

《关于禁止发展、生产和储存细菌(生物)
及毒素武器和销毁此种武器的公约》
缔约国会议

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临时议程项目 8

执行支助股的报告

(包括关于建立信任措施参加情况的报告)

执行支助股 2010 年报告

执行支助股提交

概要

本报告叙述了执行支助股(支助股)为执行第六次审查会议规定的任务在 2010 年开展的活动，第六次审查会议要求执行支助股在《公约》的管理和全面执行、促进《公约》的普遍加入、交流建立信任措施等方面向缔约国提供支助。审查会议决定，执行支助股“每年向所有缔约国提交一份简要的书面报告，介绍其活动”(BWC/CONF.VI/6, 第三部分，第 6 段)。

一. 导言

1. 2010 年，执行支助股继续根据第六次审查会议的决定和建议(BWC/CONF.VI/6)开展了活动。支助股由《公约》缔约国会议提供经费，设在联合国裁军事务厅日内瓦办事处内，由该办事处提供行政便利。支助股有三名工作人员：支助股股长 Richard Lennane 先生、政治事务干事 Piers Millett 博士和政治事务助理干事 Ngoc Phuong Huynh 女士。2010 年 6 月至 8 月及 9 月至 12 月，支助股还分别得到实习生 Prashant Raghavendran 先生和 Albert Nguyen 先生的协助。

2. 支助股自 2009 年 12 月提交上次报告(BWC/MSP/2009/2)以来开展了下列活动：根据其任务为《公约》提供行政支助；便利《公约》的执行；支持建立信任措施；以及协助主席和缔约国开展促进普遍加入《公约》的工作。

3. 2010 年期间，支助股收到加拿大提供的自愿捐款 10 万美元，以便利其执行任务。这笔资金用于：赞助缔约国参加专家会议和缔约国会议；支持国家执行，包括举办活动和研讨会；出版物和文件编制；以及为支助股参与相关会议和研讨会提供补充资源。

二. 对《公约》的行政支助

4. 支助股是 2010 年《生物武器公约》会议的实务秘书处，为专家会议(8 月 23 日至 27 日)和缔约国会议(12 月 6 日至 10 日)提供服务，并为主席的活动提供支持。这些工作包括：起草并印发致各缔约国、国际组织和非政府组织的信函；为主席起草讲稿和其他材料；处理会议登记事务；开展研究并起草实质性背景文件¹；编写会议文件和报告；处理工作文件；以及为主席和缔约国提供程序、技术和实质性的咨询意见。

5. 十个缔约国和一个非缔约国通过支助股请求援助，以便能派专家参加专家会议。其中五个缔约国还要求提供援助，以便派官员参加缔约国会议。支助股能够安排赞助九个缔约国从本国首都派专家到日内瓦参加专家会议，目前正在寻求赞助，以便五名代表能够参加缔约国会议。

6. 支助股继续开发其网站(<http://www.unog.ch/bwc>)，以提高对缔约国的实用性，并更加方便使用。网站是缔约国以及向缔约国传播《公约》相关信息的基本工具。网站及时提供关于会议和有关活动的最新信息、会议的在线登记服务、正式文件、声明、新闻稿、背景材料、其他组织有关活动的信息、实用链接以及成员名单。2010 年期间，支助股又提供了近 3,000 份 2003 年之前的会议正式文件。网站还包括许多由支助股维护的在线工具，包括国家执行数据库(NID)和国家活动汇编(CNA, 见下面的执行一节)。此外，支助股还在网上直播专家会议，便利就世界各地的专家在互联网上提出的问题开展实时讨论。

7. 根据第六次审查会议的具体要求，支助股还在网站上维护并进一步开发了一个非公开区域(<http://www.unog.ch/bwc/restricted>)。只有缔约国，并且只有使用用户名和密码登陆后才能访问。该区域提供了各国联络点的详情、获得或提供援助的详细情况、提交的建立信任措施电子文本，以及为促进普遍加入《公约》所作努力的结果。2010 年迄今为止有 37 个缔约国访问过该非公开区域。其中，有

¹ 例如参见：先前在《公约》之下达成的与在发生指称使用生物或毒素武器的情况下提供援助和协调相关的协议和理解(BWC/MSP/2010/MX/INF.1)、国际组织在发生指称使用生物或毒素武器的情况下提供援助和协调的作用(BWC/MSP/2010/MX/INF.2)、防备和应对指称使用生物或毒素武器情况的技术指南(BWC/MSP/2010/MX/INF.3)。

