

《关于禁止发展、生产和储存细菌(生物)  
及毒素武器和销毁此种武器的公约》  
缔约国会议

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2009 年会议  
2009 年 12 月 7 日至 11 日，日内瓦  
临时议程项目 8  
执行支助股的报告  
(包括关于建立信任措施参加情况的报告)

## 执行支助股 2009 年报告

### 执行支助股提交\*

#### 概要

本报告叙述了执行支助股(支助股)为执行第六次审查会议规定的任务在 2009 年开展的活动，第六次审查会议要求执行支助股在《公约》的管理和全面执行、促进《公约》的普遍加入、交流建立信任措施等方面向缔约国提供支助。审查会议决定，执行支助股“每年向所有缔约国提交一份简要的书面报告，介绍其活动”(BWC/CONF.VI/6, 第三部分，第 6 段)。

\* 文件提交逾期，秘书处收到所需资料后立即提交。

## 一. 导言

1. 2009 年，执行支助股继续根据第六次审查会议的决定和建议(BWC/CONF.VI/6)开展了活动。支助股由《公约》缔约国会议提供经费，设在联合国裁军事务厅日内瓦办事处内，由该办事处提供行政便利。支助股有三名工作人员：支助股股长 Richard Lennane 先生；政治事务干事 Piers Millett 博士；政治事务助理干事 Ngoc Phuong Huynh 女士。2009 年 8 月至 12 月，支助股还得到实习生 Eben Lindsey 先生的协助。

2. 支助股自 2008 年 12 月提交上次报告(BWC/MSP/2008/3)以来开展了下列活动：根据其任务为《公约》提供行政支助；便利《公约》的执行；支持建立信任措施；以及协助主席和缔约国开展促进普遍加入《公约》的工作。

## 二. 对《公约》的行政支助

3. 支助股是 2009 年《生物武器公约》会议的实务秘书处，为专家会议(8 月 24 日至 28 日)和缔约国会议(12 月 7 日至 11 日)提供服务，并为主席的活动提供支持。这些工作包括：起草并印发致各缔约国、国际组织和非政府组织的信函；为主席起草讲稿和其他材料；处理会议登记事务；开展研究并起草实质性背景文件<sup>1</sup>；编写会议文件和报告；处理工作文件；以及为主席和缔约国提供程序、技术和实质性的咨询意见。

4. 十个缔约国和一个非缔约国通过支助股请求援助，以便能派专家参加专家会议。其中四个缔约国还要求提供援助，以便派官员参加缔约国会议。与支助股进行磋商后，三个缔约国提供了双边援助，使得十个缔约国得以从本国首都派专家到日内瓦参加专家会议。本报告提交之时，正在与要求提供援助，以便派官员参加缔约国会议的四个缔约国进行磋商。

5. 支助股大力改建并扩大了其网站(<http://www.unog.ch/bwc>)，以提高对缔约国的实用性，并更加方便使用。网站是向缔约国传播《公约》有关信息的基本工具。网站及时提供关于会议和有关活动的最新信息、会议的在线登记服务、正式文件、声明、新闻稿、背景材料、其他组织有关活动的信息、实用链接以及成员名单。网站还包括许多由支助股维护的在线工具，包括国家执行数据库(NID)和国家活动汇编(CNA, 见下面的执行一节)。此外，支助股还尝试在网上直播专家会议，并在会后将会议视频放在网站上。

<sup>1</sup> 例如参见：近期在国际、区域和非政府层级与疾病监测、检测、诊断和遏制相关的演变(BWC/MSP/2009/MX/INF.2)、先前在《公约》之下达成的与疾病监测、检测、诊断和遏制等领域的能力建设相关的协议和谅解(BWC/MSP/2009/MX/INF.3)、其他国际背景下提供援助和建设能力(BWC/MSP/2009/MX/INF.4)。

6. 根据第六次审查会议的具体要求，支助股还在网站上维护并进一步开发了一个非公开区域(<http://www.unog.ch/bwc/restricted>)。只有缔约国，并且只有使用用户名和密码登陆后才能访问。该区域提供了各国联络点的详情、提交的建立信任措施的电子文本，以及为促进普遍加入《公约》所作努力的结果。2009 年期间，支助股新增了一部分内容，详细介绍如何获得或提供执行援助(见下面的执行一节)。2009 年迄今为止有 45 个缔约国访问过该非公开区域。其中，有一个缔约国平均每天访问一次以上；七个缔约国每周访问一次以上；18 个缔约国每月访问一次以上；另外 19 个缔约国至少访问过一次。

7. 支助股还继续关注相关的科学和技术发展情况。除了向专家会议提交正式背景文件外，支助股还继续更新网站上有关科学和技术的内容。这一部分包括支助股在研究中，以及与相关科技领域的各种国际、区域、国家机构的互动过程中获得的信息。这些问题的细节将列入缔约国会议的背景文件。支助股还与若干科学团体合作，加强它们解决双重用途问题的能力。支助股还协调将安全因素纳入国际基因工程机器(iGEM)大赛，并继续与产业集团、科学机构及政府合作，推动合成生物学的安全发展。支助股还积极参与“自己动手生物研究”社团(Do-It-Yourself Biology community)的安全和保障问题工作组的工作，帮助确保那些想在学术和产业界的正式规定外研究生物的人安全和有保障地开展活动。

8. 应主席要求，支助股定期与和《公约》及 2009 年会议的相关国际组织联系，包括粮食及农业组织(粮农组织)、国际基因工程和生物技术中心、红十字国际委员会(红十字会)、国际刑警组织(刑警组织)、经济合作与发展组织(经合组织)、禁止化学武器组织、联合国安全理事会第 1540 号决议委员会、联合国裁军研究所、联合国教育、科学和文化组织(教科文组织)、联合国环境规划署(环境署)、世界卫生组织(卫生组织)、世界动物卫生组织、国际科学和技术中心、欧盟委员会、欧洲疾病预防控制中心。自 2009 年 5 月起，支助股与联合国区域间犯罪和司法研究所结成了正式伙伴关系。

9. 同样应主席要求，支助股还与若干科学、专业、商业、学术机构和协会，以及关注支助股活动的非政府组织进行了联系。这些联系提供了重要见解和信息，有助于支助股更好地支持缔约国的努力。支助股联系过的许多组织参加了专家会议和缔约国会议。

10. 应挪威和印度尼西亚要求，支助股于 2009 年 6 月 18 日至 19 日在挪威奥斯陆与这两个国家共同举办了“《生物武器公约》支持全球卫生：通过卫生安全能力建设降低生物风险研讨会”。应加拿大和哈萨克斯坦要求，支助股于 2009 年 9 月 16 日至 17 日在哈萨克斯坦阿斯塔纳与这两个国家共同举办了“提高区域对《生物武器公约》的认识研讨会和能力建设研讨会”。支助股与世界卫生组织于 2009 年 8 月 21 日在日内瓦共同为 2005 年经修订的《国际卫生条例》专家会议代表举办了一次研讨会。应沙特阿拉伯王国要求，支助股协助该缔约国于 2009 年 10 月 20 日至 21 日在利雅得举办了“《生物武器公约》研讨会”。作为支持《生物武器公约》的欧洲联盟联合行动的一部分，支助股还于 2009 年 11 月 11

日至 12 日在比利时布鲁塞尔举办了“根据第十条加强疾病监测、监测、传染和遏制方面的合作国际研讨会”。

11. 支助股还应邀参加了 2009 年举行的各种会议和活动。这些活动与《公约》及支助股的工作有关，包括支助股支持落实第六次审查会议决定和建议的努力。这些活动也有助于宣传和介绍《公约》的存在和规定。附件一(仅有英文本)载有支助股 2009 年所参加活动的完整清单。

### 三. 《公约》的执行

12. 五个缔约国与支助股联系，请求就《公约》执行提供援助。一个缔约国请求支助股就国内执行《公约》提供一般性援助。支助股让该国向支持《生物武器公约》的欧洲联盟联合行动寻求帮助。一个缔约国请求在调查《公约》相关现行法律、培训执法人员、以及打击非法走私生物材料的能力建设方面获得援助。另一个缔约国也请求协助培训执法人员，以及就生物剂的诊断和监测提供培训。支助股正在与相关提供者讨论，以便使这些缔约国获得援助。另外两个缔约国请支助股以其母语提供关于《公约》执行的立法范本。支助股正在推动提供该立法范本。支助股仍然与这些缔约国保持联系，并将继续根据其职责提供帮助和促进信息交流。

