helping to reduce conflict in MINUSCA and MINUSMA, although less so in UNMISS and MONUSCO. However, the missions’ use of social media to advocate for peace was insufficiently clear, and the mission structures and processes did not always facilitate achieving political outcomes. The three recommendations made to the missions and the one to the Department of Peace Operations are under implementation.

Strengthening the role of evaluation

24. The report on strengthening the role of evaluation ([A/76/69](#)) assessed the state of evaluation during 2018–2019 in 15 peacekeeping entities. Fourteen of these lacked evaluation policies, plans and procedures, and produced few reports; and only one had a dedicated evaluation unit and an evaluation policy in place. The quality of evaluation reports prepared by peacekeeping entities was good, but there were gaps in their consideration of gender and human rights perspectives. Resources dedicated to monitoring and evaluation were very low (0.01 per cent of total programme budget) when compared with the minimum spending benchmark of 0.5 per cent. There was a

lack of dedicated financial resources for evaluation, insufficient evaluation capacity and insufficient buy-in regarding the relevance and necessity of evaluations.

Supply chain management and procurement

25. The comprehensive audit of demand and source planning for peace operations (pursuant to General Assembly resolution [72/266 B](#)) covered the related activities of MINUSCA (2021/007), MINUSMA (2021/010), the United Nations Interim Security Force for Abyei (UNISFA) (2021/036), UNMISS (2021/068), the United Nations Disengagement Observer Force (UNDOF) (2021/028), UNFICYP (2021/024), the Department of Operational Support and the Department of Management Strategy, Policy and Compliance ([A/76/595](#)). These audits showed that the missions had made progress in developing demand plans, which enabled the Department of Operational Support to improve the visibility of field missions' requirements of goods and services. However, these plans were not yet: (a) realistic enough to serve as a basis for budget proposals; or (b) granular enough to be effectively used for acquisition and delivery planning and for category management. A high number of year-end purchases and the suboptimal use of internal sourcing options and system contracts indicated a need for further improvement.

26. The audit of the management of selected procurement activities in MINUSCA (2021/017) noted that the high turnover of leadership of the Procurement Section had a negative impact on work planning, risk management and record-keeping. MINUSCA had not developed adequate performance indicators to monitor and improve the efficiency and effectiveness of the procurement process. For instance, there was frequent use of low-value acquisitions instead of competitive solicitation, even for goods and services of a recurring nature.

27. The audit of centralized warehouse management in UNIFIL (2021/081) noted that UNIFIL had improved controls over receiving, inspection and issuance of stock and taken measures to better align its workforce with expected workloads in the warehouses. As a result of the introduction of centralized warehousing, the workforce had been reduced by seven staff, but the physical consolidation of inventory and warehouse locations had not been achieved. Overstocking was also an issue, despite the tools available to monitor and analyse inventory: on 30 June 2021, 13,000 stock items valued at \$3.5 million were considered dead stock.

28. The audit of centralized warehouse management in UNMISS (2021/059) found that UNMISS had improved warehouse facilities and enhanced security measures, but action was required to better safeguard items stored in containers against water damage and reduce the risk of loss through theft. Overstocking was an issue: about 45 per cent of inventory valued at \$25 million was classified as dead stock, resulting in unnecessary warehouse overhead costs and increased risk of obsolescence.

Drawdown and liquidation of the African Union-United Nations Hybrid Operation in Darfur

29. The Internal Audit Division issued six reports and two memorandums on the drawdown and liquidation activities of the African Union-United Nations Hybrid Operation in Darfur (UNAMID). UNAMID had established effective administrative and asset downsizing task forces and was also quick to implement OIOS audit recommendations.

30. The audits of asset verification and write-off (2021/009) and asset disposal (2021/016) found that, following the OIOS audit in 2018, UNAMID had reduced asset holdings and improved inventory management. Nevertheless, UNAMID needed to better document the reported high percentage of physical verifications, and a full verification exercise was needed to determine the condition and location of assets.

31. The audit of liquidation planning (2021/47) concluded that the UNAMID plan was adequate. The audit of the closure and handover of team sites (2021/025) noted that procedures were in place for their handover and cost-benefit analyses had been completed to determine which assets were to be gifted or retrieved from sites. However, there was no documentation to confirm that the civilian end users identified to take over closed team sites and assets had the capacity, funding and governmental and community support required for sustainable use of the sites and assets.

Data management

32. The audit of cloud services in the Secretariat (2021/040) found that the Secretariat had not fully realized the envisaged benefits of the cloud strategy. A governance and accountability framework to guide and oversee economies of scale, scalability, availability and security of cloud services was lacking. Roles and responsibilities were not clearly defined for decisions regarding cloud migration, monitoring, data management, data security and support. Standards had not been developed, resulting in inconsistent practices and inadequate protection of the data and cloud resources of the Secretariat.

33. The audit of unmanned aerial vehicle systems at MONUSCO (2021/085) covered high-risk areas related to the regulatory framework, governance and operational risk management, unmanned aerial vehicle systems (class I and III) operations, data management and information security. The audit of network access management and security in the Secretariat (2021/084) covered high risks in network security governance, vulnerability assessments and network reliance and security monitoring. Owing to their sensitive nature, these reports have not been made available to the public.

Management of troop and police personnel and equipment contribution

34. The thematic audit of the management of troop and police personnel and equipment contributions covered MINUSMA (2021/018), MONUSCO (2021/012), UNISFA (2021/019), UNMISS (2020/039), MINUSCA (2020/046) and the United Nations Support Office in Somalia (UNSOS) (2020/049).