四个缔约国平均每天访问一次以上；13 个缔约国每周访问一次以上；27 个缔约国每月访问一次以上；另外 10 个缔约国至少访问过一次。

8. 支助股还继续关注相关的科学和技术发展情况。除了向专家会议提交正式背景文件外，支助股还继续更新网站上有关科学和技术的内容。这一部分包括支助股在研究中，以及与相关科技领域的各种国际、区域、国家机构的互动过程中获得的信息。支助股还就最近由国际科学机构举行的关于与《生物武器公约》相关的科技动向的会议为缔约国会议编制了一份背景文件。² 支助股还与若干科学团体合作，加强它们解决双重用途问题的能力。支助股还协调将安全因素纳入国际基因工程机器(iGEM)大赛。2010 年，支助股一名成员帮助大赛评判人类的做法部分，并提出了一项特别奖，以表彰在安全和安保方面的卓越贡献。支助股继续与产业集团、科学机构及政府合作，推动合成生物学的安全发展，并在专家会议和缔约国会议期间共同主办了一些关于安全和安保问题的会外活动。支助组还积极参与“自己动手生物研究”社团的安全和安保问题工作组的工作，帮助确保那些想在学术和产业界的正式规定外研究生物的人安全和有安保地开展活动。

9. 应主席要求，支助股定期与和《公约》及 2010 年会议的相关国际组织联系，包括联合国粮食及农业组织(粮农组织)、国际原子能机构(原子能机构)、国际基因工程和生物技术中心、红十字国际委员会(红十字会)、国际刑警组织(刑警组织)、北大西洋公约组织(北约)、经济合作与发展组织(经合组织)、禁止化学武器组织、法语国家国际组织、联合国安全理事会第 1540 号决议委员会、联合国裁军研究所、联合国教育、科学及文化组织(教科文组织)、联合国环境规划署(环境署)、联合国毒品和犯罪问题办事处、世界卫生组织(卫生组织)、世界动物卫生组织、国际科学和技术中心、欧盟委员会、欧洲疾病预防控制中心。自 2009 年 5 月起，支助股与联合国区域间犯罪和司法研究所结成了正式伙伴关系。

10. 同样应主席要求，支助股还与若干科学、专业、商业、学术机构和协会，以及关注支助股活动的非政府组织进行了联系。这些联系提供了重要见解和信息，有助于支助股更好地支持缔约国的努力。支助股联系过的许多组织参加了专家会议和缔约国会议。

11. 2010 年期间，支助股还共同主办了许多活动，其中包括：2010 年 6 月 28 日和 29 日在瑞士日内瓦与联合国区域间犯罪和司法研究所共同主办的题为“合成生物学与纳米生物技术风险和反应评估：反应”的活动；8 月 20 日在瑞士日内瓦与美国共同主办的题为“执行《国际卫生条例》”的活动；8 月 25 日在瑞士日内瓦与日内瓦论坛共同主办的题为“合成生物学：构建安全未来”的活动；11 月 4 日至 6 日在中国北京与中国和加拿大共同主办的题为“加强国际努力，防止生物武器扩散：《生物和毒素武器公约》的作用”的活动；11 月 6 日在美国波士顿举办国际基因工程机器大赛期间与美国共同主办的安全问题研讨会；12 月 7

² BWC/MSP/2010/INF.1。

日在瑞士日内瓦与日内瓦论坛共同主办的题为“合成生物学：构建安全未来”的活动。

12. 支助股还应邀参加了 2010 年举行的各种会议和活动。这些活动与《公约》及支助股的工作有关，包括支助股支持落实第六次审查会议决定和建议的努力。这些活动也有助于宣传和介绍《公约》的存在和规定。附件一(仅有英文本)载有支助股 2010 年所参加活动的完整清单。

三. 《公约》的执行

13. 14 个缔约国与支助股联系，请求就国家执行提供援助。6 个缔约国请求在立法、法规和国家协调机构方面获得援助。支助股促进与相关的援助提供者的联系，包括支持《生物武器公约》欧洲联盟联合行动和核查研究、训练和信息中心(核查中心)。另外三个缔约国请求在提高国民对《公约》的认识方面获得援助。这些请求转交给支持《生物武器公约》欧洲联盟联合行动。支助股还能够直接努力提高缔约国和国际组织的认识，包括在非洲联盟和法语国家国际组织会议上发表讲话。两个国家请求提供与建设生物安全和生物安保能力相关的援助。随后，其他两个缔约国利用网站非公开区域提供的信息为这两项请求提供了帮助。还有两项请求侧重于执法或边境控制，并转交给刑警组织和支持《生物武器公约》欧洲联盟联合行动。