13. 支助股维护并更新了在线国家执行数据库(NID)。该数据库包含与《公约》可能有关的国家措施的详细情况，供尽可能多的国家搜集资料。数据库还尽可能提供了这些措施的摘要，以及文书的全文链接。随着不断获得更多资料，数据库也不断更新。该数据库目前共收录了 2101 项措施，涵盖 121 个缔约国(占《生物武器公约》缔约国总数的 74.2%)、四个签署国、五个非缔约国以及一个区域组织的措施。可通过支助股的网站进入该数据库 (<http://www.unog.ch/bwc/NID>)。

14. 应主席要求，支助股还针对 2009 年审议的议题编写了《国家活动汇编》。汇编详细收录了缔约国开展的疾病监测、检测和诊断以及传染病遏制领域的能力建设活动，载于工作文件、声明、介绍及其他来文中。汇编定期更新，成为获取向会议提供的资料的主要途径，它也是一个方便的资料库，说明如何在不同背景下实施立法和管理措施。截至 2009 年 11 月 1 日，《生物安全和生物安保活动汇编》涵盖 19 个缔约国，《科学监督、教育、提高认识汇编》涵盖 14 个缔约国。《疾病监测、检测和诊断以及传染病遏制领域(包括能力建设努力)方针的汇编》涵盖 24 个缔约国。支助股将根据收到的补充资料，特别是向缔约国会议提供的资料，不断更新这些汇编。

15. 为了改进其活动，便利缔约国之间的沟通，交流关于援助请求和援助意向的信息，支助股汇集了愿意提供援助的信息，并在网站的非公开区域内予以公布。支助股根据 2009 年 8 月专家会议上提出的援助意向，维护并更新了信息。随着缔约国不断提供资料，支助股将继续予以更新。支助股还将在缔约国会议上提供一份文件，介绍在监测、检测、诊断和遏制方面提供援助的组织的情况。

16. 支助股还继续与一些援助提供者，例如红十字国际委员会、国际刑警组织、禁止化学武器组织、安理会第 1540 号决议委员会，以及核查研究、训练和信息中心(核查中心)联系，以提供有关国家立法和执法措施方面的援助。支助股继续在其参加的研讨会会间和活动中，以及专家会议和缔约国会议期间与这些援助提供者进行非正式会面及协调。

17. 支助股继续收集为《公约》建立的国家联络点的详细情况。到目前为止，已有 68 个缔约国应第六次审查会议要求，指定了本国的联络点(与 2008 年相比有所增加，见附件二，仅有英文本)。此外，支助股还收集了四个签署国、四个非缔约国和一个区域组织的联络点情况。各国联络点的全部详情见支助股网站的非公开区域(<http://www.unog.ch/bwc/restricted>)。支助股定期与国家联络点进行联系。

#### 四. 建立信任措施

18. 根据第六次审查会议的决定，支助股负责汇编并分发建立信任措施的资料。支助股保存了建立信任措施表格的电子版(Adobe PDF 格式)并以所有正式语文在网站上提供。支助股在相关缔约国的协助下，继续编制现有的建立信任措施电子表格，并且正在考虑是否有可能开发一个在线协作工具，用于在线填写建立信任措施表格。

19. 截至 2009 年 11 月 1 日，62 个国家(38%的缔约国)提交了涵盖 2008 日历年的建立信任措施资料，与去年持平。<sup>2</sup> 在这些国家中，36 个国家在截止日期 2009 年 4 月 15 日或之前提交了建立信任措施的资料。一个缔约国——冈比亚为首次提交。2008 年提交建立信任措施资料的国家中，有九个在 2009 年尚未提交。附件三(仅有英文本)列出了提交这些措施资料的情况，并按照每一种建立信任措施的表格详细列出了提交的国家，同时附有图表和其他信息。

20. 一个缔约国要求不将其提交的建立信任措施资料公布在网站的非公开区域。这一资料的纸印本将在缔约国会议期间印发。其余 61 份资料公布在网站的非公开区域。好几个缔约国向支助股询问，为透明起见，它们的建立信任措施是否也可以公布在支助股网站的公开区域。到目前为止，已有十份建立信任措施文件被放在网站的建立信任措施板块的公开区域(这些文件也在非公开区域提供)。

21. 2009 年期间，支助股向大约 10 个缔约国提供了日常的行政协助和关于参加建立信任措施的咨询服务。为了指导缔约国初次提交资料，支助股根据来自不同区域的大小不同的缔约国提交的资料，编写了一套完整的建立信任措施资料样本。这些样本可向支助股索取。三个缔约国正式要求获得建立信任措施的资料，以及填写表格方面的支持。

<sup>2</sup> 一个缔约国在支助股 2008 年报告(BWC/MSP/2008/3)发表之后才提交建立信任措施资料。附件三载有 2008 年建立信任措施资料(涵盖 2007 日历年)的最新订正概要。

22. 作为支持《生物武器公约》的欧洲联盟联合行动的一部分，也是应主席要求，支助股和欧盟国家专家合作编写了帮助缔约国填写建立信任措施表格的指南。该指南将在缔约国会议上推出，继而以《公约》所有正式语文出版。

23. 根据第六次审查会议的决定，支助股股长于 2009 年 1 月 14 日致函各缔约国的常驻代表团和国家联络点，提醒他们按照资料交换程序提交资料的截止日期是 2008 年 4 月 15 日。

## 五. 促进普遍加入

24. 支助股为主席开展促进普遍加入的活动提供了支持，协助他与《公约》的非缔约国通信，筹备并参加主席与《公约》非缔约国代表的会议。支助股在其参加的许多研讨会和活动中促进普遍加入《公约》(见附件一，仅有英文本)。支助股还向几个签署国和非缔约国介绍了《公约》的情况和对《公约》的意见。随着不断获得新的资料，支助股在其网站的非公开区域汇集并公布了促进普遍加入方面的进展情况。

25. 关于这些活动的进一步详情以及目前为止取得的成果见《关于促进普遍加入活动的报告》(BWC/MSP/2009/4)。

## 六. 结论和建议

26. 工作第三年，支助股巩固了其任务的几个关键要求，并取得了进一步进展。支助股为参加闭会期间工作的资助申请提供信息交换服务，取得了一些进展，20 名与会者获得了资助，得以参加专家会议。不过，支助股认为，更有组织的资助方式将为闭会期间工作和《公约》的执行带来重大收益。

27. 支助股注意到，建立信任措施的参与水平在过去两年趋于平稳(从 2007 年 40.9%的最高点略减到今年的 38%)。缔约国最近请求就建立信任措施提供实质援助，支助股和支持《生物武器公约》的欧盟联合行动编写了建立信任措施指南，支助股希望这将提高 2010 年的参与水平。

28. 支助股再次加大了促进缔约国之间和与有关组织沟通的活动，主办、联合主办或参加了 48 个研讨会、讲习班和其他活动，地点遍及欧洲、亚洲、北美洲、中美洲、非洲和中东。这相当于支助股 2008 年主办、联合主办或参加的活动的两倍以上。能够达到这种水平，得益于对支助股那笔微薄的 10,000 美元旅费预算的精心管理和缔约国及其他组织的额外资助。这些活动有助于《公约》和闭会期间工作的拓展和促进，而所涉缔约国和组织的反馈普遍都非常积极。鉴于支助股目前的能力无法满足对其参与这些活动的要求，缔约国不妨考虑是否有可能扩大支助股及其任务，以便为 2011 年审查会议做准备。

29. 缔约国与支助股之间拓展和促进普遍加入活动的协调仍然存在问题。虽然如主席提交的《关于促进普遍加入活动的报告》(BWC/MSP/2009/4)所述，许多缔

约国参加了促进普遍加入活动，但是缔约国与支助股之间的协调将加强国内拓展的效果。支助股请缔约国更加充分地交流关于促进普遍加入活动的信息，以帮助支助股完成促进普遍加入《公约》的任务。

30. 支助股继续将其网站、相关在线工具和印刷材料发展成一个重要的资源库，不仅供缔约国使用，而且用于拓展、提高认识和向全球受众提供资料。今年，加拿大帮助支助股将许多背景文件翻译成了俄文，对哈萨克斯坦的区域提高认识研讨会尤其有用。支助股请相关缔约国帮助将背景文件翻译成其他语文。

Annex I

[ENGLISH ONLY]

## MEETINGS AND EVENTS ATTENDED BY THE IMPLEMENTATION SUPPORT UNIT

The following meetings and events were attended by representatives of the Implementation Support Unit. Further details on these events, including copies of ISU presentations, are available from the ISU website, <http://www.unog.ch/bwc/isu>.