35. Missions were conducting the required periodic inspections of contingent-owned equipment, but inspections could be improved in some missions by assigning technical experts to assess serviceability of equipment. Except for UNISFA, the functioning of contingent-owned equipment and memorandum of understanding review boards was not always effective in addressing contingent-owned equipment performance issues. For those audits reported in 2021, all missions needed to: (a) ensure that ammunition storage facilities were inspected regularly; and (b) prioritize the implementation of recommendations arising from the inspections to mitigate safety and security risks.

Delegation of authority

36. Advisory engagements on the exercise and monitoring of delegation of authority showed that enhanced delegation of authority enabled missions to make timely decisions on the use of resources and provision of services, including in response to the COVID-19 pandemic. However, most missions did not have effective mechanisms to monitor the exercise of subdelegated authorities, and all missions needed to: ensure continuous alignment of Umoja roles with the authorities subdelegated, report in a timely manner to the Department of Management Strategy, Policy and Compliance exceptions to administrative instructions related to human resources management, and improve level of performance against the delegation of authority indicators monitored by the Department of Management Strategy, Policy and Compliance.

Gender perspective

37. An audit focusing on gender perspective in the United Nations Assistance Mission in Somalia (UNSOM) and UNSOS (2021/060) concluded that UNSOM needed to formulate its gender perspective strategy and improve related activity monitoring and reporting. UNSOS had in place a gender perspective action plan and considered gender elements in some activities but not in others. Both entities needed to establish baseline data and parity goals and improve coordination of common gender perspective activities.

38. The audit of recruitment of international staff, consultants and contractors in MINUSCA (2021/069) identified that MINUSCA needed to give greater consideration to qualified female candidates. The audit of occupational safety and health in UNMISS (2021/072) found that a gender perspective was not adequately mainstreamed in the occupational safety and health programme. The audit of quick-impact projects in UNMISS (2021/043) showed that UNMISS had implemented projects for women, such as community centres and maternity units, but had not reached the benchmark established by the Department of Peace Operations of 15 per cent of quick-impact project funding to be allocated to support gender equality. The audit of quick-impact projects in MINUSCA (2021/043) found that MINUSCA considered gender perspectives in selecting quick-impact projects, with gender affairs officers contributing to project design and monitoring compliance with the Mission's gender equality policy.

Environmental sustainability

39. The audit of fuel management (2021/014) identified that UNMISS had yet to install energy meters on 40 clusters of generators to allow fuel efficiency load zones to be established in order to reduce fuel consumption. The Mission had commissioned a solar farm project to increase the provision of cleaner energy, but the COVID-19 pandemic had delayed its implementation. The audit of fleet management (2021/045) identified a need to ensure that used spare parts and oil were disposed of in a timely and environmentally friendly manner.

40. The audit of rations management (2021/073) noted that MINUSCA was planning to drill boreholes and procure water treatment plants to purify water to replace the provision of bottled water to contingents. The audit of the management of troop and police personnel and equipment contributions (2021/019) showed that UNISFA inspected the repatriation of contingent-owned equipment and ensured that the disposal of obsolete equipment was not creating safety and environmental hazards.

41. The audit of liquidation planning (2021/047) concluded that UNAMID had adequately disposed of small arms ammunition and shelf-explosive ordnance. The audit of the environmental clean-up of team sites in UNAMID (2021/020) found that a comprehensive plan for team site closures had been developed. However, OIOS found septic tanks that had not been dewatered and sanitized; medical waste that had not been properly disposed of; and ballistic helmets and flak jackets that had been abandoned.

B. Selected results by entity

Department of Management Strategy, Policy and Compliance

42. OIOS issued two audit reports (2021/040 and 2021/084) related to the Department of Management Strategy, Policy and Compliance.

Department of Operational Support

43. OIOS issued one audit report ([A/76/595](#)) and two audit advisory memorandums related to the Department of Operational Support.

Department of Peace Operations

44. OIOS issued one audit report (2021/062), on the implementation of the action plan to strengthen the security of United Nations peacekeepers. Steady progress was noted. Areas of improvement included the need for the Department of Peace Operations to develop a revised framework related to changing the leadership mindset in responding to security issues.

Department of Political and Peacebuilding Affairs

45. OIOS issued one audit report (2021/052), on the trust fund in support of political affairs, in which it concluded that governance and oversight mechanisms were satisfactory. However, the Department of Political and Peacebuilding Affairs needed to improve performance monitoring to better demonstrate the impact of trust fund activities.

African Union-United Nations Hybrid Operation in Darfur

46. OIOS issued six audit reports (2021/009, 2021/016, 2021/020, 2021/025, 2021/032 and 2021/047), two audit advisory memorandums, five investigation reports, two contingent reports, one investigation advisory report and one closure notice related to UNAMID.

47. In 0086/20, the Investigations Division addressed an allegation that a staff member had placed an advertisement on social media advertising a training course external to the United Nations. The staff member was identified as a founding member of the training organization and one of the course tutors. Furthermore, the staff member had run unsuccessfully as a political candidate without seeking authorization. The report was transmitted to the Office of Human Resources and the Office of Legal Affairs. The staff member was sanctioned with a fine and separation.

Office of the Special Envoy of the Secretary-General for the Great Lakes Region

48. OIOS issued one closure notice related to the Office of the Special Envoy of the Secretary-General for the Great Lakes Region.

Office of the Special Envoy of the Secretary-General for Syria

49. OIOS issued two closure notices related to the Office of the Special Envoy of the Secretary-General for Syria.

Office of the Special Envoy of the Secretary-General for Yemen

50. OIOS issued three investigation reports related to the Office of the Special Envoy of the Secretary-General for Yemen.

Regional Service Centre in Entebbe, Uganda

51. OIOS issued six investigation reports related to the Regional Service Centre in Entebbe, Uganda.

United Nations Assistance Mission in Afghanistan

52. OIOS issued one audit advisory memorandum and six investigation reports related to the United Nations Assistance Mission in Afghanistan (UNAMA).

