



## 附属履行机构

### 第三十六届会议

2012年5月14日至25日，波恩

议程项目 4(a)

非《公约》附件一所列缔约方的国家信息通报

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问题专家咨询小组的工作

## 非《公约》附件一所列缔约方国家信息通报问题专家咨询小组的工作

### 主席提出的结论草案

1. 附属履行机构(履行机构)欢迎非《公约》附件一所列缔约方国家信息通报问题专家咨询小组(专家咨询小组)的工作进展报告。<sup>1</sup>
2. 履行机构还欢迎以下有关专家咨询小组在2011年9月至2012年3月期间为非《公约》附件一所列缔约方(非附件一缔约方)举办区域实践培训研讨会的进展报告：
  - (a) 专家咨询小组工作进展报告：非洲地区脆弱性和适应评估实践培训研讨会；<sup>2</sup>
  - (b) 专家咨询小组工作进展报告：亚洲和太平洋地区温室气体清单问题实践培训研讨会；<sup>3</sup>
  - (c) 专家咨询小组工作进展报告：亚洲和太平洋地区缓解评估实践培训研讨会。<sup>4</sup>

<sup>1</sup> FCCC/SBI/2012/2。

<sup>2</sup> FCCC/SBI/2012/12。

<sup>3</sup> FCCC/SBI/2012/13。

<sup>4</sup> FCCC/SBI/2012/14。

3. 履行机构对联合国环境规划署及斯里兰卡、泰国和纳米比亚政府承办专家咨询小组的活动表示感谢。
4. 履行机构对为专家咨询小组开展工作提供捐款的《公约》附件二所列缔约方(附件二缔约方)和其他《公约》附件一所列缔约方(附件一缔约方)<sup>5</sup>及多边方案和组织<sup>6</sup>表示感谢。
5. 履行机构欢迎专家咨询小组作为其工作方案的一部分,计划于2012年举办的实践培训活动,<sup>7</sup>并请专家咨询小组尽最大努力,在2012年下半年举办已列入计划的关于缓解以及关于脆弱性和适应问题的区域培训研讨会。<sup>8</sup>
6. 履行机构欢迎专家咨询小组2012年计划根据修订的培训材料,开发和落实电子学习方案,并在这方面建设一个基于互联网的专家网络。
7. 履行机构注意到秘书处提供的有关执行以上第5和第6段要求的行动所涉概算问题。
8. 此外,履行机构促请有条件的附件二缔约方和其他附件一缔约方提供资金,使专家咨询小组能够根据其工作方案,及时执行已列入计划的活动。履行机构还鼓励双边、多边和国际组织继续支持专家咨询小组的工作。
9. 履行机构重申,请专家咨询小组在执行其工作方案时考虑非附件一缔约方的当前和未来需要,并考虑《公约》的各项规定和缔约方会议的相关决定。<sup>9</sup>
10. 根据第14/CP.17号决定第2和第3段,履行机构已启动但尚未完成对专家咨询小组的职权范围和任务以及有无继续保留该小组的必要性的审议。履行机构决定,以本结论所附决定草案为基础,在下届会议上继续审议这一事项,以期作为建议提出一项决定草案,供缔约方会议第十八届会议通过。
11. 履行机构请秘书处和专家咨询小组在具备资金的情况下,开展本结论中要求的行动。

<sup>5</sup> 加拿大、欧洲联盟、芬兰、日本、挪威、罗马尼亚、瑞士和大不列颠及北爱尔兰联合王国。

<sup>6</sup> 国家信息通报支助方案、政府间气候变化专门委员会国家温室气体清单工作组技术支持股,以及联合国环境规划署。

<sup>7</sup> FCCC/SBI/2012/2, 第24段。

<sup>8</sup> 拉丁美洲和加勒比区域脆弱性和适应以及缓解评估问题实践培训研讨会将在安提瓜和巴布达举行,而非如FCCC/SBI/2012/2号文件第24段(g)分段所示在乌拉圭举行。

<sup>9</sup> FCCC/SBI/2010/27, 第33段。

**[Annex**

[English only]

**Draft [elements for COP]decision -/CP.18****Work of the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention**

*The Conference of the Parties,*

*Recalling* the relevant provisions of the Convention, in particular Article 4, paragraphs 1, 3 and 7, and Article 12, paragraphs 1, 4, 5 and 7,

