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STATUS OF CONVENTIONS

Note by the Secretariat

- 1. At its thirteenth session the Commission decided that it would consider, at each of its sessions, the status of conventions that were the outcome of work carried out by it. \underline{a} /
- 2. The present note is submitted pursuant to that decision. The annex hereto sets forth the state of signatures, ratifications, accessions and approvals as of 16 May 1990 to the following conventions: Convention on the Limitation Period in the International Sale of Goods (New York, 1974); Protocol amending the Convention on the Limitation Period in the International Sale of Goods (Vienna, 1980); United Nations Convention on the Carriage of Goods by Sea, 1978 (Hamburg); United Nations Convention on Contracts for the International Sale of Goods (Vienna, 1980); United Nations Convention on International Bills of Exchange and International Promissory Notes (New York, 1988); and Convention on the Recognition and Enforcement of Foreign Arbitral Awards (New York, 1958). The latter Convention, which has not emanated from the work of the Commission, has been included because of the close interest of the Commission in it, particularly in connection with the Commission's work in the field of international commercial arbitration. In addition, the annex sets forth those jurisdictions that have enacted legislation based on the UNCITRAL Model Law on International Commercial Arbitration.
- 3. Since the most recent report in this series showing the status of conventions as of 16 May 1989 (A/CN.9/325), the Convention on the Limitation Period in the International Sale of Goods received 1 additional ratification (German Democratic Republic), the Protocol amending that Convention received 2 additional accessions (Czechoslovakia and German Democratic Republic), the United Nations Convention on Contracts for the International Sale of Goods has received 7 additional ratifications or accessions (Byelorussian S.S.R., Chile, Czechoslovakia, Germany, Federal Republic of, Iraq, Switzerland and Ukrainian S.S.R.), the United Nations Convention on the Carriage of Goods by Sea, 1978 ("Hamburg Rules") has received 3

a/ Report of the United Nations Commission on International Trade Law on the work of its thirteenth session, Official Records of the General Assembly, Thirty-fifth Session, Supplement No. 17 (A/35/17), para. 163.

additional ratifications or accessions (Burkina Faso, Kenya and Lesotho), the Convention on the Recognition and Enforcement of Foreign Arbitral Awards has received 1 additional accession (Lesotho), and the United Nations Convention on International Bills of Exchange and International Promissory Notes (New York, 1988) received one signature (Canada). In addition, legislation based on the UNCITRAL Model Law on International Commercial Arbitration has been enacted in addition in Bulgaria, Hong Kong and, within the United States of America, Connecticut and Texas.

4. The names of the States that have ratified or acceded to the conventions since the preparation of the last report are underlined.

1. <u>Convention on the Limitation Period in the International Sale of Goods</u> (New York, 1974)

<u>State</u>	Signature	Ratification Accession Approval	Entry into force
Argentina		9 October 1981	1 August 1988
Brazil	14 June 1974		
Bulgaria	24 February 1975		
Byelorussian SSR	14 June 1974		
Costa Rica	30 August 1974		
Czechoslovakia	29 August 1975	26 May 1977	1 August 1988
Dominican Republic		23 December 1977	1 August 1988
Egypt		6 December 1982	1 August 1988
German Democratic Republic	14 June 1974	31 August 1989	1 March 1990
Ghana	5 December 1974	7 October 1975	1 August 1988
Hungary	14 June 1974	16 June 1983	1 August 1988
Mexico		21 January 1988	1 August 1988
Mongolia	14 June 1974		
Nicaragua	13 May 1975		
Norway <u>1</u> /	11 December 1975	20 March 1980	1 August 1988
Poland	14 June 1974		
Ukrainian SSR	14 June 1974		•
USSR	14 June 1974		
Yugoslavia		27 November 1978	1 August 1988
Zambia		6 June 1986	1 August 1988

Signatures only: 9; ratifications and accessions: 11

Declarations and reservations

1/ Upon signature Norway declared, and confirmed upon ratification, that in accordance with article 34 the Convention would not govern contracts of sale where the seller and the buyer both had their relevant places of business within the territories of the Nordic States (i.e. Norway, Denmark, Finland, Iceland and Sweden).

2. <u>Protocol amending the Convention on the Limitation Period in the International Sale of Goods (Vienna, 1980)</u>

<u>State</u>	Accession	Entry into force
Argentina	19 July 1983	1 August 1988
Czechoslovakia 1/	5 March 1990	1 October 1990
Egypt	6 December 1982	1 August 1988
German Democratic Republic	31 August 1989	1 March 1990
Hungary	16 June 1983	1 August 1988
Mexico	21 January 1988	1 August 1988
Zambia	6 June 1986	1 August 1988

In accordance with articles XI and XIV of the Protocol, the Contracting States to the Protocol are considered to be Contracting Parties to the Convention on the Limitation Period in the International Sale of Goods as amended by the Protocol in relation to one another and Contracting Parties to the Convention, unamended, in relation to the four Contracting Parties to the Convention not yet a Contracting Party to the Protocol, i.e. Dominican Republic, Ghana, Norway and Yugoslavia.