14. 支助股维护并更新了在线国家执行数据库(NID)。该数据库包含与《公约》可能有关的国家措施的详细情况，供尽可能多的国家搜集资料。数据库还尽可能提供了这些措施的摘要，以及文书的全文链接。随着不断获得更多资料，数据库也不断更新。该数据库目前共收录了 2,160 项措施，涵盖 131 个缔约国(占《生物武器公约》缔约国总数的 80.3%)、四个签署国、五个非缔约国以及一个区域组织的措施。可通过支助股的网站进入该数据库(<http://www.unog.ch/bwc/NID>)。

15. 应主席要求，支助股继续编写《国家活动汇编》。汇编详细收录了缔约国开展的与目前闭会期间工作所涵盖的专题相关的活动，并且定期更新，成为评估向会议提供的资料的主要途径。同时，它也是一个方便的资料库，说明了如何在不同背景下实施立法和管理措施。截至 2010 年 11 月 18 日，《生物安全和生物安保活动汇编》涵盖 20 个缔约国，《科学监督、教育、提高认识汇编》涵盖 14 个缔约国，《疾病监测、检测和诊断以及传染病遏制领域(包括能力建设努力)方针的汇编》涵盖 31 个缔约国。支助股将根据收到的补充资料，不断更新这些汇编。另外，支助股目前正在编写《应对指称使用生物或毒素武器的情况的方针汇编》。

16. 为了改进其活动，便利缔约国之间的沟通，交流关于援助请求和援助意向的信息，支助股汇集了愿意提供援助的信息，并在网站的非公开区域内予以公布。支助股根据 2010 年 8 月专家会议上提出的援助意向，维护并更新了信息。随着缔约国不断提供资料，支助股将继续予以更新。

17. 支助股还继续与一些援助提供者，例如核查研究、训练和信息中心(核查中心)、红十字国际委员会、国际刑警组织、禁止化学武器组织，以及安理会第1540号决议委员会联系，以提供有关国家立法和执法措施方面的援助。支助股继续在其参加的研讨会会间和活动中，以及专家会议和缔约国会议期间与这些援助提供者进行非正式会面及协调。

18. 支助股继续收集为《公约》建立的国家联络点的详细情况。到目前为止，已有72个缔约国应第六次审查会议要求，指定了本国的联络点(与2009年相比增加了四个，见附件二，仅有英文本)。此外，支助股还收集了四个签署国、四个非缔约国和一个区域组织的联络点情况。各国联络点的全部详情见支助股网站的非公开区域(<http://www.unog.ch/bwc/restricted>)。支助股定期与国家联络点进行联系。

四. 建立信任措施

19. 根据第六次审查会议的决定，支助股负责汇编并分发建立信任措施的资料。支助股保存了建立信任措施表格的电子版(Adobe PDF格式)并以所有正式语文在网站上提供。支助股在相关缔约国的协助下，继续编制现有的建立信任措施电子表格，并且正在考虑是否有可能开发一个在线协作工具，用于在线填写建立信任措施表格。

20. 截至2010年11月15日，70个国家(42.9%的缔约国)提交了涵盖2009年历年的建立信任措施资料。在这些国家中，45个国家在截止日期2010年4月15日或之前提交了建立信任措施的资料。6个缔约国为首次提交，即阿尔巴尼亚、肯尼亚、菲律宾、前南斯拉夫的马其顿共和国、阿拉伯联合酋长国和也门。2009年提交建立信任措施资料的五个缔约国，在2010年尚未提交。附件三(仅有英文本)列出了这些措施资料的提交情况，并按照每一种建立信任措施的表格详细列出了提交的国家，同时附有图表和其他信息。

21. 一个缔约国要求不将其提交的建立信任措施资料公布在网站的非公开区域。这一资料的纸印本将在缔约国会议期间印发。其余69份资料公布在网站的非公开区域。好几个缔约国向支助股询问，为透明起见，它们的建立信任措施是否也可以公布在支助股网站的公开区域。到目前为止，已有十四份建立信任措施文件被放在网站的建立信任措施板块的公开区域(这些文件也在非公开区域提供)。

22. 2010年期间，支助股向大约10个缔约国提供了日常的行政协助和关于参加建立信任措施的咨询服务。七个缔约国正式要求获得有关建立信任措施的资料，以及填写表格方面的支持。这些请求转交给支持《生物武器公约》欧洲联盟联合行动。支助组和欧盟国家专家合作开展了两次访问，促进参与建立信任措施。支助股还获知在向缔约国提供援助方面采取了一些双边主动行动，以使这些国家能够参与建立信任措施。