*Also recalling* decisions on 8/CP.5, 3/CP.8, 17/CP.8, 8/CP.11, 5/CP.15, 1/CP.15, 2/CP.17 and 14/CP.17,

*Acknowledging* that the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention has made a substantial contribution to improving the process of preparation of national communications from Parties not included in Annex I to the Convention (non-Annex I Parties) by providing technical advice and support and therefore enhancing the capacity of such Parties to prepare their national communications,

[*Recalling decision* 1/CP.16, paragraph 60, that decided to enhance the reporting in national communications from non-Annex I Parties, and emphasizing that the CGE could provide also technical advice and support for the preparation of biennial update reports,]

*Having taken note* of, as provided by the secretariat, the estimated budgetary implications of the actions requested of the secretariat in paragraph 10 below and other actions contained in the annex to this decision,

*Also having taken note* that the requirements for additional resources for the implementation of the relevant actions referred to in paragraph 10 below and in the annex to this decision cannot be met by the approved core budget of the secretariat for the biennium 2012–2013,

*Emphasizing* the importance of providing relevant technical advice and support for the process of preparation of national communications [or [and] biennial update reports], as well as the importance of providing a forum for non-Annex I Parties to share experiences of this process,

[*Recognizing further* that developing countries require further support in the process to enhanced reporting,]

*Recognizing* that the preparation of national communications [or [and] biennial update reports] is a continuing process,

1. Decides to continue the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention [for a period of [three years from 2013 to 2015][four years from 2013 to 2016] [five years from 2013 to 2017]][as a permanent expert group of the Convention];

2. Also decides that the Consultative Group of Experts, in fulfilling its mandate, shall function in accordance with the [revised] terms of reference contained in annex I to this decision;
3. Further decides that membership of the Consultative Group of Experts [should be increased from 24 to 28 with four additional members from Annex I Parties to the Convention (Annex I Parties)] [shall be the same as in decision 3/CP.8, annex, paragraphs 3–8 ] [should be expanded from 24 to 26 members in order to include one member from non-Annex I countries of Eastern European Group and an additional one member from Parties included in Annex I to the Convention (Annex I Parties)];
4. Decides that the Consultative Group of Experts shall be composed of experts [drawn from the UNFCCC roster of experts] with expertise in at least one of the following chapters of national communications [or biennial update reports]: greenhouse gas inventories, vulnerability and adaptation assessment, mitigation [financing, MRV/NAMAs, technology] and other matters related to the process of preparation of national communications [and biennial reports];
5. Encourages regional groups, in nominating their experts to the Consultative Group of Experts, to make every effort to ensure balanced representation in the areas of expertise indicated in paragraph 4 above [as well as taking into account gender balance in accordance with decision 36/CP.7];
6. Requests the secretariat to publish the list of the membership of the Consultative Group of Experts including their respective area of expertise and experience relating to national communications and/or biennial update reports, and notify the Subsidiary Body for Implementation of such appointments;
7. [Option 1: Also requests the Consultative Group of Experts, in the implementation of its mandate, to develop a [2]-year [rolling] work programme, including cost estimates, for consideration by the Subsidiary Body for Implementation;]  
[Option 2: Also requests the Consultative Group of Experts, in the implementation of its mandate, to follow the work programme for the first year developed by the Subsidiary Body for Implementation at its [thirty-sixth][thirty-seventh] session, as contained in annex II to this decision, and to further develop a [xx]-year rolling work programme, including cost estimates, for consideration by the Subsidiary Body for Implementation;]  
[Option 3: Also requested the CGE in early 2013 to develop a work programme for its revised term, to be re-evaluated by the CGE yearly, taking into account relevant decisions of the Conference of the Parties (COP).]
8. Further requests the Consultative Group of Experts to report on the progress of its work to the Subsidiary Body for Implementation [at its second meeting of each year] [at the SBI meeting during the COP session];
9. [The CGE shall, in defining and implementing its work programme, take into account other relevant work by expert groups under the Convention and engage and coordinate with the Adaptation Committee, the Technology Executive Committee and the Durban Forum for In-depth discussion on Capacity Building, in order to avoid duplication of work.]
10. Decides to [initiate]review, at its [twenty-first] [twentieth] [twenty-fifth] session, [the term and mandate][mandate and terms of reference] of the Consultative Group of Experts [and the need for the continuation of the group], with a view to adopting a decision thereon [at the same session];