United Nations Assistance Mission for Iraq

53. OIOS issued one audit advisory memorandum and one investigation report related to the United Nations Assistance Mission for Iraq (UNAMI).

United Nations Assistance Mission in Somalia

54. OIOS issued one audit report (2021/060), three audit advisory memorandums, two investigation reports and one closure notice related to UNSOM.

55. In 0834/19, the Investigations Division addressed an allegation that a staff member had deleted the data from an official laptop that was believed to hold information relevant to another investigation. The staff member had also provided false information to investigators. The staff member resigned during the investigation and subsequently joined another United Nations entity. The report was transmitted to that United Nations entity for appropriate follow-up.

United Nations Disengagement Observer Force

56. OIOS issued one audit report (2021/028), one audit advisory memorandum and one closure notice related to UNDOF.

United Nations Integrated Transition Assistance Mission in the Sudan

57. OIOS issued one investigation report (1286/20) that addressed allegations that a senior staff member in the United Nations Integrated Transition Assistance Mission in the Sudan (UNITAMS) responsible for hiring for a local staff position had made unsolicited contact with an external applicant, expressed a romantic interest in them and discussed the recruitment process, having obtained their contact details from the application; and invited a second internal candidate for lunch and, when they refused, indicated that their application would be unsuccessful. The report was transmitted to the Office of Human Resources; as the staff member had separated, a note was placed in their official status file.

United Nations Interim Force in Lebanon

58. OIOS issued two audit reports (2021/006 and 2021/081), three audit advisory memorandums, two investigation reports and one contingent report related to UNIFIL.

59. The audit of vehicle fleet management (2021/006) showed that the Vehicle Establishment Committee had not ensured that the allocation of vehicles was based on operational requirements. The UNIFIL five-year replacement plan did not include criteria to ensure that vehicles were prioritized for disposal in a cost-effective manner. Furthermore, UNIFIL was not adhering to the manufacturers' recommended maintenance schedules, resulting in excessive vehicle downtime.

United Nations Interim Security Force for Abyei

60. OIOS issued two audit reports (2021/019 and 2021/036), two investigation reports and one contingent report related to UNISFA.

61. In 0073/21, the Investigations Division addressed an allegation that a staff member had submitted a fraudulent claim for hotel expenses. The investigation found

that the staff member had stayed in long-term rental accommodation throughout the period during which they claimed to have stayed in a hotel, for which they had received \$17,213 to which they were not entitled. The report is under consideration by the Office of Human Resources and the Office of Legal Affairs.

United Nations Investigative Team to Promote Accountability for Crimes Committed by Da'esh/Islamic State in Iraq and the Levant

62. OIOS issued one audit advisory memorandum and one investigation report related to the United Nations Investigative Team to Promote Accountability for Crimes Committed by Da'esh/Islamic State in Iraq and the Levant (UNITAD).

United Nations Military Observer Group in India and Pakistan

63. OIOS issued one investigation report, one closure notice and five advisory reports related to the United Nations Military Observer Group in India and Pakistan (UNMOGIP).

64. In 0774/20, the Investigations Division addressed an allegation that a staff member had been harassing a colleague by accusing them of fraudulent procurement practices because they refused a sexual relationship. The investigation found that there were several instances in which the staff member had engaged in inappropriate behaviour of a sexual nature with that colleague and another colleague. The report was transmitted to the Office of Human Resources and is under review.

United Nations Mission for Justice Support in Haiti

65. OIOS issued one investigation report related to the United Nations Mission for Justice Support in Haiti (MINUJUSTH).

66. In 0336/19, the Investigations Division addressed an allegation that several staff members were residing in an apartment building belonging to a United Nations vendor and that some of them were involved in the awarding of contracts to that vendor and the renewal of those contracts. A staff member visited the property then shared information about the vacancies in the compound with several colleagues, took part in the security inspection of the property, facilitated showings to prospective tenants, negotiated rental discounts with the landlord, facilitated free weeks of occupancy for staff members, took possession of an apartment at no cost but did not disclose that fact to the Organization, and submitted erroneous information to the Organization. The staff member had also played a role in procurement activities involving the vendor but did not disclose their relationship with the vendor or their residence in the compound. The report is being reviewed by the Office of Human Resources.

United Nations Interim Administration Mission in Kosovo

67. OIOS issued one investigation report related to the United Nations Interim Administration Mission in Kosovo (UNMIK).

United Nations Mission for the Referendum in Western Sahara

68. OIOS issued one audit report (2021/005) and one investigation advisory report related to the United Nations Mission for the Referendum in Western Sahara (MINURSO).

69. The audit concluded that MINURSO had improved monitoring of compliance with the ceasefire agreement by revising the vacancy rate for military observers to ensure adequate available troop strength. However, inadequate internal consultation with various stakeholders on human resources management and strategies to address

the Mission's financial challenges had a negative impact on the organizational culture. MINURSO also needed to accelerate action to dispose of 116 excess and unserviceable vehicles, which it has now done.

United Nations Mission in South Sudan

70. OIOS issued 8 audit reports (2021/014, 2021/015, 2021/043, 2021/045, 2021/059, 2021/068, 2021/070 and 2021/072), 2 audit advisory memorandums, 18 investigation reports, 4 contingent reports, 4 closure notices and 4 advisory reports related to UNMISS.

71. The audit of fuel management (2021/014) found that risks related to potential shortfalls in fuel were adequately managed. However, fuel consumption was not effectively monitored, for example: 13 per cent of equipment consumed fuel above standard rate, indicating overconsumption estimated at \$36,000 per month; provision of fuel over tank capacity was estimated to exceed \$2.7 million; and 705 duplicate transactions in the e-fuel management system totalling \$518,000 had not been followed up or investigated.