<u>Declarations</u> and reservations

 $\underline{1}$ / Upon accession, Czechoslovakia declared that, pursuant to Article XII, it did not consider itself bound by Article I.

3. United Nations Convention on the Carriage of Goods by Sea, 1978 (Hamburg)

<u>State</u>	Signature	Ratification Accession	Entry into force
Austria	30 April 1979		
Barbados	•	2 February 1981	
Botswana		16 February 1988	
Brazil	31 March 1978	·	
<u>Burkina Faso</u>		14 August 1989	
Chile	31 March 1978	9 July 1982	
Czechoslovakia <u>l</u> /	6 March 1979		
Denmark	18 April 1979		
Ecuador	31 March 1978		
Egypt	31 March 1978	23 April 1979	
Finland	18 April 1979		
France	18 April 1979		
Germany, Federal Rep. of	31 March 1978		
Ghana	31 March 1978		
Holy See	31 March 1978		
Hungary	23 April 1979	5 July 1984	
<u>Kenya</u>		31 July 1989	
Lebanon		4 April 1983	
<u>Lesotho</u>		26 October 1989	
Madagascar	31 March 1978		
Mexico	31 March 1978		
Morocco		12 June 1981	
Nigeria		7 November 1988	
Norway	18 April 1979		

<u>State</u>	Signature	Ratification Accession	Entry into force
Pakistan	8 March 1979		公债有 的。
Panama	31 March 1978		
Philippines	14 June 1978		1. 电电影电影 (1. · · · · · · · · · · · · · · · · · · ·
Portugal	31 March 1978		
Romania		7 January 1982	
Senegal	31 March 1978	17 March 1986	人名 斯特 医克克斯氏
Sierra Leone	15 August 1978	7 October 1988	
Singapore	31 March 1978		
Sweden	18 April 1979		and the state of the
Tunisia	-	15 September 1980	
Uganda		6 July 1979	
United Rep. of Tanzania		24 July 1979	
United States of America	30 April 1979	•	
Venezuela	31 March 1978		
Zaire	19 April 1979		

Signatures only: 22; ratifications and accessions: 17

Ratifications and accessions necessary to bring the Convention into force: 20

<u>Declarations</u> and reservations

1/ Upon signing the Convention the Czechoslovak Socialist Republic declared in accordance with article 26 a formula for converting the amounts of liability referred to in paragraph 2 of that article into the Czechoslovak currency and the amount of the limits of liability to be applied in the territory of the Czechoslovak Socialist Republic as expressed in the Czechoslovak currency.

4. <u>United Nations Convention on Contracts for the International Sale of Goods</u> (Vienna, 1980)

State	Signature	Ratification	Entry into force
		<u>Accession</u>	
		<u>Approval</u>	
Argentina <u>l</u> /		19 July 1983	l January 1988
Australia		17 March 1988	1 April 1989
Austria	11 April 198	29 December 1987	1 January 1989
Byelorussian S.S.R. 1/		9 October 1989	1 November 1990
Chile 1/	11 April 1980	7 February 1990	1 March 1991
China <u>2</u> /	30 September 1981	11 December 1986	1 January 1988
Czechoslovakia 3/	1 September 1981	5 March 1990	1 April 1991
Denmark <u>4</u> / <u>5</u> /	26 May 1981	14 February 1989	1 March 1990
Egypt		6 December 1982	1 January 1988
Finland 4/5/	26 May 1981	15 December 1987	1 January 1989
France	27 August 1981	6 August 1982	1 January 1988
German Democratic Republic	13 August 1981	23 February 1989	1 March 1990
Germany, Federal Rep. of 6/	26 May 1981	21 December 1989	1 January 1991
Ghana	11 April 1980		n en
Hungary <u>1</u> / <u>7</u> /	11 April 1980	16 June 1983	1 January 1988
Iraq		5 March 1990	1 April 1991
Italy	30 September 1981	11 December 1986	1 January 1988

