

Convention on Cluster Munitions

15 September 2010

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Preparatory Meeting

Geneva, 6 September 2010

Item 7 of the agenda

**Consideration of the substantive outcomes
of the first Meeting of States Parties**

**Reporting formats for article 7 of the Convention
on Cluster Munitions**

Convention on Cluster Munitions

Reporting formats for article 7 of the Convention on Cluster Munitions

State [Party]:

National point(s) of contact (organization, telephones, fax, e-mail)* :

Date of submission: [day/month/year]

Reporting time period from [day/month] to [day/month/year]

* In accordance with art. 7, para. 1, subpara. (l).

Form A National implementation measures

Article 7, paragraph 1

“Each State Party shall report to the Secretary-General ... on:

(a) **The national implementation measures referred to in article 9;”**

Remark: In accordance with article 9 “Each State Party shall take all appropriate legal, administrative and other measures to implement this Convention, including the imposition of penal sanctions, to prevent and suppress any activity prohibited to a State Party under this Convention undertaken by persons or on territory under its jurisdiction or control.”

State [Party]:

Reporting for time period from **to**

<i>Legal, administrative and other measures taken to implement the CCM (including details on existing legislation and penal sanctions)</i>	<i>Supplementary information (e.g. effective date of implementation and text of legislation attached)</i>

4 **Form B Stockpiles and destruction of cluster munitions**

Part I: Stockpiled cluster munitions

Article 7, paragraph 1

“Each State Party shall report to the Secretary-General ... on:

- (b) The total of all cluster munitions, including explosive submunitions, referred to in paragraph 1 of article 3 of this Convention, to include a breakdown of their type, quantity and, if possible, lot numbers of each type;
- [...]
- (g) Stockpiles of cluster munitions, including explosive submunitions, discovered after reported completion of the programme referred to in subparagraph (e) of this paragraph, and plans for their destruction in accordance with article 3 of this Convention;”

State [Party]:

Reporting for time period from to

1. Total of all cluster munitions and explosive submunitions stockpiled under the jurisdiction and control of the State Party

The following table does not include munitions retained for the purpose of training and expertise (in accordance with art. 3, para. 6) reported in form C.

<i>Cluster munition type</i>	<i>Quantity</i>	<i>Lot numbers (if possible)</i>	<i>Explosive submunition type</i>	<i>Total quantity of explosive submunitions</i>	<i>Lot numbers (if possible)</i>	<i>Supplementary information</i>
Total			Total			

2. Additional stockpiles discovered after reported completion of the programme for destruction

<i>Cluster munition type</i>	<i>Quantity discovered</i>	<i>Lot numbers (if possible)</i>	<i>Explosive submunition type</i>	<i>Total quantity of explosive submunitions</i>	<i>Lot numbers (if possible)</i>	<i>Plans for destruction</i>	<i>Where, when and how discovered</i>	<i>Supplementary information</i>
Total			Total					

3. Status and progress ... in separating all CM under its jurisdiction and control from other munitions retained for operational use and marking them for the purpose of destruction (ref. art. 3, para. 1)

<i>Cluster munition type</i>	<i>Quantity separated and marked for destruction</i>	<i>Lot numbers (if possible)</i>	<i>Explosive submunition type</i>	<i>Total quantity separated and marked for destruction</i>	<i>Lot numbers (if possible)</i>	<i>Supplementary information</i>
Total			Total			

9 **Form B Stockpiles and destruction of cluster munitions** *(continued)*

Part II: Status of programmes for destruction of cluster munitions

Article 7, paragraph 1

“Each State Party shall report to the Secretary-General ... on:

- (e) The status and progress of programmes for the destruction, in accordance with article 3 of this Convention, of cluster munitions, including explosive submunitions, with details of the methods that will be used in destruction, the location of all destruction sites and the applicable safety and environmental standards to be observed;
- (f) The types and quantities of cluster munitions, including explosive submunitions, destroyed in accordance with article 3 of this Convention, including details of the methods of destruction used, the location of the destruction sites and the applicable safety and environmental standards observed;
- (g) Stockpiles of cluster munitions, including explosive submunitions, discovered after reported completion of the programme referred to in subparagraph (e) of this paragraph, and plans for their destruction in accordance with article 3 of this Convention;”

State [Party]:

Reporting for time period from to

1. Status and progress of destruction programmes (art. 3)

Status

Plans, general information

Methods that will be used*

Name and location of destruction sites that will be used

Applicable safety and environmental standards to be observed

Progress since last report

Supplementary information

* Reference to para. 3.