72. The audit of quick-impact projects (2021/043) found that the programme was effectively managed.

73. The audit of fleet management (2021/45) concluded that there were adequate guidelines on road safety and that the Vehicle Establishment Committee reviewed vehicle holdings. However, slow-moving spare parts were not systematically identified for possible transfer to other missions. For over 12 months, 2,257 spare parts costing \$1.9 million had not been issued; and 1,764 items costing \$1.5 million had not been moved since 2017. Conversely, as at 31 December 2020, 27 vehicles and transport equipment costing \$2.2 million had been off road for periods ranging from a few weeks to more than 12 months owing to shortages in spare parts, while regular maintenance of some vehicles was not carried out.

74. The audit of occupational safety and health (2021/072) found that UNMISS had developed an occupational safety and health policy and risk management plan, but senior leadership involvement was needed for effective implementation and monitoring of activities. Inadequate training and insufficient risk assessment capacity reduced prevention and mitigation opportunities. UNMISS also needed to improve incident reporting.

75. In 0231/20, the Investigations Division addressed an allegation that a military contingent member deployed as a Medical Officer had injected over 200 contingent personnel with an unidentified substance, which was later identified to be a tetanus and diphtheria vaccine. The subject had misled the contingent and obtained \$5,800 by claiming that the vaccine was for influenza and the subject of a Mission directive. The Member State assigned a National Investigation Officer to investigate the matter and the United Nations referred the matter to the Member State.

76. In 0563/20, the Investigations Division addressed an allegation that a staff member had sexually exploited a minor residing in a protection of civilians site, resulting in her pregnancy. The investigation corroborated sexual abuse of the minor, though no evidence was found to confirm the pregnancy or the birth of a child. The report is under consideration by the Office of Human Resources and the Office of Legal Affairs.

77. In 0001/19, the Investigations Division addressed an allegation that a staff member had submitted two fraudulent requests to procure outside catering for training events, which did not take place, resulting in the disbursement of \$11,700 to the selected vendor. The requests were authorized by another staff member who also certified that the services had been rendered. The investigation found that 12 other

purchases of services from the same vendor amounting to \$94,870 had also been submitted and authorized by the same staff members, with no evidence that the services had been provided; and that competing vendor quotations had been falsified. The report, which includes a recommendation for financial recovery, is under consideration by the Office of Human Resources and the Office of Legal Affairs.

United Nations Multidimensional Integrated Stabilization Mission in the Central African Republic

78. OIOS issued 6 audit reports (2021/004, 2021/007, 2021/017, 2021/053, 2021/069 and 2021/073), 1 audit advisory memorandum, 29 investigation reports, 36 contingent reports, 7 closure notices and 1 investigation advisory report related to MINUSCA.

79. The audit of quick-impact projects (2021/004) concluded that projects were in line with the Mission's strategic priorities and redeployment of project funding had helped national and regional health authorities in mitigating the impact of COVID-19. To minimize implementation delays, project management needed to be strengthened, including by building the capacity of implementing partners.

80. The audit of the Joint Operations Centre (2021/053) found that the Centre had provided training to all Mission components on its incident reporting tool, but few components were using it, which reduced the availability of comprehensive situational awareness information. The staffing of the Centre was also insufficient to ensure that all operations centres in the field offices were fully operational.

81. The audit of recruitment of international staff, consultants and contractors (2021/069) showed that hiring managers were not filling posts in a timely manner and that the Mission relied heavily on temporary job openings (including many posts at the D-1 and D-2 levels). Delays in recruitments were due mainly to the lengthy assessment of applicants and approval by management of recommended candidates. Further training and streamlining of processes were needed.

82. In 0954/20, the Investigations Division addressed an allegation that a staff member had taken special leave without pay for one year to render technical services to a national military agency and to take on a political appointment, without informing the Organization. The report is under consideration by the Office of Human Resources.

83. In 0893/20, the Investigations Division addressed an allegation of overconsumption of fuel by 38 vehicles belonging to a military contingent, for a total cost of \$236,081.57. The report was transmitted to the Department of Management Strategy, Policy and Compliance, which further transmitted the findings to the relevant Permanent Mission.

United Nations Multidimensional Integrated Stabilization Mission in Mali

84. OIOS issued five audit reports (2021/003, 2021/010, 2021/011, 2021/018 and 2021/055), two audit advisory memorandums, six investigation reports, two closure notices and one addendum report related to MINUSMA.

85. The audit of the strategic communication and public information programme (2021/003) noted that MINUSMA had not established annual workplans and monitoring and reporting processes to manage its work in this area. The Mission's social media strategy had not been updated because of key staff vacancies.

86. The audit of the human rights programme (2021/011) found that reported human rights violations were not recorded and followed up on in a timely manner, and that data was not systematically uploaded to the database of the Office of the United

Nations High Commissioner for Human Rights. Efforts were required to: monitor that non-United Nations security forces receiving resources from MINUSMA complied with the human rights due diligence policy on United Nations support to non-United Nations security forces; ensure that Mission personnel completed the mandatory human rights training; and inform implementing partners of the need to comply with the United Nations standards of conduct.

87. In 0053/21, the Investigations Division addressed an allegation that a staff member had sexually abused four minors. The report is under consideration by the Office of Human Resources and the Office of Legal Affairs.

United Nations Operation in Côte d'Ivoire

88. OIOS issued one investigation report related to the United Nations Operation in Côte d'Ivoire (UNOCI).

United Nations Organization Stabilization Mission in the Democratic Republic of the Congo

89. OIOS issued 2 audit reports (2021/012 and 2021/085), 1 audit advisory memorandum, 29 investigation reports, 7 contingent reports, 13 closure notices and 3 advisory reports related to MONUSCO.