11. Requests the secretariat to facilitate the work of the Consultative Group of Experts by:

(a) Organizing meetings and workshops of the Consultative Group of Experts and compiling reports of its meetings and workshops for consideration by the Subsidiary Body for Implementation;

(b) Providing technical support to the Consultative Group of Experts as required, particularly in the areas of national greenhouse gas inventories, vulnerability and adaptation assessment, mitigation assessment, research and systematic observation, education, training and public awareness, technology transfer and capacity-building, [and also mitigation actions and assessments relating to institutional arrangements, assessment of gaps and needs, support received, domestic MRV, projections] as they relate to the process of and the preparation of national communications [or biennial update reports];

(c) Liaising with other relevant multilateral programmes and organizations to provide additional [financial and] technical support [,disseminating the information materials and technical reports prepared by the Consultative Group of Experts to Parties, relevant experts and organizations] to the Consultative Group of Experts as required related to the preparation of national communications [and] [or] biennial update reports;

(d) [Providing technical and logistical support, as required [by committees, panels or working groups established to serve as technical experts for its functions including ICA;], [to the Consultative Group of Experts in [serving as] [building capacity for] the team of technical experts for international consultation and analysis;]]

12. [Invites][Urges] Parties included in Annex II to the Convention and other Parties included in Annex I to the Convention in a position to do so to provide financial resources to enhance the support by the secretariat to the work of the Consultative Group of Experts and to support the full operation of the work of the Consultative Group of Experts.

13. [Decides that arrangements for funding of the meetings of the Consultative Group of Experts should be provided in the budget of the Secretariat.]

14. Requests that the actions of the secretariat called for in this decision be undertaken subject to the availability of financial resources;

## Appendix I

### **Terms of reference of the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention**

1. [The Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention (CGE) shall have the objective[s] of:

(a) Improving the [process of [and] preparation of] national communications and biennial update reports from Parties not included in Annex I to the Convention (non-Annex I Parties), by providing technical advice and support to non-Annex I Parties [including those Parties that have not yet completed their national communications.];

(b) [Serving as] [Building capacity for] the team of technical experts for international consultation and analysis (ICA) [in a manner that is non-intrusive, non-punitive and respectful of national sovereignty, in accordance with paras 56-62 of Decision 2/CP. 17 and its Annex IV.] [by undertaking the technical analysis of biennial update reports [in accordance with the modalities and guidelines for ICA contained in annex IV to decision 2/CP.17]]].

[Alternate 1. The Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention (CGE) shall have the objective[s] of improving the [process of [and] preparation of] national communications and biennial update reports from Parties not included in Annex I to the Convention (non-Annex I Parties), by providing technical advice and support to non-Annex I Parties;]

2. The CGE, in fulfilling its mandate, shall [provide the following]:

(a) [Identify and provide technical assistance regarding problems and constraints that have affected the process of and the preparation of national communications and biennial update reports by non-Annex I Parties.]

(b) [Provide] Technical assistance and support [including capacity building] to non-Annex I Parties to facilitate the preparation of their national communications [and biennial update reports], with a view to improving the accuracy, consistency and transparency of the information in their national communications [and biennial update reports] [, particularly with respect to reporting on national GHG inventories, vulnerability and adaptation assessments, mitigation, and cross-cutting issues (research and systematic observation, technology transfer, capacity-building, education, training and public awareness, information and networking and financial and technical support)];

(c) [Technical assistance and support [including capacity building] to non-Annex I Parties to facilitate the preparation of their biennial update reports with a view to improving the accuracy, consistency and transparency of the information in their biennial update reports;] [Facilitate technical reviews of national communications or elements of national communications and biennial update reports for interested non-Annex I Parties;]

[Alternate 2. b, c: [Provide] Technical assistance and support [including capacity building] to non-Annex I Parties to facilitate the preparation of their national communications [and biennial update reports], with a view to improving the accuracy, consistency and transparency of the information in their national communications [and biennial update reports] [, particularly with respect to reporting on national GHG inventories, vulnerability and adaptation assessments, mitigation, and cross-cutting issues (research and systematic observation, technology transfer, capacity-building, education, training and public

awareness, information and networking and financial and technical support)]; [Technical assistance and support [including capacity building] to non-Annex I Parties to facilitate the preparation of their biennial update reports with a view to improving the accuracy, consistency and transparency of the information in their biennial update reports;] [Facilitate technical reviews of national communications or elements of national communications and biennial update reports for interested non-Annex I Parties;]]