1. The ISU gave a presentation on the BWC to students from the American College Program, University of Freiburg, Survey of International Organizations program, Geneva, Switzerland, 9 January 2009.
2. The ISU gave a presentation on the BWC to the United Kingdom Parliamentary Select Committee for Foreign Affairs, Geneva, Switzerland, 13 January 2009.
3. *Working on Disarmament & Arms Control in Geneva: An Orientation for Diplomats*, Geneva, Switzerland, 26 January 2009. The ISU gave a presentation on the BWC.
4. *Conference on Non-proliferation and Technical Aspects of Nuclear, Biological, and Chemical Weapons*, The Hague, Netherlands, 2 February, 2009. The ISU briefed the Dutch Foreign Ministry on biology, biological weapons, and biological threats,
5. *Canadian Forces College National Security Programme Field Research Exercise*, Geneva, Switzerland, 28 February – 3 March 2009. The ISU gave a presentation on the BWC and the work of the ISU.
6. *Workshop on the Implementation of Security Council Resolution 1540*, Doha, Qatar, 8-11 March 2009. The ISU participated in the workshop.
7. *Seminar on Multilateral Approaches to Non-Proliferation – the Chemical Dimension* and roundtable meeting on the CBRN trafficking Knowledge Management System (KMS), organised by UNICRI, the OPCW and the European Commission, The Hague, Netherlands, 18-19 March 2009.
8. *Export Controls for Biological Materials in the Kyrgyz Republic: An International Workshop*, Bishkek, Kyrgyzstan, 18-19 March 2009. The ISU gave a presentation on the BWC and its recent activities to raise awareness in the region.
9. *BioSysBio 2009: Biology, Systems Biology and Bioinformatics*, Cambridge, United Kingdom, 23-25 March 2009. The ISU gave a presentation on the importance of pursuing scientific endeavours in the life sciences safely and securely.
10. *Returning Science to its Rightful Place*, Royal Society, London, United Kingdom, 25 March 2009. The ISU participated in this meeting and took part in the discussion from the floor.



11. *International Symposium on Biosecurity and Biosafety: Future Trends and Solutions*, Milan, Italy, 25-27 March 2009. The ISU gave a presentation on the role of the BWC in promoting the peaceful use of biotechnology.
12. *Implementation of UNSC Resolution 1540 at the National Level: Promotion of Best Practices and Policy and Technical Coordination and Cooperation*, organized by VERTIC and the Netherlands Institute of International Relations ("Clingendael"), The Hague, Netherlands, 26-27 March 2009. The ISU gave a presentation on lessons from the implementation of the BWC.
13. *Biosafety and Biosecurity International Conference 2009*, Casablanca, Morocco, 2-3 April, 2009. The ISU accompanied the Chairman, and participated in the conference.
14. *Workshop on the CWC and BWC and their Contribution to the Non-proliferation of Weapons of Mass Destruction*, organised by the OPCW and the government of Croatia, Cavtat, Croatia, 4-5 April 2009. The ISU made a presentation on the contribution of the BWC to non-proliferation of WMD.
15. *BioFine 2009 – Applied Industrial Synthetic Biology in Europe*, Freiburg, Germany, 16-17 April 2009. The ISU gave a presentation on securing synthetic biology.
16. *World Federation of Public Health Associations (WFPHA): 12th World Congress on Public Health*, Istanbul, Turkey, 27 April – 1 May 2009. The ISU made a presentation on the BWC and participated in a panel discussion on the relationship between public health and weapons of mass destruction.
17. *United Nations Workshop on the Implementation of Security Council Resolution 1540*, Port-Vila, Vanuatu, 29 April – 1 May 2009. The ISU made a presentation on BWC implementation.
18. *10th New Issues in Security Course (NISC) at the Geneva Centre for Security Policy (GCSP): 'Global Peace and Security: Challenges and Responses'*, Geneva, Switzerland, 11 May 2009. The ISU was invited to give a presentation and participate in a panel on chemical and biological weapons issues.
19. *ASEAN Regional Forum Workshop on Biological Threat Reduction*, Manila, Philippines, 10-11 June 2009. The ISU participated in the workshop and made a presentation on international efforts to mitigate biological threats (including the BWC and UNSCR 1540).
20. *1st Mentoring Service on Intangible Transfer of Technology (ITT) in the CBRN Area*, organised by UNICRI and the Croatian Ministry of Foreign Affairs and European Integration, Dubrovnik, Croatia, 15-16 June 2009. The ISU participated in the meeting and gave a presentation on the transfer of intangible biotechnology.
21. *The Biological Weapons Convention Supporting Global Health: Reducing Biological Risk by Building Capacity in Health Security*, Oslo, Norway, 18-19 June 2009. The ISU helped

organise the workshop, and made presentations on evolving perceptions of BWC Article X and on the role of the ISU in supporting capacity-building.

22. *International Seminar on National Implementation of Non-proliferation Obligations : “OPCW as an Example of Effective Assistance in National Implementation of the Chemical Weapons Convention”*, Jahorina, Bosnia and Herzegovina, 22-23 June 2009. The ISU gave a presentation on national implementation of the BWC, as well as a presentation on biosafety and biosecurity.
23. *Safety and Security in Biotechnology*, an international policy workshop jointly organised by the Geneva Centre for Security Policy (GCSP) and the Managing Global Insecurity Project (MGI), Geneva, Switzerland, 25 June 2009. The ISU gave a presentation on the opportunities and risks of advances in biotechnology.
24. *STCU (Science and Technology Center in Ukraine) Biosafety & Biosecurity Experts’ Workshop*, Annecy, France, 25-26 June 2009. The ISU gave a presentation on the BWC and the work of the ISU.
25. *Improving Global Capacity for Disease Surveillance*, organised by the US National Academies of Science and the American Association for the Advancement of Science, Washington D.C., United States, 8 July 2009. The ISU gave a presentation on global health security and the BWC.
26. *Strengthening the Biological Weapons Convention*, organised by the New America Foundation, Global Green USA and the Physicians for Social Responsibility, Washington D.C., United States, 8 July 2009. The ISU participated in a panel discussion on strengthening the BWC.
27. *Opportunities and Challenges in the Emerging Field of Synthetic Biology*: organised by the US National Academies of Science, UK Royal Society and the Organisation for Economic Cooperation and Development, Washington D.C., United States, 9-10 July 2009. The ISU participated in the meeting.
28. *Building Bridges Around Building Genomes*, Co-hosted by the United States Department of Justice, Department of State and Department of Health and Human Services, San Francisco, United States of America, 3-5 August 2009. The ISU gave a presentation on securing modern biology.
29. *International Health Regulations Awareness Workshop for BTWC Delegations*, Geneva Switzerland, 21 August, 2009. The ISU helped organise the workshop and gave a presentation on the developments, challenges and future directions of the BWC.
30. *Options and proposals to strengthen the Confidence-Building Measures mechanism of the Biological Weapons Convention*, a workshop organised by the Geneva Forum with the governments of Germany, Norway and Switzerland, Jongny, Switzerland, 22-23 August

