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ORGANE SUBSIDIAIRE DE CONSEIL SCIENTIFIQUE ET TECHNOLOGIQUE Dix-huitième session Bonn, 4-13 juin 2003 Point 4 a) de l'ordre du jour

# **QUESTIONS MÉTHODOLOGIQUES**

## EXAMEN DES TRAVAUX D'ORDRE MÉTHODOLOGIQUE ENTREPRIS DANS LE CADRE DE LA CONVENTION ET DU PROTOCOLE DE KYOTO

#### Projet de conclusions présenté par le Président

- 1. L'Organe subsidiaire de conseil scientifique et technologique (SBSTA) a pris note des vues préliminaires des Parties sur les activités méthodologiques précises qu'il y aurait lieu de mener et sur l'approche stratégique à concevoir pour les futurs travaux d'ordre méthodologique, présentées dans le document FCCC/SBSTA/2003/MISC.3, ainsi que des informations données dans le document FCCC/SBSTA/2003/INF.1 qui fait la synthèse des opinions exprimées par les Parties et des éléments provisoires d'un éventuel programme de travail.
- 2. Le SBSTA a noté que, s'inscrivant dans une approche stratégique, des travaux méthodologiques seraient utiles et bénéfiques à toutes les Parties. Ils devraient viser, entre autres, à mieux répondre aux besoins des organes subsidiaires de la Conférence des Parties ainsi que de la Conférence des Parties agissant comme réunion des Parties au Protocole de Kyoto, à aider les Parties à honorer les engagements pris au titre de la Convention et du Protocole, à faciliter la prise de décisions dans le cadre de ces deux instruments et à étudier de façon plus approfondie l'évolution future du climat. L'Organe a souligné la nécessité d'organiser efficacement ces travaux, de façon notamment à accroître au maximum la synergie et à réduire autant que faire se

peut les doubles emplois. Il faut à cet égard établir un ordre de priorité, en tenant compte des délibérations sur divers points de l'ordre du jour.

- 3. Le SBSTA a insisté sur l'importance d'une information fiable. À ce sujet, il a examiné la proposition faite dans le document FCCC/SBSTA/2003/MISC.3/Add.1, visant à créer une interface qui permette d'améliorer l'accès des Parties aux données, et a prié le secrétariat d'établir, pour examen à sa dix-neuvième session, un document faisant le point de la disponibilité, de l'accessibilité et la comparabilité des sources actuelles de données sur les émissions et de données socioéconomiques pertinentes. Il a également prié le secrétariat de présenter des options pour la mise au point, l'installation et la gestion d'une interface de données, en indiquant quelles en seraient les incidences financières, pour examen à sa dix-neuvième session. L'Organe a décidé d'examiner plus avant la proposition figurant dans le document FCCC/SBSTA/2003/MISC.3/Add.1 lors de sa prochaine session.
- 4. Le SBSTA a décidé de poursuivre, à sa dix-neuvième session, l'examen des propositions des Parties concernant les éléments provisoires d'un éventuel programme de travail. Il les a invitées à soumettre, avant le 15 août 2003, des observations supplémentaires sur les éléments d'un tel programme, eu égard aux considérations exposées au paragraphe 2 ci-dessus. Il a aussi prié le secrétariat de mettre à jour l'annexe des présentes conclusions, en tenant compte des communications des Parties, afin de faciliter l'examen de la question à sa dix-neuvième session.
- 5. Le SBSTA a pris note avec satisfaction des renseignements fournis par le Groupe d'experts intergouvernemental sur l'évolution du climat (GIEC) sur les travaux prévus afin de revoir la version révisée en 1996 des lignes directrices du GIEC pour les inventaires nationaux de gaz à effet de serre. Il a invité le Groupe d'experts:
- a) À prendre note du document FCCC/SBSTA/2003/INF.1 et des vues des Parties exposées dans le document FCCC/SBSTA/2003/MISC.3;
- b) À lui rendre compte, à sa dix-neuvième session et éventuellement aux sessions suivantes, des progrès de la révision de ces lignes directrices.