90. In 0400/20, the Investigations Division addressed an allegation that a United Nations police officer had engaged in a sexually exploitative relationship with an adult Congolese woman, resulting in a pregnancy. The Department of Management Strategy, Policy and Compliance communicated the findings of the investigation report to the relevant Permanent Mission.

91. In 0251/19, the Investigations Division addressed an allegation of medical insurance fraud implicating 28 United Nations personnel. The insurance provider reported that 155 self-funded invoices from a local hospital had been falsified, amounting to \$145,586.26. In the case of 17 staff members, OIOS referred the matter to the Mission for action, as local investigations by the Special Investigations Unit had already been completed or were ongoing. OIOS initiated investigation of the remaining 11 cases. The related reports are under consideration by the Office of Human Resources and the Office of Legal Affairs.

United Nations Register of Damage Caused by the Construction of the Wall in the Occupied Palestinian Territory

92. OIOS issued one investigation report related to the United Nations Register of Damage Caused by the Construction of the Wall in the Occupied Palestinian Territory.

93. In 0191/19, the Investigations Division addressed an allegation that a staff member had been involved in unauthorized outside activities by offering commercial photographic services and had conducted private business during working hours, using United Nations assets. The investigation found that the staff member had registered a website and established social media channels to advertise their services, held several business licences with national authorities and conducted multiple exhibitions to promote their business. The staff member had sought the Organization's approval to engage in outside activities but did not make full and frank disclosures as to the true nature of those activities. The report is under consideration by the Office of Human Resources.

Office of the United Nations Special Coordinator for Lebanon

94. OIOS issued one investigation report related to the Office of the United Nations Special Coordinator for Lebanon.

**Office of the Special Coordinator for the Middle East Peace Process and
Personal Representative of the Secretary-General to the Palestine Liberation
Organization and the Palestinian Authority**

95. OIOS issued one investigation report related to the Office of the Special Coordinator for the Middle East Peace Process.

96. In 0362/19, the Investigations Division addressed an allegation that a staff member, in their official capacity, had developed connections with Israeli private security companies, which they had then leveraged to engage in unauthorized outside activities. The investigation found that the staff member had incorporated a company with national authorities and was listed as both the principal shareholder and sole administrator. The staff member had also contributed to a news video broadcast on two national television channels, in which they were introduced as a representative of a second company. The staff member had not requested approval from the Organization to engage in outside activities and denied conducting any commercial activity or generating income. The report is under consideration by the Office of Human Resources.

United Nations Support Mission in Libya

97. OIOS issued two investigation reports related to the United Nations Support Mission in Libya (UNSMIL).

98. In 0817/20, the Investigations Division addressed an allegation that a staff member had engaged in unwelcome sexual advances towards four staff members. The investigation corroborated the allegation. At the time of the investigation, the staff member had been reassigned to a different office. The OIOS report is under consideration by the Office of Human Resources.

United Nations Support Office in Somalia

99. OIOS issued one audit report (2021/060), two audit advisory memorandums, two investigation reports, four closure notices and two advisory reports related to UNSOS.

100. In 0284/20, the Investigations Division addressed an allegation that a staff member had been persistently sexually harassing a supervisee for more than a year. The investigation found that the staff member had inappropriately touched four persons, made inappropriate comments of a sexual nature on multiple occasions and sent inappropriate text messages. Witnesses corroborated that this behaviour had led to distress on the part of the victims. The report is under consideration by the Office of Human Resources.

United Nations Truce Supervision Organization

101. OIOS issued seven investigation reports related to the United Nations Truce Supervision Organization (UNTSO).

102. In 0446/19, the Investigations Division addressed an allegation that a senior staff member had used United Nations resources to visit tourist locations under the guise of official business, sometimes accompanied by family and friends. The investigation found that the staff member had used United Nations vehicles for personal purposes without reimbursing the Organization and had failed to record time away from duty as leave. The staff member had also turned down organized air travel to an official conference, instead electing to travel by road, against the advice of United Nations security staff, which required significant protective resources. The report was transmitted to the Office of Human Resources, which placed a note in the official status file of the staff member after their separation from the Organization.

United Nations Verification Mission in Colombia

103. OIOS issued two investigation reports and one closure notice related to the United Nations Verification Mission in Colombia (UNVMC).

United Nations Peacekeeping Force in Cyprus

104. OIOS issued one audit report (2021/024) related to UNFICYP.

VII. Reports issued between 1 January and 31 December 2021

105. In 2021, OIOS issued 313 reports relating to peace operations, comprising 7 to the General Assembly (1 audit report, 5 evaluation reports and the report on the activities of OIOS on peace operations for the period from 1 January to 31 December 2020), 37 internal audit reports, 29 audit advisory memorandums, 132 investigation reports, 51 contingent reports, 34 investigation closure notices, 17 investigation advisory reports, 4 notes to file and 2 evaluation and inspection reports (see below).¹

A. Reports issued to the General Assembly

<i>Symbol</i>	<i>Date</i>	<i>Subject</i>
A/75/301 (Part II)	19 February 2021	Activities of the Office of Internal Oversight Services on peace operations for the period from 1 January to 31 December 2020
E/AC.51/2021/3	26 February 2021	Evaluation of the Department of Political and Peacebuilding Affairs
A/75/787	1 March 2021	Evaluation of the relevance, effectiveness and efficiency of the African Union-United Nations Hybrid Operation in Darfur in supporting transition from peacekeeping to peacebuilding in Darfur in collaboration with United Nations country team
A/75/803	8 March 2021	Evaluation of the organizational culture in peacekeeping operations
A/76/69	18 March 2021	Strengthening the role of evaluation and the application of evaluation findings on programme design, delivery and policy directives
A/75/820	22 March 2021	Evaluation of the prevention, response and victim support efforts against sexual exploitation and abuse by United Nations Secretariat staff and related personnel
A/76/595	13 December 2021	Audit of demand and source planning for peace operations

¹ Two of the evaluation reports issued to the General Assembly and eight of the audit advisories were cross-cutting in nature and covered both peacekeeping and non-peacekeeping activities.