<u>State</u>	· 特別 · 4 · 1 · 1	<u>Signature</u>	Ratification Accession Approval	Entry into force
Lesotho		18 June 1981	18 June 1981	1 January 1988
Mexico			29 December 1987	1 January 1989
Netherlands		29 May 1981		
Norway 4/ 5/		26 May 1981	20 July 1988	1 August 1989
Poland		28 September 1981		
Singapore		11 April 1980		
Sweden <u>4</u> / <u>5</u> /		26 May 1981	15 December 1987	1 January 1989
<u>Switzerland</u>			21 February 1990	1 March 1991
Syrian Arab Repu	blic		19 October 1982	1 January 1988
United States of	America 3/	31 August 1981	11 December 1986	1 January 1988
Venezuela	<u>→</u>	28 September 1981		A West Committee
Ukrainian S.S.R.	<u>1</u> /	-	3 January 1990	1 February 1991
Yugoslavia		11 April 1980	27 March 1985	1 January 1988
Zambia			6 June 1986	1 January 1988

Signatures only: 5; ratifications, accessions and approval: 26

Declarations and reservations

- 1/ Upon ratifying the Convention the Governments of Argentina, Byelorussian S.S.R., Chile, Hungary and Ukranian S.S.R. stated, in accordance with articles 12 and 96 of the Convention, that any provision of article 11, article 29 or Part II of the Convention that allows a contract of sale or its modification or termination by agreement or any offer, acceptance or other indication of intention to be made in any form other than in writing, would not apply where any party had his place of business in their respective States.
- 2/ Upon approving the Convention the Government of China declared that it did not consider itself bound by sub-paragraph (b) of Paragraph 1 of Article 1 and Article 11 as well as the provisions in the Convention relating to the content of Article 11.
- 3/ Upon ratifying the Convention the Governments of Czechoslovakia and of the United States of America declared that they would not be bound by sub-paragraph (1)(b) of Article 1.
- 4/ Upon ratifying the Convention the Governments of Denmark, Finland, Norway and Sweden declared in accordance with article 92(1) that they would not be bound by Part II of the Convention (Formation of the Contract).
- 5/ Upon ratifying the Convention the Governments of Denmark, Finland, Norway and Sweden declared, pursuant to article 94(1) and 94(2), that the Convention would not apply to contracts of sale where the parties have their places of business in Denmark, Finland, Sweden, Iceland or Norway.
- $\underline{6}$ / Upon ratifying the Convention the Government of the Federal Republic of Germany declared that it would not apply article l(1)(b) in respect of any state that had made a declaration that that state would not apply article l(1)(b).
- 7/ Upon ratifying the Convention the Government of Hungary declared that it considered the General Conditions of Delivery of Goods between Organizations of the Member Countries of the Council for Mutual Economic Assistance to be subject to the provisions of article 90 of the Convention.

5. <u>Convention on the Recognition and Enforcement of Foreign Arbitral Awards</u> (New York, 1958)

<u>State</u>	<u>Signature</u>	Ratification Accession
Algeria 1/2/ Antigua and Barbuda 1/2/ Argentina 1/2/7/ Australia Austria	26 August 1958	7 February 1989 2 February 1989 14 March 1989 26 March 1975 2 May 1961
Bahrain <u>1</u> / <u>2</u> / Belgium <u>1</u> / Benin	10 June 1958	6 April 1988 18 August 1975 16 May 1974
Botswana <u>l</u> / <u>2</u> / Bulgaria <u>l</u> / <u>3</u> / Burkina Faso	17 December 1958	20 December 1971 10 October 1961 23 March 1987
Byelorussian SSR <u>1</u> / <u>3</u> / Cameroon Canada <u>4</u> / Central African	29 December 1958	15 November 1960 19 February 1988 12 May 1986
Republic <u>1</u> / <u>2</u> / Chile China <u>1</u> / <u>2</u> /		15 October 1962 4 September 1975 22 January 1987
Colombia Costa Rica Cuba <u>1</u> / <u>2</u> / <u>3</u> / Cyprus <u>1</u> / <u>2</u> /	10 June 1958	25 September 1979 */ 26 October 1987 30 December 1974
Czechoslovakia 1/3/ Democratic Kampuchea Denmark 1/2/ Djibouti Dominica	3 October 1958	29 December 1980 10 July 1959 5 January 1960 22 December 1972 14 June 1983
Ecuador <u>1</u> / <u>2</u> / Egypt	17 December 1958	28 October 1988 3 January 1962 9 March 1959
El Salvador Finland 29 December 1958	10 June 1958	
France 1/ German Democratic	19 January 1962 25 November 1958	26 June 1959
Republic $1/2/3/$ Germany, Federal Rep. of $1/$ Ghana	10 June 1958	20 February 1975 30 June 1961 9 April 1968
Greece $\underline{1}/\underline{2}/$ Guatemala $\underline{1}/\underline{2}/$		16 July 1962 21 March 1984
Haiti Holy See $1/2/$ Hungary $1/2/$		5 December 1983 14 May 1975 5 March 1962
India 1/2/ Indonesia 1/2/ Ireland 1/	10 June 1958	13 July 1960 7 October 1981 12 May 1981
Israel Italy	10 June 1958	5 January 1959 31 January 1969
Japan <u>1</u> / Jordan Kenya <u>1</u> /	10 June 1958	20 June 1961 15 November 1979 10 February 1989