2009. The ISU participated in the workshop, and Mr. Richard Lennane, head of the ISU, chaired one of the working groups.
31. The ISU briefed participants in the UN Disarmament Fellowship Programme on the BWC in Geneva on 31 August 2008.
  32. *United Nations Workshop on the Implementation of Security Council Resolution 1540*, San José, Costa Rica, 8 - 10 September 2009. The ISU made a presentation on BWC implementation.
  33. *Black ICE II: Bioterrorism International Coordination Exercise*, Montreux, Switzerland, 7-8 September 2009. Co-hosted by the governments of Switzerland and the United States. The ISU participated in the table-top exercise.
  34. *BTWC Regional Awareness Raising Seminar and Capacity-Building Workshop*, Astana, Kazakhstan, 16-17 September 2009. The ISU helped organise the workshop and gave presentations on the international response to biological threats, including the BWC and UNSCR 1540, as well as national implementation of the BWC, and the BWC intersessional process.
  35. *American Association for the Advancement of Science (AAAS) working lunch on biosecurity*, sponsored by the Center for Science, Technology, and Security Policy of the AAAS, Washington, D.C., United States of America, 17-18 September 2009. The ISU made a presentation on the outcomes of the August 2009 Meeting of Experts.
  36. *Preventing & Mitigating the Next Use of CBW*, hosted by Wilton Park, Sussex, United Kingdom, 25-27 September 2009. The ISU gave a presentation on the relevance of the BWC to preventing the use of biological weapons.
  37. *A Comprehensive Approach to Combating Illicit Trafficking*, organized by the Institute for Foreign Policy Analysis (IFPA) and the Geneva Centre for Security Policy (GCSP), in cooperation with the Swiss Federal Department of Foreign Affairs (FDFA) and NATO, Geneva, Switzerland, 28-29 September 2009. The ISU participated in the meeting.
  38. *UNSC Resolution 1540 in the Gulf Region: Challenges for the Future*. Abu Dhabi, United Arab Emirates, 5-6 October 2009. The ISU gave a presentation on the BWC and its relationship to UNSCR 1540.
  39. *Workshop on the Biological Weapons Convention*, Riyadh, Kingdom of Saudi Arabia, 20-21 October 2009. The ISU assisted with the organisation of the meeting, and gave presentations on the BWC and UNSCR 1540, the role of the BWC in facilitating regional and international cooperation and the technical aspects of the BWC.
  40. *Sustainable Scientists Engagement Worldwide: Opportunities to Prevent Proliferation by Enhancing Best Practice and Professional Responsibility*, organised by the International Working Group - Landau Network-Centro Volta, Rome, Italy, 26 October 2009. The ISU

gave a presentation on the role of the BWC in assuring the safe spread of biological technology and knowledge.

41. *Risk Governance of Synthetic Biology*, International Risk Governance Council, Geneva, Switzerland, 26-27 October 2009. The ISU attended the event and chaired a session on the risks posed by synthetic biology.
42. *DIY Biology Safety & Security Informal Discussion Meeting*, Boston, USA, 30 October 2009. The ISU participated in this event.
43. *International Genetically Engineered Machine competition (iGem) 2009 International Jamboree*, Boston, USA, 30 October – 2 November 2009. The ISU participated in this event and briefed participants on security issues related with synthetic biology.
44. *International Association for Synthetic Biology*, Boston, USA, 3 November 2009. The ISU participated in this event.
45. *International Workshop on Overcoming Challenges in Building Capacity for Disease Surveillance, Detection, Diagnosis and Containment*, Brussels, Belgium, 11-12 November 2009. The ISU co-hosted the event and made a series of contributions.
46. *Workshop on Promoting Education on Dual Use Issues in the Life sciences*, organised by the Inter-academy Panel on International Issues, the International Union of Microbiological Societies and the International Union of Biochemistry and Molecular Biology at the Polish National Academies, Warsaw, Poland, 16-17 November 2009.
47. *Workshop of the Pugwash Study Group on the Implementation of the Chemical and Biological Weapons Conventions*, organised by Pugwash Geneva in Geneva, Switzerland, 5-6 December 2009.
48. *Options and proposals to strengthen the Confidence-Building Measures mechanism of the Biological Weapons Convention*, a workshop organised by the Geneva Forum with the governments of Germany, Norway and Switzerland, Geneva, Switzerland, 12 December 2009.

Annex II

[ENGLISH ONLY]

## NATIONAL POINTS OF CONTACT

The following national points of contact had been nominated to the ISU by November 2009. Full contact details, including telephone numbers and e-mail addresses, are available to States Parties in the restricted area of the ISU website (<http://www.unog.ch/bwc/restricted>).

**States Parties**Albania

Mr. Fadil Vucaj  
Representative of the  
National Authority of  
Albania for the CWC  
Ministry of Defense  
Tirana  
Albania

Argentina

Dirección de Seguridad  
Internacional, Asuntos  
Nucleares y Espaciales  
Ministerio de Relaciones  
Exteriores, Comercio  
Internacional y Culto  
Esmeralda 1212 Piso 11  
Ciudad Autónoma de Buenos  
Aires CP 1007  
Argentina

Armenia

Mr. Artem Aznaurian  
Counsellor  
Arms Control &  
International Security,  
Ministry of Foreign Affairs  
Government Building 2,  
Republic Square  
Yerevan 0010  
Armenia

Australia

First Assistant Secretary  
International Security  
Division, Department of  
Foreign Affairs and Trade  
Locked Bag 40  
Kingston ACT 2600  
Australia

Austria

Mr. Alexander Benedict  
Department II.8 - Global  
Disarmament, Arms Control,  
export Control, Multilateral  
Atomic Energy Issues and  
IAEA  
Federal Ministry of  
European and International  
Affairs  
Minoritenplatz 8  
Vienna A-1014  
Austria

Azerbaijan

Multilateral Treaties  
Division, Ministry of  
Foreign Affairs  
4, Sh. Gurbanov Street  
Baku AZ1009  
Azerbaijan

Belgium

Mr. Michel Peetermans  
Conseiller d'Ambassade  
Direction Désarmement,  
Non-Prolifération et  
Contrôle de l'Armement,  
Service Public Fédéral  
Affaires étrangères  
Rue des Petits Carmes 15  
Bruxelles B 1000  
Belgium

Mr. Allal Mesrar  
Attaché  
Direction Désarmement,  
Non-Prolifération et  
Contrôle de l'Armement,  
Service Public Fédéral  
Affaires étrangères  
Rue des Petits Carmes 15  
Bruxelles B 1000  
Belgium

Bhutan

Chief  
Legal and Treaties Division,  
Ministry of Foreign Affairs,  
Royal Government of  
Bhutan  
Bhutan

Brazil

Mr. Sérgio Antonio Frazão  
Araujo  
Coordinator-General for  
Sensitive Items  
Ministry of Science and  
Technology  
SAI/SO Area 5 Quadra 3  
Bloco F  
Brasilia – DF 70610-200  
Brazil

Bulgaria

Mr. Valentin Dontchev  
Head of Department,  
Security Policy Directorate  
Ministry of Foreign Affairs  
2, Alexander Zhendov. St.  
Sofia 1040  
Bulgaria

Burkina Faso

Prof. Abdouramane Barry  
Head of National Authority  
Autorité Nationale pour la  
Convention sur les Armes  
Chimiques (ANCAC),  
Ministère des Enseignements  
Ouagadougou 03 BP 7130  
Burkina Faso

Canada

Mr. Andrew Halliday  
Biological Weapons Policy  
Analyst  
Non Proliferation and  
Disarmament Division  
(Biological, Chemical and  
Conventional Weapons),  
Department of  
125 Sussex Drive  
Ottawa, K1A 0G2  
Canada

China

Ms. Yang Yi  
Deputy Division Director  
Department of Arms Control  
and Disarmament, Ministry  
of Foreign Affairs  
No. 2 Chao Yang Men Nan  
Da Jie  
Beijing, 100701  
China

Colombia

Mr. Jose Nicolas Rivas  
Zubiria  
Director Multilateral Political  
Affairs  
Ministry of Foreign Affairs  
Calle 10 No. 5-51  
Bogota  
Colombia

Croatia

Ms. Ivana Derek  
Ministry of Foreign Affairs  
and European Integration  
Croatia

Cuba

Mr. Rudolfo Reyes  
Rodriguez  
Director de Asuntos  
Multilaterales  
Ministerio de Relaciones  
Exteriores  
Calle Calzada #360 e/ G y H.  
Vedado. Plaza de la  
Revolucion  
La Habana 10 400  
Cuba

Mr. Juan C. Menendez de  
San Pedro Lopez  
Director del Centro Nacional  
de Seguridad Biologica  
Ministerio De Ciencia,  
Tecnologia Y Medio  
Ambiente  
Calle 28 No. 502 e/5ta y 7ma  
Ave. Miramar. Playa  
La Habana 11 300  
Cuba

Cyprus

Mr. Panayiotis Papadopoulos  
Political Affairs Division -  
Multilateral relations  
Ministry of Foreign Affairs  
Cyprus

Czech Republic

Dr. Ladislav Bartak  
Director, Department for the  
Control of Non-Proliferation  
of WMD  
State Office for Nuclear  
Safety  
Senovazne nam. 9  
Praha 110 00  
Czech Republic

Denmark

Mr. Casper Nervil  
Head of Section, Department  
of Security Policy  
Royal Danish Ministry of  
Foreign Affairs  
Office 6 a 67, Asiatisk Plads  
Copenhagen 21448  
Denmark