2. Types and quantities of cluster munitions, including explosive submunitions, destroyed in accordance with article 3

(a) *At the entry into force for the State Party*

<i>Cluster munition type</i>	<i>Quantity destroyed</i>	<i>Lot numbers (if possible)</i>	<i>Explosive submunition type</i>	<i>Quantity destroyed</i>	<i>Lot numbers (if possible)</i>	<i>Date of completion</i>	<i>Location of destruction sites</i>	<i>Supplementary information</i>
Total			Total					

(b) *After entry into force*

<i>Cluster munition type</i>	<i>Quantity destroyed</i>	<i>Lot numbers (if possible)</i>	<i>Explosive submunition type</i>	<i>Quantity destroyed</i>	<i>Lot numbers (if possible)</i>	<i>Date of completion</i>	<i>Location of destruction sites</i>	<i>Supplementary information</i>
Total			Total					

(c) *Additional stockpiles destroyed after reported completion of the programme for destruction*

<i>Cluster munition type</i>	<i>Quantity destroyed</i>	<i>Lot numbers (if possible)</i>	<i>Explosive submunition type</i>	<i>Quantity destroyed*</i>	<i>Lot numbers (if possible)</i>	<i>Plans for destruction</i>	<i>Progress in destruction/ Date of completion</i>
Total			Total				

* To be completed in situations where stockpiles of explosive submunitions are discovered which are not contained in a cluster munition.

3. Methods of destruction used

<i>Cluster munition type</i>	<i>Details of the methods of destruction used</i>

<i>Explosive submunition type</i>	<i>Details of the methods of destruction used</i>

4. Applicable safety and environmental standards observed in destruction

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5. Challenges and international assistance and cooperation needed for the implementation of article 3

<i>Activity</i>	<i>Description</i>	<i>Time period</i>	<i>Need</i>

Form C Cluster munitions retained or transferred

Article 3, paragraph 8

“States Parties retaining, acquiring or transferring cluster munitions or explosive submunitions for the purposes described in paragraphs 6 and 7 of this article shall submit a detailed report on the planned and actual use of these cluster munitions and explosive submunitions and their type, quantity and lot numbers. If cluster munitions or explosive submunitions are transferred to another State Party for these purposes, the report shall include reference to the receiving party. Such a report shall be prepared for each year during which a State Party retained, acquired or transferred cluster munitions or explosive submunitions and shall be submitted to the Secretary-General of the United Nations (...).”

State [Party]:

Reporting for time period from to

1. Type of cluster munitions or explosive submunitions retained in accordance with article 3, paragraph 6

<i>Cluster munition type</i>	<i>Quantity</i>	<i>Lot numbers</i>	<i>Explosive submunition type</i>	<i>Quantity</i>	<i>Lot numbers</i>	<i>Planned use</i>	<i>Supplementary information</i>
Total			Total				

2. Type of cluster munitions or explosive submunitions acquired in accordance with article 3, paragraph 6

<i>Cluster munition type</i>	<i>Quantity</i>	<i>Lot numbers</i>	<i>Explosive submunition type</i>	<i>Quantity</i>	<i>Lot numbers</i>	<i>Planned use</i>	<i>Supplementary information</i>
Total			Total				

3. Type of retained/acquired cluster munitions or explosive submunitions actually used in accordance with article 3, paragraph 6

<i>Cluster munition type</i>	<i>Quantity used</i>	<i>Lot numbers</i>	<i>Explosive submunition type</i>	<i>Quantity used</i>	<i>Lot numbers</i>	<i>Description of actual use</i>	<i>Supplementary information</i>
Total			Total				