#### Annex\*

### [INITIAL PROPOSALS BY PARTIES ON ELEMENTS OF A POSSIBLE FUTURE WORK PROGRAMME

Table A. Work based on current and planned activities

Background information on current and planned activities	Possible elements for development of methodologies	Possible elements relating to collection and dissemination of information	How should future work be done?	Who should undertake the work?	What should be a time frame?
1. The IPCC is preparing a revision of its Revised 1996 IPCC Guidelines for National Greenhouse Gas Inventories (IPCC Guidelines) and aims to complete the work by early 2006. The SBSTA, at its seventeenth session, requested the secretariat to provide information on the methodologies and data used by Parties to estimate GHG emissions and removals by sinks, taking into account results of the trial period of annual inventory reviews (decision 6/CP.5), to support, inter alia, the work relating to the revision of the current IPCC Guidelines, a with the aim of preparing initial information for consideration at SBSTA 19. Parties have provided specific views on this task (FCCC/SBSTA/2003/MISC.3 and Add.1).		Provision of additional views to the IPCC relating to the scope and nature of the revision.  Secretariat to provide information on experiences by Parties using IPCC methodologies and guidelines, taking into account the technical review process and the work of the CGE.	(a) IPCC to report on progress of its work. (b) Parties may wish to provide additional guidance for the IPCC.  (c) Secretariat to prepare a compilation and a synthesis of information from Parties. (d) Close cooperation with other Conventions (e.g. CRLTAP).	(a) The IPCC.  (b) The SBSTA.  (c) The secretariat.  (d) Relevant Conventions (e.g. CRLTAP).	(a) SBSTA 18 and possibly future sessions thereafter. (b) SBSTA 18 and possibly thereafter during the revision of IPCC guidelines. (c) An initial report in 2003.

The SBSTA, at its seventeenth session, invited the IPCC to take into consideration the relevant work under the Convention and the Kyoto Protocol, including work by the SBSTA, the SBI, and the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention (CGE), and the technical review of GHG inventories of Annex I Parties.

Convention on Long-range Transboundary Air Pollution.

Issued in English only.

Background information on current and planned activities  2. The IPCC is developing, at the invitation of the COP at its seventh session, a report on <i>Good Practice Guidance for Land Use, Land-Use Change and Forestry</i> and aims to complete the work by the second half of 2003.  Based on decision 21/CP.7, the SBSTA will develop technical guidance on methodologies for adjustments under Article 5, paragraph 2, of the Kyoto Protocol for estimates of anthropogenic	Possible elements for development of methodologies  Consideration of guidelines and decisions to ensure compatibility with the IPCC good practice guidance on LULUCF, for example:  (a) Modifications to the common reporting formats for LULUCF.  (b) Modalities for adjustments under Article 5.2 of the Protocol for LULUCF	Possible elements relating to collection and dissemination of information	How should future work be done?  Parties may wish to consider the work at SBSTA 18, under agenda item 4 (e), and at future sessions.	Who should undertake the work?  (a) The SBSTA.  (b) The SBSTA.	What should be a time frame?  (a) To be determined.  (b) Between COP 9 and COP 10.
emissions and removals from LULUCF.  3. The SBSTA, at its fifteenth session,	activities.  Consideration of issues		Preparation and	(a) The	A report in
requested the secretariat to prepare a technical paper on harvested wood products for consideration at its nineteenth session.	relating to harvested wood products.		consideration of the technical paper on wood products.	secretariat. (b) The SBSTA.	2003 for consideration at SBSTA 19.
4. The SBSTA, at its fifteenth session, invited the International Civil Aviation Organization (ICAO) and the International Maritime Organization (IMO) to explore opportunities for examining and improving the quality of data reporting and comparability of GHG emissions from international aviation and maritime transport under the relevant provisions of the Convention, the Kyoto Protocol, ICAO and IMO.		Compilation of data and information on methods and definitions to ensure that GHG emissions are reported in a consistent and transparent manner.	Methodological aspects relating to this matter will be considered at SBSTA 18 as a separate issue (agenda item 4 (f)).	ICAO / IMO /the secretariat.	Future sessions of the SBSTA.