Ecuador

Ms. Fanny De Lourdes Puma  
Puma  
Director General for  
Multilateral Policies and  
International Specialized  
Organizations  
Ministry of Foreign Affairs  
Calle Carrion Y Av. 10 de  
Agosto  
Quito  
Ecuador

Estonia

Department of International  
Organizations and Security  
Policy  
Ministry of Foreign Affairs  
Islandi valjak 1  
Tallinn 15049  
Estonia

Finland

Ms. Marja Rosvall  
First Secretary  
Ministry for Foreign Affairs  
P.O.Box 420  
Helsinki FI-00023  
Finland

France

Ms. Marie-Gaëlle Robles  
Sous-direction des questions  
multilatérales et du  
désarmement  
Ministry of Foreign and  
European Affairs  
37 Quai d'Orsay, 07 SP  
Paris 75 700  
France

Georgia

Ms. Lela Bankanidze  
Deputy Head, Especially  
Dangerous Infections  
Department  
National Center for Disease  
Control and Public Health  
9, M. Asatiani St.  
Tbilisi 0177  
Georgia

Germany

Mr. Roland Grafe  
Head of BW Division  
Federal Foreign Office  
Werderscher Markt 1  
Berlin 10117  
Germany

Greece

Director of the WMD  
Disarmament Section  
D1 Directorate for UN and  
International Organizations,  
Hellenic Ministry of Foreign  
Affairs  
Academias 3 Street  
Athens 10027  
Greece

Holy See

Monsignor Silvano M.  
Tomasi  
Permanent Observer for the  
Holy See  
Permanent Observer Mission  
of the Holy See to the United  
Nations Office and other  
International Organizations  
in  
Chemin du Vengeron 16  
P.O. Box 28  
Chambésy 1292  
Switzerland

Iceland

Mr. Peter Thorsteinsson  
Head, Arms Control and  
Disarmament  
Ministry of Foreign Affairs  
Raudararstig 25  
Reykjavik 150  
Iceland

India

Joint Secretary  
(Disarmament and  
International Security Affairs)  
Ministry of External Affairs  
South Block  
New Delhi  
India

Indonesia

Mr. Andy Rachmianto  
Deputy Director,  
Directorate of International  
Security and Disarmament,  
Department of Foreign  
Affairs  
JI, Taman Pejambon no.6  
Jakarta 10110  
Indonesia

Dr. Desra Percaya  
Director,  
Directorate of International  
Security and Disarmament,  
Department of Foreign  
Affairs  
JI, Taman Pejambon no.6  
Jakarta 10110  
Indonesia

Iran (Islamic Republic of)

Department for Disarmament  
and International Security  
Affairs, Ministry of Foreign  
Affairs  
Tehran  
Iran

Iraq

Mr. Sinan Abdul Hasan  
Mohi  
Head of the Biology  
Department  
Iraqi National Monitoring  
Directorate  
Baghdad  
Iraq

Ireland

Mr. Ruaidhri Dowling  
Deputy Director,  
Disarmament and Non-  
Proliferation Section  
Department of Foreign  
Affairs  
80 St. Stephens Green  
Dublin

Italy

Amb. Giovanni Manfredi  
Permanent Mission of Italy  
to the Conference on  
Disarmament  
Chemin de l'Impératrice 10  
Pregny 1292  
Switzerland

Ms. Nicoletta Piccirillo  
First Secretary  
Permanent Mission of Italy  
to the Conference on  
Disarmament  
Chemin de l'Impératrice 10  
Pregny 1292  
Switzerland

Japan

Mr. Kiichiro Iwase  
Officer, Biological and  
Chemical Weapons  
Conventions Division,  
Disarmament, Non-  
Proliferation and Science  
Department,  
Ministry of Foreign Affairs  
2-2-1 Kasumigaseki,  
Chiyoda-ku  
Tokyo 100-8919  
Japan

Kenya

Director of Political Affairs  
Ministry of Foreign Affairs  
Old Treasury Building,  
Harambee Avenue  
P.O. Box 30551  
Nairobi  
Kenya

Kuwait

Ms. Jenan Al-Shayije  
Supervisor  
Ministry of Defence  
Kuwait

Kyrgyzstan

Mr. Taalaipek Bektashev  
Head of Customs Policy,  
Non-Tariff regulation and  
Export Control Department  
Ministry of Economic  
Development and Trade  
106 Chui avenue  
Bishkek city, 720002  
Kyrgyz Republic

Libyan Arab Jamahiriya

Dr. Mohamed Mansour  
Sharif  
Chairman of LNCBB  
Libyan National Committee  
for Bioethics  
Omar Al-Mokhtar  
Tripoli 82898  
Libyan Arab Jamahiriya

Liechtenstein

Ms. Esther Schindler  
Office for Foreign Affairs  
Heiligkreuz 14  
Vaduz 9490  
Liechtenstein

Lithuania

Mr. Martynas Lukosevicius  
Attache, Arms Control, non-  
Proliferation and  
Disarmament Division  
Security Policy Department,  
Ministry of Foreign Affairs  
J. Tumo-Vaizganto Str. 2  
Vilnius LT-01511  
Lithuania

Major Algimantas  
Kutanovas  
Chief Ecologist  
Lithuanian Armed Forces,  
Ministry of National Defence

Captain Eugenijus  
Minkevicius  
Chief Officer  
Defence Staff J4  
(Logistics), Ministry of  
National Defence

Ms. Aukse Bankauskaite-  
Miliauskiene  
Chief Officer  
Health Emergency Situations  
Center, Ministry of Health



Madagascar

Ms. Angeline Mohajy  
Minister Plenipotentiary /  
Director of Multilateral  
Cooperation  
Ministry of Foreign Affairs  
Antananarivo 836  
Madagascar

Mr. Jean Bosco Randrianjara  
National Assembly  
Madagascar

Malta

Mr. Clint Borg  
Disarmament Unit, Ministry  
of Foreign Affairs  
Palazzo Parisio, Merchant's  
Street  
Valetta  
Malta

Mexico

Direccion de Autoridad  
Nacional Mexico, Comité  
Especializado de Alto Nivel  
en materia de Desarme,  
Terrorismo y  
Abraham Gonzalez No. 48,  
Edificio L, Segundo Piso,  
Colonia Juarez, Delegacion  
Cuauhtemoc  
C.P. 06600  
Mexico, D.F.

Morocco

Mr. Naser Bourita  
Director, United Nations and  
International Organizations  
Ministry of Foreign Affairs  
and Cooperation  
Rue Roosvelt, Chellah  
Rabat 10 000  
Morocco

Netherlands

Mr. Wouter Wormgoor  
Nuclear Affairs and Non-  
Proliferation  
Department of Security  
Policy  
Ministry of Foreign Affairs  
The Netherlands

New Zealand

Director, International  
Security and Disarmament  
Division  
Ministry of Foreign Affairs  
and Trade  
Private Bag 18-901  
Wellington  
New Zealand

Nigeria

Mr. Joseph Adeyemi  
Chairman, National  
Authority on Chemical and  
Biological Weapons  
Conventions  
Office of the Secretary to the  
Government of the  
Federation  
4th Floor, Wing 3B  
Abuja P.M.B 9  
Nigeria

Norway

Mr. Knut Langeland  
Ambassador for  
Disarmament Affairs  
Section for Disarmament and  
Non-proliferation, Ministry  
of Foreign Affairs  
7. juni pl./ Victoria Terrasse  
P.O. Box 8114 Dep  
Oslo NO-0032  
Norway

Oman

Mr. Hamed Suleiman  
Hamed Al Bortomani  
Chemical Officer  
SO2 NBC, Ministry of  
Defence  
P.O. Box 2919  
Muscat 111  
Oman

Pakistan

Mr. Qazi M. Khalilullah  
Director General  
(Disarmament)  
Ministry of Foreign Affairs  
Islamabad  
Pakistan

Palau

Dr. Victor Yano  
Minister of Health  
P.O. Box 100  
Melekeok 96939  
Palau

Poland

Mr. Lukasz Zielinski  
Head, Division for Non-  
Proliferation of WMD  
Security Policy Department  
Ministry of Foreign Affairs  
Poland

Portugal

Mr. Filipe Ramalheira  
Department for Security &  
Defence  
Ministry of Foreign Affairs  
Largo do Rilvas  
Lisbon  
Portugal

Republic of Korea

Ms. Seyoung Jang  
Disarmament and  
Nonproliferation Division,  
Ministry of Foreign Affairs  
and Trade  
37 Sejong-ro Jongno-gu  
Seoul  
Republic of Korea