(d) [Provide] Technical advice and support to non-Annex I Parties in meeting their obligations arising from relevant decisions related to the preparation of national communications and biennial update reports by identifying problems, constraints and difficulties encountered in the use of the guidelines and methodologies for the preparation of national communications and biennial update reports; ]

[Alternate 2d. Assist non-Annex I Parties, upon their request and as appropriate, in facing difficulties encountered in the use of the guidelines and methodologies for the preparation of national communications and biennial update reports;]

[Alternate 2. b, c, d: Technical assistance and support to non-Annex I Parties to facilitate the preparation of their national communications and biennial update reports, with a view to improving the accuracy, consistency and transparency of the information in these reports and by identifying problems, constraints and difficulties encountered in the use of guidelines and methodologies for the preparation of national communications and biennial update reports.]

(e) [Provide] Technical advice to non-Annex I Parties to facilitate the development and long-term sustainability of [the national communications preparation] processes, including the elaboration of appropriate institutional arrangements and the establishment and maintenance of national technical teams, for the preparation of national communications and biennial update reports, including greenhouse gas inventories, on a continuous basis;

(f) [Provide information on [financial] support available and technical advice to non-Annex I Parties, and extract lessons learned and best practices on addressing constraints and gaps and related financial, technical, and capacity building needs, in particular on adaptation from non-Annex I national communications and biennial update reports.]

(g) [Provide technical assistance and support for the preparation of proposals regarding the funding needed for the preparation of national communications and biennial update reports from non-Annex I Parties.]

(h) [Upon request from any non-Annex I Party [provide] information on existing activities and programmes, including bilateral, regional and multilateral sources of financial and technical assistance, to facilitate and support the preparation of national communications and biennial update reports by non-Annex I Parties.]

(i) [Continue its work in reviewing the UNFCCC guidelines for the preparation of national communications from non-Annex I Parties.]

(j) [Provide advice and assistance to Parties on request, in the development and communication of their NAMAs.]

(k) [Draft revised guidelines for the preparation of national communications from Parties not included in Annex 1 to the Convention, building upon the work previously done by the CGE in providing recommendations on elements to be included in such revised guidelines, and taking into account the experience of non-Annex 1 Parties in the preparation of their most recent national communications.]

(l) [make recommendations on the above sub-paragraphs, to be forwarded to the SBI for its consideration]

(m) [Provide technical advice and support, by organizing and conducting workshops, including hands-on training workshops at the regional or subregional level, on national greenhouse gas inventories, mitigation, vulnerability and adaptation, as well as training on the use of the guidelines for the preparation of national communications and biennial update reports by non-Annex I Parties. Special attention shall be given to the training on the use of guidelines for the preparation of the first biennial update reports by non-Annex I Parties;]

3. [The CGE, in fulfilling its mandate, [and with a view to adopting revised guidelines by the twentieth session of the Conference of the Parties,] shall also provide recommendations, [as appropriate, ]to the SBI on the following:

(a) [Elements to be considered in a future review and revision<sup>1</sup> of the “UNFCCC biennial update reporting guidelines for Parties not included in Annex I to the Convention” contained in annex III to decision 2/CP.17;

(b) Elements to be considered in the future revision of the “Guidelines for the preparation of national communications from Parties not included in Annex I to the Convention”, taking into account such recommendations made earlier by the CGE<sup>2</sup>, as well as recent relevant decisions by the Conference of the Parties including the relevant provisions under decision 1/CP.16, paragraphs 60 and 66, and decision 2/CP.17, paragraph 39.]

[Alternate 3: The CGE, in in fulfilling its mandate, should draft revised guidelines for both national communications and biennial update reports, to be presented to the SBI for consideration, with a view to their adoption by the COP.]]

4. [In [serving as] [building capacity for] the team of technical experts for international consultation and analysis, referred to in [paragraph 1 of annex IV] [para 56-62 of Decision 2/CP. 17 and its Annex IV] to decision 2/CP.17, the CGE shall:

(a) Undertake the technical analysis of biennial update reports submitted by non-Annex I Parties [to be developed];

(b) Prepare a draft summary report and share it with the Party concerned for [comment][review and comments over the following [x] months;];

(c) Respond to and incorporate the comments referred to in paragraph 4(b) above from the Party concerned and finalize, in consultation with the Party concerned, the summary report to be presented to the Subsidiary Body for Implementation (SBI).]