Articles 5, 7 and 8 of the Kyoto Protocol, and issues relating to registries under Article 7, paragraph 4. Based on decision 24/CP.8 on technical standards for data exchange between registry systems under the Kyoto Protocol, the secretariat was requested to undertake work relating to the functional and technical specifications of the technical standards. The Chair of the SBSTA was requested to continue consultations with Parties and experts on issues relating to registries.	complementary methodological work to apply the adopted guidance in a more precise way based on experience gained.		will be considered at SBSTA 18 under agenda item 4 (b).	secretariat.	determined.
6. The COP, by its decision 33/CP.7, requested the secretariat to prepare a compilation and synthesis report on third national communications. The compilation and synthesis of national communications from Annex I Parties (FCCC/SBI/2003/7/Add.3) notes that assumptions and methodological choices affect the quality of projections.		Compilation of assumptions, methodologies and data based on information provided in national communications.		The secretariat.	
7. The secretariat prepared a compilation and synthesis of information policies and measures contained in the national communications from Annex I Parties (FCCC/SBI/2003/7/Add.2).	Methodological work on ex ante and ex post self- assessment of policies and measures.				
8. Based on decision 9/CP.3 and the request by the SBSTA at its fifteenth session, the secretariat has developed a web-based prototype information	Design, testing and dissemination of simple tools for technology assessments.		Based on the assessment and evaluation of TT:CLEAR.	The SBSTA and the EGTT, and other relevant	Assessment and evaluation for consideration

Possible elements for

development of

Possible additional

methodologies

**Background information on current** 

5. Issues relating to guidelines under

system/clearing house on technology

transfer (TT:CLEAR).

and planned activities

Possible elements relating to

How should

future work

These topics

be done?

Who should

work?

undertake the

Parties and the

organizations.

at SBSTA 19.

What should

be a time

frame?

To be

collection and

information

dissemination of

Background information on current and planned activities	Possible elements for development of methodologies	Possible elements relating to collection and dissemination of information	How should future work be done?	Who should undertake the work?	What should be a time frame?
9. The COP, by its decision 9/CP.3, requested the secretariat to accelerate the development of methodologies for assessing adaptation technologies, in particular decision tools to evaluate alternative adaptation strategies. Parties, international organizations and others have provided information on adaptation methods and decision tools, which the secretariat has placed on its web site.	Promote testing and evaluation of methods for vulnerability and adaptation assessment to improve the quality of national communications.	Dissemination of information on methodologies to assess impacts of, and vulnerability and adaptation to, climate change.  Synthesis of information on the effectiveness and experiences.	Parties, relevant organizations and practitioners could submit their experiences to the secretariat for compilation, including experiences on case studies.	Parties, the secretariat and relevant organizations.	
10. The COP adopted decision 17/CP.8 on improvement of the guidelines for the preparation of national communications by non-Annex I Parties, and decision 3/CP.8 on the work of the CGE.		Compilation of methodological information relevant to the implementation of the guidelines.			
at its seventh session, the IPCC is currently developing a report on definitions and methodological options for degradation and devegetation activities and aims to complete the work by the second half of 2003.	Work to be determined based on the work by the IPCC.		(a) At SBSTA 18, under agenda item 4 (e), Parties may wish to provide further guidance, as appropriate.		(a) SBSTA 18.
			(b) The report may be considered at SBSTA 19.		(b) SBSTA 19.

Background information on current	Possible elements for development of	Possible elements relating to collection and dissemination of	How should future work	Who should undertake the	What should be a time
and planned activities	methodologies	information	be done?	work?	frame?
12. Based on the invitation by COP at its seventh session, the IPCC is preparing, for submission to COP 10, practicable methodologies to factor out direct human-induced changes in carbon stocks and GHG emissions and removals by sinks from changes due to indirect human-induced and natural effects, and effects due to past practices in forests.  13. The SBSTA, at its seventeenth	Work to be determined based on the report of the IPCC at COP 10.  Work to be determined at	Synthesis of	At SBSTA 18, under agenda item 4 (e), Parties may wish to consider the request and provide further guidance as appropriate.	(a) Scientific	(a)
session, agreed that work on the scientific and methodological aspects of the proposal by Brazil (assessment of contributions to climate change) should be continued by the scientific community. The SBSTA decided to review the progress of work on the scientific and methodological aspects at its twenty-third session.	future sessions.	modelling results and their implications for future work of the Convention for SBSTA 23.		(b) Identification for further work by the SBSTA.	2003–2005. (b) SBSTA 23 in 2005 or later.
14. At SBSTA 16, Parties took note of proposed approaches to issues relating to cleaner or less-greenhouse-gas-emitting energy contained in documents FCCC/SBSTA/2002/MISC.3 and Add.1 and 2.			This issue may be further considered at SBSTA 18 under agenda item 9 (a).		
15. In accordance with decision 16/CP.7 and the annex on guidelines for the implementation of Article 6 of the Kyoto Protocol, the Article 6 supervisory committee is to be established at COP/MOP 1 to supervise, inter alia, the verification of the emission reduction units generated by Article 6 projects.	Review and revision of reporting guidelines and criteria for baselines and monitoring, and the elaboration of the project design document.  Consideration of relevant work by the Executive Board of the CDM, as appropriate.				