B. Reports issued to programme managers by the Internal Audit Division

<i>Entity</i>	<i>Audit area (report reference)</i>	<i>Number of important recommendations</i>
Department of Operational Support	Advisory engagement on measures to protect personnel at the Regional Service Centre in Entebbe during the COVID-19 pandemic (VP2020-616-01)	–
	Advisory engagement on danger pay processed by the Kuwait Joint Support Office for international staff during the COVID-19 pandemic (VP2020-618-01)	–
Department of Operational Support, MONUSCO	Unmanned aerial vehicle systems (2021/085)	11
Department of Peace Operations	Implementation of the action plan to strengthen the security of United Nations peacekeepers (2021/062)	8
Department of Political and Peacebuilding Affairs	Trust fund in support of political affairs (2021/052)	1
MINURSO	Audit of the United Nations Mission for the Referendum in Western Sahara (2021/005)	8
MINUSCA	Quick-impact projects (2021/004)	4
	Demand and source planning (2021/007)	7
	Management of selected procurement activities (2021/017)	5
	Joint Operations Centre (2021/053)	5
	Recruitment of international staff, consultants and contractors (2021/069)	8
MINUSMA	Rations management (2021/073)	3
	Advisory engagement on the exercise and monitoring of delegation of authority for budget and finance, procurement, property and human resources management (VP2020-637-01)	–
	Strategic communication and public information programme (2021/003)	5
	Demand and source planning (2021/010)	4
	Human rights programme (2021/011)	5
	Management of troop and police personnel and equipment contribution (2021/018)	5
	Conduct and discipline activities (2021/055)	5
	Advisory engagement on the exercise and monitoring of delegation of authority (VP2020-641-02)	–
	Advisory engagement on governance of business continuity and crisis management during COVID-19 (VP2020-641-01)	–
	Management of troop and police personnel and equipment contributions (2021/012)	4
MONUSCO	Review of business continuity of military and police patrolling duties in response to COVID-19 (VP2020-620-05)	–
	Evaluation of the accountability system of the United Nations Secretariat: budget implementation and financial management (VH2020-509-01)	–
Secretariat (cross-cutting)	Evaluation of the accountability system of the United Nations Secretariat: delegation of authority framework (VH2020-509-01)	–
	Evaluation of the accountability system of the United Nations Secretariat: enterprise information systems (VH2020-509-01)	–

<i>Entity</i>	<i>Audit area (report reference)</i>	<i>Number of important recommendations</i>
	Evaluation of the accountability system of the United Nations Secretariat: enterprise risk management (VH2020-509-01)	–
	Evaluation of the accountability system of the United Nations Secretariat: ethical standards and integrity (VH2020-509-01)	–
	Evaluation of the accountability system of the United Nations Secretariat: human resources management and learning and development strategies (VH2020-509-01)	–
	Evaluation of the accountability system of the United Nations Secretariat: internal control system (VH2020-509-01)	–
	Evaluation of the accountability system of the United Nations Secretariat: programme planning and budgeting (VH2020-509-01)	–
UNAMA	Advisory engagement on the UNAMA operational response to COVID-19 (VP2020-630-01)	–
UNAMI	Advisory engagement on UNAMI operations in support of mandate implementation during the COVID-19 pandemic (VP2021-812-01)	–
UNAMID	Asset verification and write-off (2021/009)	3
	Asset disposal (2021/016)	2
	Environmental clean-up of team sites (2021/020)	1
	Closure and handover of team sites (2021/025)	1
	Checkout of personnel (2021/032)	1
	Liquidation planning (2021/047)	–
	Review of camp security measures during the drawdown and liquidation (AP2021-634-02)	–
	Review of the closure of procurement contracts (AP2021-634-06)	–
UNDOF	Supply chain management (2021/028)	3
	Advisory engagement on military and support operations in response to the COVID-19 pandemic (VP2020-670-01)	–
UNFICYP	Supply chain management (2021/024)	6
	Review of military and police operations in response to the COVID-19 pandemic (VP2020-654-01)	–
UNIFIL	Vehicle fleet management (2021/006)	4
	Centralized warehouse operations (2021/081)	2
	Advisory engagement on occupational safety and health (VP2020-672-01)	–
	Review of military and police operations in response to the COVID-19 pandemic (VP2021-672-02)	–
	Advisory engagement on the exercise and monitoring of delegation of authority (VP2021-672-01)	–
UNISFA	Management of troop personnel and equipment contributions (2021/019)	2
	Demand and source planning (2021/036)	4
UNITAD	Advisory engagement on data governance and privacy (VP2021-813-01)	–
UNMISS	Fuel management (2021/014)	6
	Rations management (2021/015)	2
	Quick-impact projects (2021/043)	3

<i>Entity</i>	<i>Audit area (report reference)</i>	<i>Number of important recommendations</i>
UNSOM	Fleet management (2021/045)	6
	Centralized warehouse management (2021/059)	6
	Demand and source planning (2021/068)	4
	Conduct and discipline activities (2021/070)	5
	Occupational safety and health (2021/072)	6
	Review of the exercise and monitoring of delegation of authority for procurement, financial and human resources management (VP2020-633-01)	—
	Advisory engagement on cost recovery of medical cost at UNMISS hospitals (VP2020-633-06)	—
	Gender mainstreaming and gender parity (2021/060)	7
	Review of strategy for implementing mandated activities under the impact of the COVID-19 pandemic (VP2020-639-01)	—
	Advisory engagement on the exercise and monitoring of delegation of authority for budget and finance, procurement, property and human resources management (VP2020-638-04)	—
UNSOM, UNSOS	Advisory engagement on measures to protect and promote the well-being of personnel during the COVID-19 pandemic (VP2020-638-03)	—
Total		162