4. Type of cluster munitions or explosive submunitions transferred in accordance with article 3, paragraph 7

<i>Cluster munition type</i>	<i>Quantity</i>	<i>Lot numbers</i>	<i>Explosive submunition type</i>	<i>Quantity</i>	<i>Lot numbers</i>	<i>Purpose of transfer</i>	<i>Receiving State Party</i>
Total			Total				

Form D Technical characteristics of each type produced/owned or possessed

Article 7, paragraph 1

“Each State Party shall report to the Secretary-General ... on:

- (c) The technical characteristics of each type of cluster munition produced by that State Party prior to entry into force of this Convention for it, to the extent known, and those currently owned or possessed by it, giving, where reasonably possible, such categories of information as may facilitate identification and clearance of cluster munitions; at a minimum, this information shall include the dimensions, fusing, explosive content, metallic content, colour photographs and other information that may facilitate the clearance of cluster munition remnants;”

State [Party]:

Reporting for time period from to

<i>Cluster munition type*</i>	<i>Dimensions of cluster munition</i>	<i>Cluster munition explosive content (type and weight)</i>	<i>Explosive submunition type and numbers*</i>	<i>Dimensions of explosive submunition</i>	<i>Submunition fusing</i>	<i>Submunition explosive content (type and weight)</i>	<i>Submunition metallic content (type and weight)</i>	<i>Other information that may facilitate clearance</i>

* Please attach data sheets including colour photographs, if possible.

12 **Form E Status and progress of programmes for conversion or decommissioning of production facilities**

Article 7, paragraph 1

“Each State Party shall report to the Secretary-General ... on:

- (d) **The status and progress of programmes for the conversion or decommissioning of production facilities for cluster munitions;”**

State [Party]:

Reporting for time period from **to**

<i>Name and location of production facility</i>	<i>Indicate if to “convert” or “decommission”</i>	<i>Status (indicate if “in process” or “completed”) and progress of the programme</i>	<i>Supplementary information</i>

Form F Contaminated areas and clearance

Article 7, paragraph 1

“Each State Party shall report to the Secretary-General ... on:

- (h) To the extent possible, the size and location of all cluster munition contaminated areas under its jurisdiction or control, to include as much detail as possible regarding the type and quantity of each type of cluster munition remnant in each such area and when they were used;
- (i) The status and progress of programmes for the clearance and destruction of all types and quantities of cluster munition remnants cleared and destroyed in accordance with article 4 of this Convention, to include the size and location of the cluster munition contaminated area cleared and a breakdown to the quantity of each type of cluster munition remnant cleared and destroyed;”

State [Party]:

Reporting for time period from to

1. Size and location of cluster munition contaminated area*

Location**	Size of contaminated area (m ²)	Cluster munition remnants		Estimated or known date of contamination	Method used to estimate suspected area	Supplementary information
		Type	Estimated quantity			
Total		Total				

* If necessary, a separate table for each area may be provided.

** On a voluntary basis, list the province/district/village where contaminated areas are located as well as (where possible) map references and grid coordinates sufficient to define the contaminated area.

2. Status and progress of programmes for the clearance of cluster munitions remnants*

<i>Location**</i>	<i>Size of cleared area (m²)</i>	<i>Status of clearing programme</i>	<i>Cluster munition remnant cleared</i>		<i>Clearance method</i>	<i>Standards applied</i>	
			<i>Type</i>	<i>Quantity</i>		<i>Safety standards</i>	<i>Environmental standards</i>
Total			Total				

* If necessary, a separate table for each area may be provided.

** On a voluntary basis, list the province/district/village where contaminated areas are located as well as (where possible) map references and grid coordinates sufficient to define the contaminated area. Where possible, refer to the corresponding contaminated area described in [form F, table 1].

Supplementary information

[narrative]

3. Status and progress of programmes for the destruction of cluster munition remnants***

<i>Location</i>	<i>Status of destruction programme</i>	<i>Cluster munition remnants</i>		<i>Destruction method</i>	<i>Standards applied</i>	
		<i>Type</i>	<i>Quantity</i>		<i>Safety standards</i>	<i>Environmental standards</i>
		Total				

* If necessary, a separate table for each area may be provided.