		Possible elements			
	Possible elements for	relating to collection and	How should	Who should	What should
Background information on current	development of	dissemination of	future work	undertake the	be a time
and planned activities	methodologies	information	be done?	work?	frame?
16. The COP, by its decision 17/CP.7,	Methodological work is				
decided to facilitate the prompt start of	focused on the approval of				
the clean development mechanism	new methodologies relating,				
(CDM) by adopting its modalities and	inter alia, to baselines,				
procedures. The COP further elected the	monitoring plans and project				
Executive Board, which is to supervise	boundaries and the				
the CDM under the authority and	development, maintenance				
guidance of the COP/MOP, and be fully	and public availability of a				
accountable to the COP/MOP. The	repository of approved rules,				
Executive Board is to report on its	procedures, methodologies				
activities to each session of the	and standards. The Executive				
COP/MOP. For the purposes of	Board is also to identify				
decision 17/CP.7, and in accordance	modalities for seeking				
with that decision, the COP assumes the	collaboration with the SBSTA				
responsibilities of the COP/MOP until	on methodological and				
COP/MOP 1.	scientific issues. It is				
	envisaged that the Executive				
	Board will continue to carry				
	out the activities outlined in				
	document				
	FCCC/SBSTA/2002/INF.12,				
	(page 22), in accordance with				
	decision 17/CP.7 and its				
	annex on modalities and				
	procedures.				

Table B. Initial proposals by Parties on possible new elements

Background information on		Possible elements relating to collection	How should future	Who should	
current and	Possible elements for development	and dissemination of	methodological	undertake the	What should be a
planned activities	of methodologies  1. Work and systems to test the	information Exchange of	work be done? Invite the	work?	time frame?
	transaction log and registry systems.	information on the	secretariat to		
	Development of a database for	implementation of	prepare a paper		
	accounting of emissions and	national systems	based on		
	assigned amounts, and a standard	including QA/QC	submissions by		
	electronic format for the "true-	procedures and plans.	Parties.		
	period" format.	procedures und pruns.			
	2. Improvement of reporting	Exchange of	(a) Information		
	guidelines and formats on	information on	sharing.		
	projections by, for example, adding	projections (including			
	more specific lists of parameters to	on methods and models)	(b) A workshop.		
	be reported, with the aim of	among Parties.			
	improving reporting guidelines and				
	formats, and enhancing				
	comparability and transparency.				
	3. Further work on sensitivity				
	analysis and the robustness of GHG				
	projections.  4. Development of methodologies				
	for elaboration of projections for				
	non-Annex I Parties and for				
	reporting in national				
	communications.				
	5. Methodologies for developing				
	planning and decision-making tools				
	to assist Parties to assess and				
	implement mitigation strategies.				
	6. Methodologies to enhance				
	transparency in reporting on policies				
	and measures in the national				
	communications of Annex I Parties.				
			1	1	

Background		Possible elements	How should		
information on		relating to collection	future	Who should	
current and	Possible elements for development	and dissemination of	methodological	undertake the	What should be a
planned activities	of methodologies	information	work be done?	work?	time frame?
	7. Methodologies for Annex I			The SBSTA.	
	Parties on implementing win/win				
	policies and measures to reduce				
	emissions and minimize adverse				
	impacts on developing country				
	Parties.				
	8. Methodologies for assessing the			The SBSTA in	
	impacts on developing countries of			collaboration with	
	policies already implemented by			international	
	Annex I Parties. Expand the			organizations, e.g.	
	coverage of current models with a			OPEC.	
	view to using them as part of a				
	portfolio of tools for decision-				
	making.	9. Exchange of			
		experiences from clean			
		development			
		mechanism projects that			
		may contribute to the			
		development of policies			
		and measures for non-			
		Annex I Parties.			
		10. Exchange of			
		information on and			
		experiences between			
		Annex I and non-Annex			
		I Parties on good			
		practices in emission			
		avoidance.			
	11. Information, methods and tools			The secretariat,	
	for socio-economic scenario			and competent	
	building.			international	
	10 Mathada and 4 1 C			organizations.	
	12. Methods and tools for				
	vulnerability and adaptive and				
	mitigative capacity assessment.				