5. The CGE shall, in defining and implementing its work programme, take into account other relevant work by expert groups under the Convention [and should also engage, upon request, with the Adaptation Committee, Climate Technology Centre and Network, Technology Executive Committee, and the Durban Forum for In-Depth Discussion on Capacity-Building] in order to avoid duplication of work.

6. [The CGE may establish committees, panels or working groups, and/or request the secretariat to assist it in the performance of its functions. The CGE shall draw on the expertise necessary to perform its functions, including from the UNFCCC roster of experts.

<sup>1</sup> Decision 2/CP.17, annex III, paragraph 20.

<sup>2</sup> The CGE provided a set of recommendations to the SBI on elements to be considered in a future revision of the “Guidelines for the preparation of national communications from Parties not included in Annex I to the Convention”; these recommendations are contained in document FCCC/SBI/2011/5/Rev.1 and Add.1 submitted to the SBI at its thirty-fourth session.

In this context, it shall take fully into account the consideration of regional balance, in line with the composition of the CGE.]

7. [In the light of the new mandate, CGE shall include in its work plan up to the nineteenth session of the Conference of the Parties, inter alia, the following task: to develop and agree on its revised rules of procedure, in view of its new activities, and recommend them to the Conference of the Parties for adoption.]

8. The CGE shall forward recommendations on matters indicated in paragraph 3 above for consideration by the SBI as appropriate.

## Appendix II

### Work programme of the Consultative Group of Experts for [xx to xx]

[To be developed in terms of output/product, means, responsibility and time period.]

[The list of tasks will be amended in line with the revised draft conclusions and decisions.]

<i>Mandate paragraph of the annex to decision xx/CP.18</i>	<i>Tasks</i>	<i>Output/product</i>	<i>Means to achieve the Outputs/activities</i>	<i>Responsibility</i>	<i>Time period/dates</i>	<i>Cost estimates</i>
2(a)	Technical assistance and support to non-Annex I Parties to facilitate the preparation of their national communications, with a view to improving the accuracy, consistency and transparency of information in their national communications					
2(b)	Technical assistance and support to non-Annex I Parties to facilitate the preparation of their biennial update reports with a view to improving the accuracy, consistency and transparency of information in their biennial update reports					
2(c)	Technical advice and support to non-Annex I Parties in meeting their obligations arising from relevant decisions related to the preparation of national communications and biennial update reports by identifying problems, constraints and difficulties encountered in the use of the guidelines and methodologies for the preparation of national communications and biennial update reports					

- 2(d) Technical advice to non-Annex I Parties to facilitate the development and long-term sustainability of processes, including the elaboration of appropriate institutional arrangements and the establishment and maintenance of national technical teams, for the preparation of national communications and biennial update reports, including greenhouse gas inventories, on a continuous basis
- 2(e) Technical advice to non-Annex I Parties on the on the provision of support for available for the preparation of national communications and biennial update reports
- 2(f) Upon request from any non-Annex I Parties information on existing activities and programmes, including bilateral, regional and multilateral sources of financial and technical assistance, to facilitate and support the preparation of national communications and biennial update reports by non-Annex I Parties
- 3(a) Provide recommendations, as appropriate, to the SBI on elements to be considered in a future review and revision of the “UNFCCC biennial update reporting guidelines for Parties not included in Annex I to the Convention” contained in annex III to decision 2/CP.17
- 3(b) Elements to be considered in the future revision of the “Guidelines for the preparation of national communications from Parties not included in Annex I to the Convention”, taking into account such recommendations made earlier by the CGE, as well as recent relevant decisions by the Conference of the Parties including the relevant provisions under decision 1/CP.16, paragraph 60 and 66, and decision 2/CP.17 paragraph 39

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[Serve as the team of technical experts for international consultation and analysis referred to in decision 2/CP.17, annex IV, paragraph 1]

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[The CGE may establish committees, panels or working groups, and/or request the secretariat to assist it in the performance of its functions. The CGE shall draw on the expertise necessary to perform its functions, including from the UNFCCC roster of experts. In this context, it shall take fully into account the consideration of regional balance, in line with the composition of the CGE]

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