** This table is only for use for those cluster munitions remnants that were not destroyed during a clearance programme (for example cluster munition remnants cleared and subsequently destroyed elsewhere or abandoned cluster munitions).

4. Land release

On a voluntary basis, provide information on the release of land previously suspected to contain cluster munition remnants.

<i>Location</i>	<i>Size of area (m²)</i>	<i>Date of release</i>	<i>Method of release (technical or non-technical survey)</i>

Supplementary information

[narrative]

5. Implementation of article 4, paragraph 4 – Provision of information or assistance to another State Party to facilitate the marking, clearance or destruction of cluster munition remnants

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6. Challenges and international assistance and cooperation needed for the implementation of article 4

<i>Activity</i>	<i>Description</i>	<i>Time period</i>	<i>Need</i>

Form G Measures to provide warning to the population and risk education

Article 7, paragraph 1

“Each State Party shall report to the Secretary-General ... on:

- (j) The measures taken to provide risk reduction education and, in particular, an immediate and effective warning to civilians living in cluster munition contaminated areas under its jurisdiction or control;”

State [Party]:

Reporting for time period from to

1. Measures taken to provide risk reduction education

[narrative]

2. Measures taken for effective warning of the population

[narrative]

Form H Victim assistance: status and progress of implementation of the obligations under article 5

Article 7, paragraph 1

“Each State Party shall report to the Secretary-General ... on:

- (k) The status and progress of implementation of its obligations under article 5 of this Convention to adequately provide age- and gender-sensitive assistance, including medical care, rehabilitation and psychological support, as well as provide for social and economic inclusion of cluster munition victims and to collect reliable relevant data with respect to cluster munition victims;”

State [Party]:

Reporting for time period from to

1. National focal point/coordination mechanism for the implementation of article 5

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2. Assessment of the needs of cluster munitions victims (survivors – please mention sex and age; families; communities)

[narrative]

3. Development and implementation of national laws and policies/synergies with existing instruments

[narrative]

4. National plan and budget

[narrative]

5. Efforts to include survivors in victim assistance planning and implementation, and outreach efforts

[narrative]

6. National and international resources

[narrative]

7. Assistance services (including medical care, rehabilitation, psychological support, and social and economic inclusion)

<i>Service (availability; accessibility; services provided)</i>	<i>Implementing agency</i>	<i>Description of service (progress, types of services, number of persons assisted, time period)</i>

8. Needs for international assistance and cooperation

<i>Activity</i>	<i>Description</i>	<i>Time period</i>	<i>Need</i>

Form I National resources and international cooperation and assistance

Article 7, paragraph 1

“Each State Party shall report to the Secretary-General ... on:

- (m) The amount of national resources, including financial, material or in kind, allocated to the implementation of articles 3, 4 and 5 of this Convention; and
- (n) The amounts, types and destinations of international cooperation and assistance provided under article 6 of this Convention.

State [Party]:

Reporting for time period from to

[Narrative:] Not applicable

1. National resources allocated

<i>Activity</i>	<i>Sector (national implementation measures, stockpile destruction, clearance, risk education, victim assistance, advocacy)</i>	<i>Amount of national resources (list currency)</i>	<i>Type of resources (e.g. financial, material, in kind)</i>

2. International cooperation and assistance provided

<i>Destination</i>	<i>Sector (national implementation measures, stockpile destruction, clearance, risk education, victim assistance, advocacy)</i>	<i>Amount</i>	<i>Details</i>

3. International assistance and cooperation needed

(a) *For the implementation of article 3: Stockpile destruction*

<i>Activity</i>	<i>Description</i>	<i>Time period</i>	<i>Need</i>

(b) *For the implementation of article 4: Clearance and risk education*

<i>Activity</i>	<i>Description</i>	<i>Time period</i>	<i>Need</i>

(c) *For the implementation of article 5: Victim assistance*

<i>Activity</i>	<i>Description</i>	<i>Time period</i>	<i>Need</i>

Form J Other relevant matters

Remark: States Parties may use this form to report voluntarily on other relevant matters, including matters pertaining to compliance and implementation not covered by the formal reporting requirements contained in article 7.

State [Party]:

Reporting for time period from **to**

Narrative / reference to other reports.