Background		Possible elements	How should		
information on		relating to collection	future	Who should	
current and	Possible elements for development	and dissemination of	methodological	undertake the	What should be a
planned activities	of methodologies	information	work be done?	work?	time frame?
	13. Assessment of scientific knowledge on mitigation and adaptation pathways by the IPCC. Methodologies for assessing adaptive, and mitigative capacity and mitigation and adaptation pathways.	(a) The secretariat to continue summarizing information reported by Parties in their national communications on mitigation and adaptation technologies.	(b) The IPCC to maintain its focus on mitigation and adaptation pathways in assessments reports.	(a) The secretariat, Parties and the SBSTA.  (b) The IPCC.	
	14. Methodologies for assessing mitigation technologies including analysis of socio-economic costs and benefits and ancillary benefits and social acceptability.		reports.		
15. The IPCC is preparing a report on CO <sub>2</sub> capture and storage, and aims to complete the work by 2005.	Identification of methodological work after the completion of the IPCC report and assessment of opportunities for technology transfer.			(a) The IPCC. (b) The SBSTA.	<ul><li>(a) By 2005.</li><li>(b) Identification of possible needs for further work in 2005 or onwards.</li></ul>
	16. Development of planning and decision-making tools to assist Parties to assess and implement appropriate strategies.      17. Development of consistent	Compilation of cost			
	methodologies for assessment of costs of climate change impacts on the global scale. Assessment of costs and benefits of climate change strategies.	estimates and underlying assumptions.			

Background information on current and planned activities	Possible elements for development of methodologies  18. Methodologies for assessing terms of trade and socio-economic impacts on individual developing country Parties, including assessment of data sets, development of assumptions, verification of	Possible elements relating to collection and dissemination of information	How should future methodological work be done?	Who should undertake the work?  The SBSTA in collaboration with international organizations, e.g. UNDP and OPEC.	What should be a time frame?
	existing data, improvement of models and establishment of baseline data.  19. Analysis of the role of trade in cleaner or less-greenhouse-gasemitting energy in meeting the			Competent international organizations.	
20. Information on production and consumption patterns, consistent with WSSD Chapter 3 "Sustainable production and consumption patterns", and the IPCC workshops on climate change and sustainable development.	objectives of the Convention and the Kyoto Protocol.	Production and consumption patterns, and analysis of the GHG implications of traded emission-intensive commodities.	Initially, investigation and summary of relevant data sources, such as databases of OECD, the World Bank, WRI, etc. May later require additional work by relevant bodies and the SBSTA.	The SBSTA and relevant database holders.	2003–2005.

Background		Possible elements	How should		
information on		relating to collection	future	Who should	
current and	Possible elements for development	and dissemination of	methodological	undertake the	What should be a
planned activities	of methodologies	information	work be done?	work?	time frame?
		21. Improvement of availability of data to Parties through development of a data interface.	(a) A stock-taking of existing sources of emissions and socio-economic data. The secretariat to prepare a paper covering sources, accessibility and comparability.	(a) The secretariat.	(a) In 2003 for consideration at SBSTA 19.
			(b) Development of options for the development, hosting and management of a data interface to improve access to data by Parties. A paper covering possible host/management arrangements, costs, interface design.	(b) The secretariat.	(b) For consideration at SBSTA 19.
			(c) Development, hosting and management of a data interface. Initial phase would cover a limited range of data.	(c) To be determined.	(c) To commence following a decision at COP 9 or COP 10.

Table C: Cross-reference between clusters<sup>a</sup> and possible elements

	Elements under the work	
	based on current and	Initial proposals by Parties on
Cluster	planned activities	possible new elements
A. Greenhouse gas inventories	1, 2, 3, 4, 5	1
B. Projections of greenhouse gas	6	2, 3, 4
emissions and removals by sinks		
C. Assessing policies and measures <sup>b</sup>	7	5, 6, 7, 8, 9, 10, 11, 12
D. Assessing mitigation and adaptation	8	13, 14, 15
technologies		
E. Assessing impacts, vulnerability and	9	16, 17, 18
adaptation of climate change		
F. Other areas for methodological work	10, 11, 12, 13, 14, 15, 16	19, 20, 21

<sup>&</sup>lt;sup>a</sup> As described in document FCCC/SBSTA/2003/INF.1.

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<sup>&</sup>lt;sup>b</sup> Policies and measures were considered by the SBSTA at its eighteenth session under item 6 of the agenda.