<u>State</u>	Signature	Ratification Accession
Kuwait 1/		28 April 1978
Lesotho	11 7 1050	13 June 1989
Luxembourg $\underline{1}/$ Madagascar $\underline{1}/\underline{2}/$	11 November 1958	9 September 1983
Madagascar <u>1</u> / <u>2</u> / Malaysia <u>1</u> / <u>2</u> /		16 July 1962 5 November 1985
Mexico		14 April 1971
Monaco <u>1</u> / <u>2</u> /	31 December 1958	2 June 1982
Morocco 1/	31 December 1936	12 February 1959
Netherlands 1/	10 June 1958	24 April 1964
New Zealand 1/	10 0 4110 1730	6 January 1983
Niger		14 October 1964
Nigeria <u>1</u> / <u>2</u> /		17 March 1970
Norway $\frac{1}{5}$		14 March 1961
Pakistan	30 December 1958	
Panama		10 October 1984
Peru		7 July 1988
Philippines <u>1</u> / <u>2</u> /	10 June 1958	6 July 1967
Poland $1/2/$	10 June 1958	3 October 1961
Republic of Korea $\frac{1}{2}$		8 February 1973
Romania <u>1</u> / <u>2</u> / <u>3</u> /		13 September 1961
San Marino		17 May 1979
Singapore 1/		21 August 1986
South Africa		3 May 1976
Spain		12 May 1977
Sri Lanka	30 December 1958	9 April 1962
Sweden	23 December 1958	28 January 1972
Switzerland <u>l</u> / Syrian Arab Republic	29 December 1958	1 June 1965
Thailand		9 March 1959 21 December 1959
Trinidad and Tobago $\frac{1}{2}$		14 February 1966
Tunisia $1/2/$		17 July 1967
Ukrainian SSR <u>1</u> / <u>3</u> /	29 December 1958	10 October 1960
USSR 1/3/	29 December 1958	24 August 1960
United Kingdom 1/	2) December 1)30	24 September 1975
United Republic of		2. Soptember 1973
Tanzania <u>1</u> /		13 October 1964
United States of		
America <u>1</u> / <u>2</u> /		30 September 1970
Uruguay		30 March 1983
Yugoslavia <u>1</u> / <u>2</u> / <u>6</u> /		26 February 1982

Signatures only: 2; ratifications and accessions: 83

Declarations and reservations (Excludes territorial declarations and certain other reservations and declarations of a political nature)

 $\underline{1}$ / State will apply the Convention to recognition and enforcement of awards made in the territory of another Contracting State.

- $\underline{2}$ / State will apply the Convention only to differences arising out of legal relationships whether contractual or not which are considered as commercial under the national law.
- 3/ With regard to awards made in the territory of non-contracting States, State will apply the Convention only to the extent to which these States grant reciprocal treatment.
- 4/ The Government of Canada has declared that Canada will apply the Convention only to differences arising out of legal relationships, whether contractual or not, which are considered as commercial under the laws of Canada, except in the case of the Province of Quebec where the law does not provide for such limitation.
- 5/ State will not apply the Convention to differences where the subject matter of the proceedings is immovable property situated in the State, or a right in or to such property.
- $\underline{6}$ / State will apply the Convention only to those arbitral awards which were adopted after the coming of the Convention into effect.
- 7/ The present Convention should be construed in accordance with the principles and rules of the National Constitution in force or with those resulting from reforms mandated by the Constitution.
- \star / Ratification declared unconstitutional by the Supreme Court on October 26, 1988.
- 6. United Nations Convention on International Bills of Exchange and International Promissory Notes (New York, 1988)

<u>State</u>

Signature

Ratification

Entry into force

Accession

Canada

7 December 1989

Ratifications and accessions necessary to bring the Convention into force: 10

7. UNCITRAL Model Law on International Commercial Arbitration (1985)

Legislation based on the UNCITRAL Model Law on International Commercial Arbitration has been enacted in Australia, Bulgaria, Canada (by the Federal Parliament and by the Legislatures of all Provinces and Territories), Cyprus, Hong Kong, Nigeria and, within the United States of America, California, Connecticut and Texas).

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