Romania

Ms. Nineta Barbulescu  
Counsellor  
Non-Proliferation, Arms  
Control and Combatting  
Terrorism Office  
Ministry of Foreign Affairs  
Romania

Russian Federation

Mr. Victor Kholstov  
Director, Department for the  
Implementation of the  
Conventions  
Ministry of Trade and  
Industry  
7 Kitaigorodsky Proezd  
Moscow 109074  
Russian Federation

Mrs. Elena Rodyushkina  
Deputy Director, Department  
for the Implementation of the  
Conventions  
Ministry of Trade and  
Industry

Slovakia

Dr. Cyril Klement  
Public Health Authority of  
Slovakia, Regional Office in  
Banska Bystrica, Ministry of  
Health of the Slovak Republic  
Cesta k nemocnici 1  
Banska Bystrica 975 56  
Slovak Republic

Slovenia

Ms. Irina Gorsic  
Counsellor, Security Policy  
Division  
Ministry of Foreign Affairs  
Presernova 25  
Ljubljana SI-1000  
Slovenia

South Africa

Mr. Daan van Beek  
Non-Proliferation Secretariat,  
South African Council for  
the Non-Proliferation of  
Weapons of Mass  
Destruction  
Private Bag X84  
Pretoria 0001  
South Africa

Spain

Mr. Luis Gomez Nogueira  
Head of Disarmament Unit  
Ministry of Foreign Affairs  
and Cooperation  
Serrano Galvache, 26  
Madrid 28071  
Spain

Sweden

Mr. Ronnie Nilsson  
Senior Adviser  
Department for Disarmament  
and Non-Proliferation,  
Ministry for Foreign Affairs  
Stockholm 10339  
Sweden

Switzerland

Ms. Riccarda Torriani  
Arms Control and  
Disarmament Section,  
Political Secretariat, Federal  
Department of Foreign  
Affairs  
Bernastrasse 28  
Bern 3003  
Switzerland

Turkey

WMD Section, Department  
of Arms Control and  
Disarmament, Ministry of  
Foreign Affairs  
Balgat  
Ankara 06100  
Turkey

United Kingdom of Great  
Britain and Northern Ireland

Mr. Valentine Madojemu  
Desk Officer for BWC and  
CTBT  
CBW Section, Counter  
Proliferation Department  
Foreign and Commonwealth  
Office  
London SW1A 2AH  
United Kingdom

United States of America

Mr. Robert Mikulak  
Director, Office of Chemical  
and Biological Weapons  
Threat Reduction, Bureau of  
International Security and  
United States Department of  
State  
2201 C Street, NW, Room  
2803  
Washington D.C. 20520  
United States of America

Uzbekistan

Mr. Kholmatov Ilkhom  
Deputy-Chairman  
The Commission of the  
Cabinet of Ministers of the  
Republic of Uzbekistan on  
the Prohibition of Biological  
Weapons  
27, C-14  
Tashkent 700011  
Uzbekistan

Yemen

Ambassador Mohy A. Al-  
Dhabbi  
Chairman of the National  
Committee  
Ministry of Foreign Affairs  
Sana'a  
Yemen

Abdulkarim Alkohali  
Deputy Chairman of the  
National Committee  
Ministry of Foreign Affairs  
Sana'a  
Yemen

Zambia

Mr. Leonard Mumba  
Senior Chemist  
Mines & Minerals  
Department  
50135 Lusaka  
Zambia

**Signatories**Burundi

Mr. Gerard Nirungika  
Head, Burundi Chemical  
Weapons Convention  
National Authority

Liberia

Mr. Joseph Jallah  
Lawyer  
Ministry of Justice  
Ashmun & Center Street  
Monrovia  
Liberia

Malawi

Mr. John. A. J. Chikalimba  
Chairperson of Defence and  
Security and Member of  
Budget & Finance  
Malawi National Assembly /  
Paliament Offices  
Chief M'Mbelwa House,  
Private Bag B362, Lilongwe  
3 244  
Zomba Lilongwe 3  
Malawi

United Republic of Tanzania

Ms. Irene. F Mkwawa -  
Kasyanju  
Principial Foreign Service  
Officer  
Legal Affairs / Ministry of  
Foreign Affairs &  
International Cooperation  
Kivukoni Front 9000  
Dar es Salam  
Tanzania

**States not party**Cameroon

Ms. Pauline Essome Siliki  
Magistrate  
Ministry of Justice/  
Legislation Division  
Cameroon

Micronesia (Federated States of)

Mr. Paliknoa K. Welly  
Chairman  
Committee on External  
Affairs  
Palikir,  
PS 3  
Pohnpei FM 96941  
Federated States of  
Micronesia

Mozambique

Mr. Cristiano Dos Santos  
Director for Legal and  
Consular Affairs  
Division - DAJC Ministry of  
Foreign Affairs and  
Cooperation

Namibia

Mr. Letta N. Hangala  
Foreign Relations Officer  
Multilateral Affairs  
Department/ Ministry of  
Foreign Affairs  
Private Bag 13347  
Windhoek  
Republic of Namibia  
Mr. Jeremia Nambinga  
Member of Parliament  
National Assembly  
Private Bag 13323  
Windhoek  
Namibia

**Regional organizations**European Union

Mr. Bruno Hanses  
Counsellor  
EU Council Secretariat  
Liaison Office in Geneva  
Rue du Grand Pre, 64  
Geneva 1202  
Switzerland

Annex III

[ENGLISH ONLY]

CONFIDENCE-BUILDING MEASURES:  
PROVISIONAL SUMMARY OF PARTICIPATION IN 2009<sup>3</sup>

**Key:** D = declaration submitted; ND = nothing to declare; NN = nothing new to declare

State Party	CBM Form								Additional Information
	A1	A2	B	C	D	E	F	G	
1. Argentina	D	ND	D	D	D	ND	ND	D	-
2. Armenia	NN	NN	NN	NN	NN	NN	NN	NN	-
3. Australia	D	D	D	D	D	D	D	D	-
4. Austria	NN	NN	ND	ND	ND	NN	NN	D	-
5. Azerbaijan	D	-	-	-	-	D	-	D	-
6. Bangladesh	ND	ND	ND	ND	ND	ND	ND	ND	D
7. Belarus	D	NN	D	NN	ND	D	ND	ND	-
8. Belgium	-	D	-	D	D	D	-	D	-
9. Brunei Darussalam	-	-	-	-	-	-	D	-	-
10. Bulgaria	D	D	D	ND	D	D	NN	NN	-
11. Canada	D	D	D	D	D	D	D	D	-
12. China	D	D	D	D	NN	D	NN	D	-
13. Croatia	NN	NN	D	NN	D	D	D	D	-
14. Cuba	D	ND	D	D	D	D	ND	D	-
15. Cyprus	D	D	D	D	D	D	D	D	-
16. Czech Republic	NN	NN	D	D	D	NN	NN	NN	-
17. Denmark	D	D	D	ND	ND	D	NN	NN	-
18. Estonia	ND	ND	D	ND	ND	NN	ND	ND	-
19. Finland	D	D	D	D	D	NN	ND	NN	-
20. France	D	D	D	D	D	NN	NN	NN	-
21. Gambia	ND	ND	ND	ND	ND	ND	ND	ND	-
22. Georgia	D	D	D	D	-	D	D	ND	-
23. Germany	D	D	D	D	D	D	NN	D	-
24. Greece	D	D	D	D	D	D	D	D	-
25. Hungary	D	ND	D	D	ND	D	ND	D	-
26. Indonesia	D	ND	D	NN	D	NN	ND	NN	-
27. Iran (Islamic Republic of)	NN	NN	D	NN	NN	NN	NN	NN	-
28. Iraq	ND	ND	D	ND	ND	D	ND	D	-

<sup>3</sup> As of 1 November 2009. Any subsequent submissions in 2009 will be included in an updated final summary table in the 2010 ISU report.