C. Reports issued by the Inspection and Evaluation Division

<i>Entity</i>	<i>Evaluation area (report reference)</i>	<i>Number of important recommendations</i>
Department of Management Strategy, Policy and Compliance, Department of Peace Operations	Evaluation of organizational culture in peacekeeping operations	11
Department of Peace Operations, MINUSCA, MINUSMA, MONUSCO, UNMIK, UNMISS	Evaluation of political affairs in peacekeeping missions	4
Total		15

D. Reports and closure notices issued by the Investigations Division

<i>Entity</i>	<i>Number of investigation predications</i>	<i>Summary</i>
BINUH	1	–
Department of Peace Operations	1	–
Department of Political and Peacebuilding Affairs	1	–
MINUJUSTH	–	Conflict of interest and receipt of gift by a staff member
MINURSO	1	–
MINUSCA	52	<p>Sexual exploitation and abuse and corruption by a staff member</p> <p>Allegations of corruption implicating a staff member (closure notice)</p> <p>Breach of confidentiality by a staff member (closure notice)</p> <p>Corruption by a former United Nations Volunteer (closure notice)</p> <p>Misconduct by a staff member (closure notice)</p> <p>Prohibited conduct by a staff member (closure notice)</p> <p>Sexual abuse by an individual contractor (closure notice)</p> <p>Fuel misappropriation by unidentified peacekeepers</p> <p>Misconduct by a peacekeeper</p> <p>Misconduct and sexual exploitation and abuse by peacekeepers (one report involving two peacekeepers)</p> <p>Sexual exploitation and abuse by a peacekeeper (28 reports involving 28 peacekeepers from nine troop-contributing countries)</p> <p>Sexual exploitation and abuse by peacekeepers (one report involving nine peacekeepers from one troop-contributing country)</p> <p>Sexual exploitation and fraternization by several peacekeepers (one report involving four peacekeepers from one troop-contributing country)</p> <p>Sexual exploitation and physical assault by a peacekeeper</p> <p>Sexual harassment and physical assault by a military staff officer</p> <p>Theft of ammunition and sale of fuel by peacekeepers (one report involving three peacekeepers from one troop-contributing country)</p> <p>Prohibited conduct and failure to cooperate with a United Nations investigation by a staff member</p> <p>Sexual exploitation and abuse by a formed police unit member</p> <p>Corruption and physical assault by a United Nations Volunteer</p> <p>Corruption by a staff member</p> <p>Embezzlement by a United Nations police officer</p> <p>Failure to report possible misconduct and failure to cooperate with a United Nations investigation by a United Nations Volunteer</p> <p>Falsification of a United Nations document by a staff member</p> <p>Forgery of documents by a staff member</p> <p>Insurance fraud by a staff member (six reports involving six staff members)</p> <p>Medical insurance fraud by a staff member (three reports involving three staff members)</p> <p>Misuse of special leave without pay by a staff member</p>

Entity	Number of investigation predications	Summary
MINUSMA		<p>Obstruction of a United Nations investigation by a commander of a formed police unit</p> <p>Physical assault by a United Nations police officer</p> <p>Prohibited conduct by a staff member (two reports involving two staff members)</p> <p>Sexual exploitation and abuse by a member of the formed police unit</p> <p>Sexual exploitation by a member of a formed police unit (four reports involving four formed police unit members)</p> <p>Sexual harassment and sexual exploitation and abuse by an employee of a United Nations contractor</p> <p>Note to file</p>
	11	<p>Fuel fraud by a staff member (addendum report)</p> <p>Alleged unauthorized outside activity by a staff member (closure notice)</p> <p>Violation of local laws by a staff member</p> <p>Domestic violence by a staff member</p> <p>Medical insurance fraud by a staff member</p> <p>Prohibited conduct by a staff member</p> <p>Sexual exploitation and abuse by a national staff member</p> <p>Sexual exploitation and other misconduct by a formed police unit member</p> <p>Note to file</p>
		<p>Medical insurance fraud by a staff member (closure notice)</p> <p>Misconduct by a staff member (closure notice)</p> <p>Movement of personnel fraudulent act by a staff (closure notice)</p> <p>Bribery by staff members (closure notice)</p> <p>Corruption involving a staff member (closure notice)</p> <p>Misconduct (four closure notices involving three staff members and one individual contractor)</p> <p>Education grant entitlement fraud by a staff member (closure notice)</p> <p>Possible misconduct by a staff member (closure notice)</p> <p>Unsatisfactory conduct by a staff member (two closure notices involving two staff members)</p> <p>Sexual exploitation and abuse by a peacekeeper (four reports involving four peacekeepers from two troop-contributing countries)</p> <p>Sexual exploitation by a peacekeeper (three reports involving three peacekeepers from three troop-contributing countries)</p> <p>Solicitation of bribes by a staff member (two reports involving two staff members)</p> <p>Complaint of sexual harassment and assault by a military staff officer</p> <p>Complaint of sexual harassment by a military staff officer</p> <p>Conflict of interest by a staff member (two reports involving two staff members)</p> <p>Bribery by a staff member</p> <p>Entitlement fraud by a staff member (two reports involving two staff members)</p>
MONUSCO	52	