State Party	CBM Form								Additional Information
	A1	A2	B	C	D	E	F	G	
29. Ireland	D	D	D	D	D	D	D	D	-
30. Italy	D	D	D	D	D	D	D	D	-
31. Japan	NN	D	D	NN	NN	D	NN	D	-
32. Jordan	ND	ND	ND	ND	ND	ND	ND	ND	
33. Latvia	ND	ND	D	-	-	-	-	-	-
34. Lebanon	-	-	-	-	-	-	-	-	D
35. Liechtenstein	NN	NN	D	NN	NN	NN	NN	NN	-
36. Lithuania	D	ND	D	ND	ND	NN	ND	NN	-
37. Malta	NN	NN	D	NN	NN	NN	NN	NN	-
38. Mexico	D	D	ND	ND	D	ND	ND	NN	-
39. Morocco	D	D	D	-	-	D	D	D	-
40. Netherlands	D	D	D	D	D	D	D	D	-
41. New Zealand	D	D	D	D	D	D	D	D	D
42. Norway	NN	NN	ND	NN	NN	NN	NN	D	-
43. Poland	D	NN	D	NN	NN	D	ND	ND	-
44. Portugal	D	D	D	ND	ND	NN	NN	D	-
45. Qatar	ND	ND	ND	ND	ND	ND	ND	ND	-
46. Republic of Korea	D	D	D	D	D	D	ND	D	-
47. Republic of Moldova	-	-	-	-	-	-	-	-	D
48. Romania	NN	NN	D	D	D	D	ND	D	-
49. Russian Federation	D	D	ND	D	D	D	NN	D	-
50. Senegal	ND	ND	ND	ND	ND	ND	ND	ND	-
51. Serbia	NN	NN	NN	NN	NN	NN	NN	NN	-
52. Slovakia	NN	ND	D	NN	D	NN	NN	NN	-
53. Slovenia	ND	ND	ND	ND	ND	ND	ND	ND	-
54. South Africa	NN	D	D	NN	NN	NN	NN	NN	-
55. Spain	D	D	D	ND	D	NN	ND	NN	-
56. Sweden	D	D	D	D	-	D	D	D	-
57. Switzerland	NN	D	D	NN	D	NN	NN	NN	-
58. Tunisia	-	-	-	-	-	-	-	-	D
59. Turkey	D	NN	D	NN	D	D	NN	D	-
60. United Kingdom of Great Britain and Northern Ireland	D	D	D	NN	D	D	NN	D	-
61. United States of America	D	D	D	D	D	NN	NN	D	-
62. Uzbekistan	NN	NN	NN	NN	NN	NN	NN	NN	-

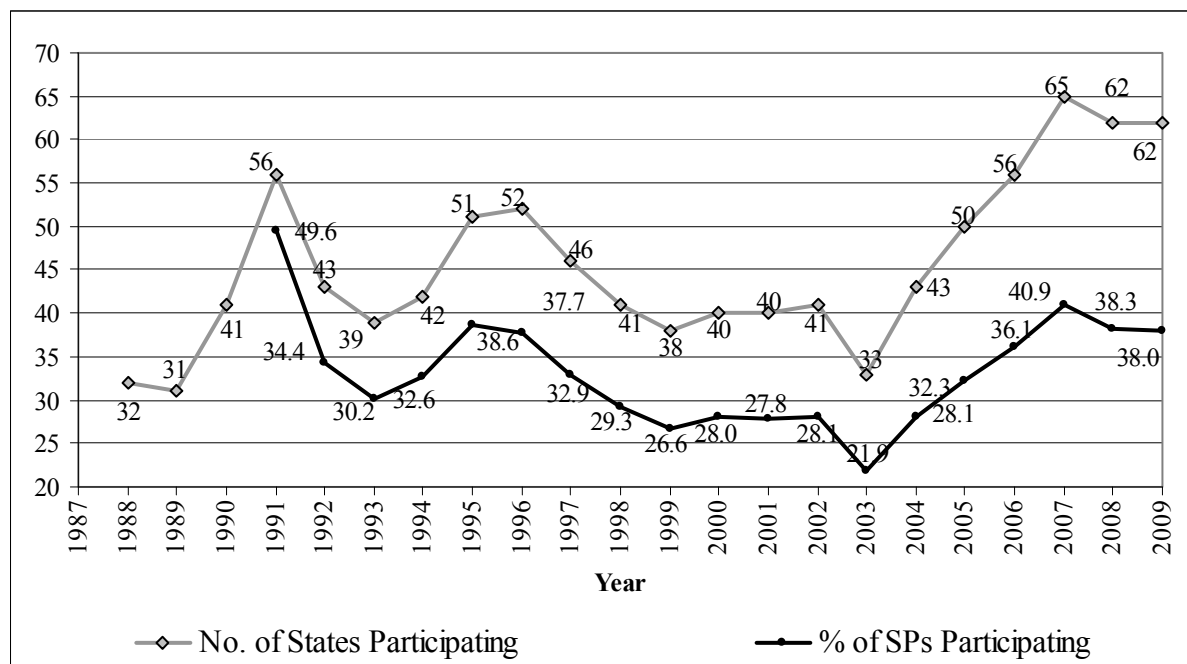
**CONFIDENCE-BUILDING MEASURES:  
FINAL SUMMARY OF PARTICIPATION IN 2008<sup>4</sup>**

**Key:** D = declaration submitted; ND = nothing to declare; NN = nothing new to declare

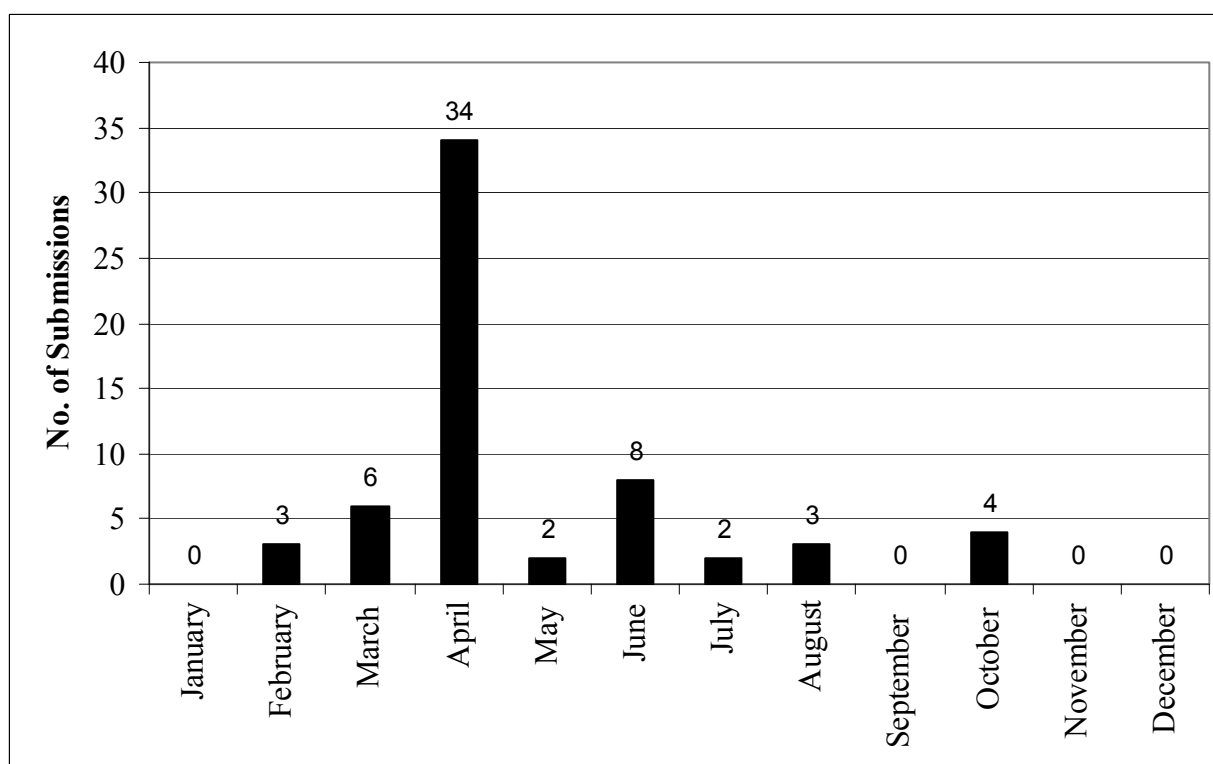
State Party	CBM Form								Additional Information
	A1	A2	B	C	D	E	F	G	
1. Argentina	D	ND	D	D	D	ND	ND	D	-
2. Armenia	NN	NN	NN	NN	NN	NN	NN	NN	-
3. Australia	D	D	D	D	D	D	NN	D	-
4. Austria	NN	NN	ND	ND	ND	NN	NN	ND	-
5. Azerbaijan	D	ND	ND	ND	ND	D	ND	ND	-
6. Bahrain	ND	ND	D	-	ND	ND	ND	-	-
7. Belarus	D	NN	D	NN	ND	D	ND	ND	-
8. Belgium	-	D	-	-	D	-	-	-	-
9. Bulgaria	D	D	D	NN	ND	D	NN	D	-
10. Canada	D	D	D	D	D	D	D	D	-
11. Chile	NN	ND	D	ND	ND	NN	ND	ND	-
12. China	D	D	D	D	NN	NN	NN	D	-
13. Croatia	NN	NN	D	D	D	D	D	D	-
14. Cuba	D	NN	D	D	D	D	NN	D	-
15. Cyprus	D	D	D	D	D	D	D	D	-
16. Czech Republic	D	D	D	D	D	NN	NN	NN	-
17. Denmark	D	D	D	ND	ND	D	NN	NN	-
18. Ecuador	ND	ND	D	ND	ND	ND	D	D	-
19. Estonia	ND	ND	D	ND	ND	NN	ND	ND	-
20. Finland	D	D	D	D	D	NN	ND	NN	-
21. France	NN	D	D	D	D	NN	NN	NN	-
22. Georgia	NN	D	D	D	D	ND	ND	NN	-
23. Germany	D	D	D	D	ND	D	NN	D	-
24. Greece	D	D	D	D	D	D	D	D	-
25. Hungary	D	ND	D	D	ND	D	ND	D	-
26. Indonesia	D	ND	D	-	D	D	D	D	-
27. Iran (Islamic Republic of)	NN	D	D	NN	NN	NN	D	D	D
28. Iraq	ND	ND	D	ND	ND	D	ND	-	-
29. Ireland	D	D	D	D	D	D	D	D	-
30. Italy	D	D	D	ND	ND	D	NN	D	-
31. Japan	NN	D	D	NN	NN	D	NN	NN	-
32. Kazakhstan	NN	NN	NN	NN	NN	ND	ND	NN	-
33. Latvia	ND	ND	ND	ND	ND	ND	ND	ND	-