<i>Entity</i>	<i>Number of investigation predications</i>	<i>Summary</i>
		Medical insurance fraud by a former staff member
		Medical insurance fraud by a staff member (two reports involving two staff members)
		Medical insurance fraud by a staff member (six reports involving six staff members)
		Misconduct by a former staff member
		Misconduct by a former vendor
		Misconduct by a staff member
		Prohibited conduct by a staff member
		Sexual abuse and failure to report by members of a formed police unit (one report involving two formed police unit members)
		Sexual exploitation and abuse by a staff member
		Sexual exploitation by a staff member
		Sexual exploitation by a United Nations police officer
		Sexual exploitation by a United Nations Volunteer
		Sexual exploitation by an employee of a United Nations contractor
		Insurance fraud by a staff member
Office of the Special Envoy of the Secretary-General for the Great Lakes Region	2	Note to file
Office of the Special Envoy of the Secretary-General for the Sahel	–	Report of unsatisfactory conduct implicating a staff member (closure notice)
		Prohibited conduct by a staff member (closure notice)
Office of the Special Envoy of the Secretary-General for Yemen	3	Unsatisfactory conduct by a staff member (three reports involving three staff members)
Regional Service Centre in Entebbe, Uganda	1	Medical insurance fraud by a staff member
		Misconduct by a staff member
		Outside work activities by a staff member
		Breach of family relationship employment rule by a staff member (two reports involving two staff members)
UNAMA	4	Attempted sexual abuse by a staff member
		Medical insurance fraud by a staff member (two reports involving two staff members)
		Outside activity and conflict of interest by a staff member
		Prohibited conduct by a staff member (two reports involving two staff members)
UNAMI	–	Sexual exploitation by a staff member
UNAMID	2	Alleged unauthorized outside activity by a staff member (closure notice)
		Sexual exploitation and abuse by a peacekeeper
		Sexual harassment by a peacekeeper
		Education grant fraud by a former staff member
		Allegation of domestic assault by a staff member
		Allegation of sexual exploitation and abuse by a staff member
		Allegation of sexual harassment by a staff member
		Fraud by a United Nations Volunteer

<i>Entity</i>	<i>Number of investigation predications</i>	<i>Summary</i>
UNDOF	1	Outside activities and procurement irregularities by a staff member (closure notice)
UNIFIL	1	Sexual exploitation by a peacekeeper Medical insurance fraud by a staff member (two reports involving two staff members)
UNISFA	4	Sexual exploitation and abuse by peacekeepers (one report involving four peacekeepers from one troop-contributing country) Allegation of fraud by a staff member Failure to cooperate with an authorized investigation and false reporting by a staff member Insurance fraud by a United Nations Volunteer
UNITAD	3	Prohibited conduct and threats by a staff member
UNITAMS	3	Allegations of prohibited conduct by a staff member
UNMIK	–	Prohibited conduct by a staff member
UNMISS	30	Allegation of sexual exploitation and abuse by a staff member (closure notice) Allegation of sexual harassment (closure notice) Sexual harassment by a vendor employee (closure notice) Fraud and abuse of office by a peacekeeper Serious misconduct by peacekeepers (one report involving three unidentified peacekeepers) Sexual harassment by a peacekeeper Sexual harassment, damage and assault by a peacekeeper Fraud and collusion by vendors Allegation of sexual exploitation and abuse by a staff member (two reports involving two staff members) Allegations of assault by a staff member Allegations of fraud by a staff member (two reports involving two staff members) Attempted theft by a staff member Excessive use of force by a formed police unit Excessive use of force by formed police unit officers (one report involving an unknown number of formed police unit officers) Interference with an authorized investigation by a former United Nations Volunteer Sexual exploitation and abuse by a former employee of a United Nations contractor Sexual exploitation and abuse by an expert on mission Sexual exploitation and abuse by a staff member Sexual exploitation and abuse by a United Nations contractor employee Sexual exploitation and abuse by a United Nations police officer Sexual exploitation and abuse by a United Nations Volunteer Use of excessive force by United Nations police officers (one report involving eight formed police unit officers) Violations of South Sudan local laws by a staff member Note to file

<i>Entity</i>	<i>Number of investigation predications</i>	<i>Summary</i>
UNMOGIP	1	Allegations of prohibited conduct by a staff member (closure notice) Prohibited conduct by a staff member Abuse of authority by a staff member
United Nations Regional Office for Central Africa	1	–
United Nations Register of Damage Caused by the Construction of the Wall in the Occupied Palestinian Territory	–	Unauthorized outside activity by a staff member
Office of the Special Coordinator for the Middle East Peace Process	1	Unauthorized outside activity by a staff member
Office of the United Nations Special Coordinator for Lebanon	–	Medical insurance fraud by a staff member
UNSMIL	1	Medical insurance fraud by a staff member Sexual exploitation by a staff member
UNSOM	1	Alleged unauthorized outside activity by a staff member (closure notice) Failure to cooperate with a duly authorized investigation by a former staff member Failure to cooperate with a duly authorized investigation by a staff member
UNSOS	7	Allegations of prohibited conduct by a staff member (closure notice) Misconduct by a staff member (closure notice) Prohibited conduct by a staff member (closure notice) Completion memorandum Alleged sexual harassment by a staff member Unauthorized outside activity by a staff member
UNTSO	2	Misconduct by a staff member (three reports involving three staff members) Misuse of resources by a staff member Prohibited conduct by a military observer Unsatisfactory conduct by a staff member Unsatisfactory conduct by a United Nations military observer
UNVMC	4	Possible sexual exploitation and abuse (one closure notice involving two staff members) Prohibited conduct by a military observer Sexual exploitation and abuse by a staff member
Advisory	–	17 advisories were issued when opportunities to strengthen prevention controls were identified ^a

^a Case numbers: 0544/21, 1221/20, 1147/20, 0774/20, 0433/20, 0433/20, 0239/20, 0086/20, 0086/20, 0022/20, 1245/19, 1051/19, 0856/19, 0659/19, 0659/19, 0001/19 and 0800/18.