<sup>4</sup> Updated from the provisional summary in the 2008 ISU report (BWC/MSP/2007/3, Annex II).

State Party	CBM Form								Additional Information
	A1	A2	B	C	D	E	F	G	
34. Lebanon	-	-	-	-	-	-	-	-	D
35. Libyan Arab Jamahiriya	ND	ND	D	ND	ND	ND	ND	ND	-
36. Liechtenstein	NN	NN	D	NN	NN	NN	NN	NN	-
37. Lithuania	NN	ND	D	ND	ND	NN	ND	NN	-
38. Malta	NN	NN	NN	NN	NN	NN	NN	NN	NN
39. Mexico	D	ND	ND	ND	D	ND	ND	D	-
40. Morocco	D	D	D	-	-	D	D	D	-
41. Netherlands	D	D	D	D	D	D	D	D	-
42. New Zealand	D	D	D	D	D	D	D	D	-
43. Slovakia	NN	ND	D	NN	D	NN	NN	NN	-
44. Slovenia	D	D	D	ND	ND	D	D	ND	-
45. South Africa	NN	D	D	NN	NN	NN	NN	NN	-
46. Spain	D	D	D	ND	D	NN	ND	NN	-
47. Sweden	D	D	D	D	ND	D	D	D	-
48. Switzerland	NN	D	D	NN	D	NN	NN	NN	-
49. Thailand	NN	NN	NN	NN	NN	NN	NN	NN	-
50. Turkey	D	NN	D	NN	D	D	NN	NN	-
51. Ukraine	D	ND	D	D	D	D	ND	D	-
52. United Kingdom of Great Britain and Northern Ireland	D	D	D	NN	D	D	NN	D	-
53. United States of America	D	D	D	D	NN	NN	NN	D	-
54. Uzbekistan	NN	NN	NN	NN	NN	NN	NN	NN	-
55. Nigeria	ND	ND	D	ND	ND	ND	ND	D	-
56. Norway	NN	NN	ND	NN	NN	NN	NN	D	-
57. Poland	NN	NN	D	D	NN	NN	ND	ND	-
58. Portugal	D	D	D	ND	ND	NN	NN	NN	-
59. Qatar	ND	ND	ND	ND	ND	ND	ND	ND	-
60. Republic of Korea	D	ND	D	ND	ND	D	ND	D	-
61. Romania	D	D	D	D	D	D	ND	D	-
62. Russian Federation	D	D	ND	D	D	D	NN	NN	-



Numbers and percentages of States Parties participating in the BWC confidence-building measures since their introduction in 1987.

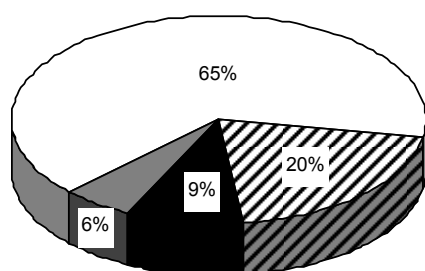


BWC confidence-building measure submissions by month in 2009

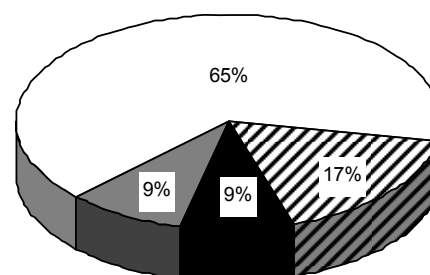


The following charts show the rates of participation for 2009 in each of the CBM forms:

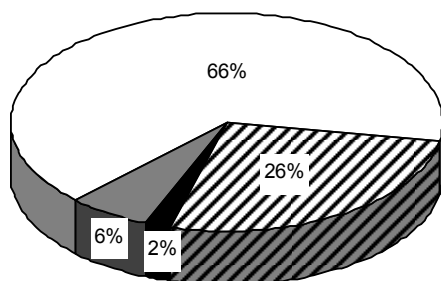
- ▨ Declaration Submitted
- Nothing New to Declare
- Nothing to Declare
- No Information Provided



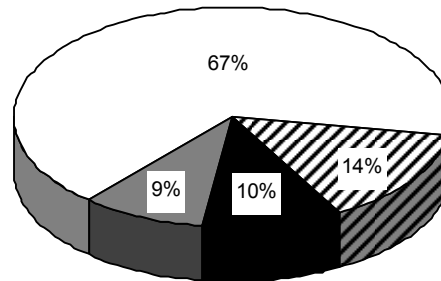
BWC CBM Returns for Form A1 in 2009



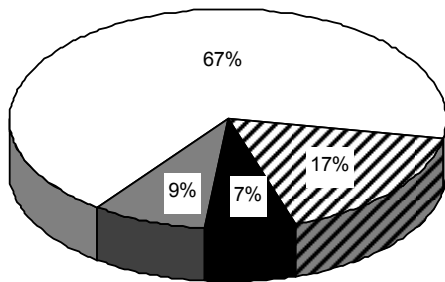
BWC CBM Returns for Form A2 in 2009



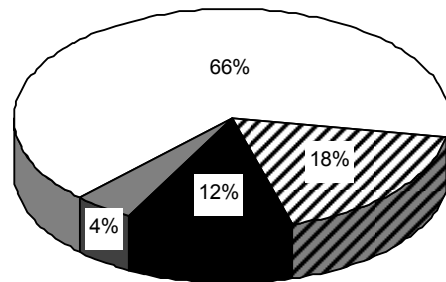
BWC CBM Returns for Form B in 2009



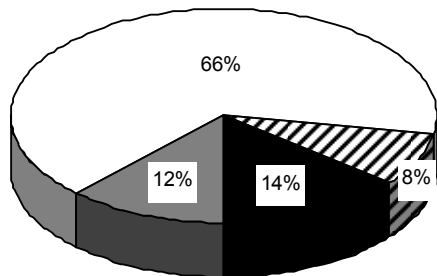
BWC CBM Returns for Form C in 2009



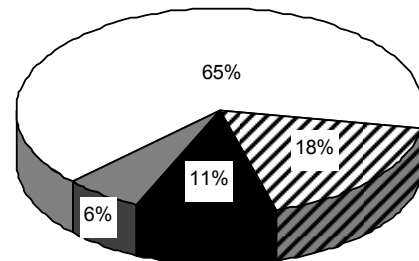
BWC CBM Returns for Form D in 2009



BWC CBM Returns for Form E in 2009



BWC CBM Returns for Form F in 2009



BWC CBM Returns for Form G in 2009

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