23. 根据第六次审查会议的决定，支助股股长于 2010 年 1 月 15 日致函各缔约国的常驻代表团和国家联络点，提醒他们按照资料交换程序提交资料的截止期限是 4 月 15 日。

五. 促进普遍加入

24. 支助股为主席开展促进普遍加入的活动提供了支持，筹备并参加主席与《公约》非缔约国代表的会议。支助股在其参加的许多研讨会和活动中促进普遍加入《公约》(见附件一，仅有英文本)，尤其是在给驻日内瓦外交官开的简报会和在尼日利亚阿布贾举行的研讨会上。支助股还向几个签署国和非缔约国提供了介绍《公约》的资料和咨询意见。随着不断获得新的资料，支助股在其网站的非公开区域汇集并公布了促进普遍加入方面的进展情况。

25. 关于这些活动的进一步详情以及目前为止取得的成果，见主席《关于促进普遍加入活动的报告》(BWC/MSP/2010/4)。

六. 结论和建议

26. 在投入运作的第四年，支助股在其任务的几个关键要求方面，巩固并取得了进一步进展。支助股为参加闭会期间工作的资助申请提供信息交换服务，取得了一些进展，九名与会者获得了资助，得以参加专家会议，还有五名与会者可望获得资助，参加缔约国会议。支助股注意到，对赞助的需求仍大于可用的资源。赞助款额的增加在很大程度上是缔约国——加拿大所作的努力，支助股认为，通过结构性更强的资助方式有可能进一步提供这种援助。

27. 支助股目前仅有三名全职工作人员，活动能力十分有限。2010 年由于人手不足，不得不谢绝了许多相关研讨会和其他活动发出的邀请。一些开展宣传和信息交换活动也只得推迟或削减。由于缺乏专门的行政支持，支助股的活动进一步受到限制。缔约国在为 2011 年第七次审议大会作准备时不妨考虑到这些因素。

Annex I

[ENGLISH ONLY]

Meetings and events attended by the Implementation Support Unit

The following meetings and events were attended by representatives of the Implementation Support Unit. Further details on these events, including copies of ISU presentations, are available from the ISU website, <http://www.unog.ch/bwc/isu>.

1. On 28 January 2010, the ISU participated in The Geneva Forum orientation *Working on Disarmament and Arms Control in Geneva: An Orientation for Diplomats*. The ISU gave a presentation on the Biological Weapons Convention.
2. The ISU participated in the event *Combating the Global Biological Threat* held in Wilton Park, United Kingdom on 1-3 February 2010. The event was hosted by the G-8 Global Partnership. The ISU gave a presentation on *Strengthening the Biological Non-Proliferation Regime*.
3. From 2-4 February 2010, the ISU participated in the Africa Regional Workshop for Biosafety and Biosecurity, in Nairobi, Kenya. The ISU gave a presentation entitled the *Biological Weapons Convention: An Integrated Approach*.
4. The ISU participated in the event *The Invisible Enemy – What Would Clausewitz say about Biosecurity*, in Mainz, Germany on 6 February 2010. The ISU gave a presentation on *The Biological Weapons Convention: Banning the Hostile Use of Biology*.
5. On 8 February 2010, the ISU met with local representatives of the African Union in Geneva. The ISU gave a presentation on the Biological Weapons Convention.
6. From 15-17 February 2010 the ISU took part in the workshop *Biosafety and Biosecurity: Implementing the Recommendations relevant to the BWC* in Kiev, Ukraine. The ISU gave a presentation on *The Biological Weapons Convention: Building a Community to Secure Biology*.
7. From 14-18 February 2010, the ISU participated in the Second South Asian Regional International Humanitarian Law Conference *From Law to Action - IHL Implementing Legislation*, in Dhaka, Bangladesh. The ISU gave a presentation on the Biological Weapons Convention.
8. From 18-19 March 2010, the ISU took part in a workshop entitled *Beyond Entry-into-Force of the African Nuclear-Weapon-Free Zone Treaty (Treaty of Pelindaba): Establishing the African Commission on Nuclear Energy (AFCONe): an Experts Workshop* in Pretoria, South Africa. The ISU was invited to make a presentation on the Unit, how it operates, its mandate and functions.
9. A member of the ISU delivered a presentation on *Why Secure Synthetic Biology* at the *Synthetic Biology Workshop: From Science to Governance* hosted by the European Commission Directorate for Health in Brussels, Belgium from 18-19 March 2010.
10. A member of the ISU delivered a keynote address to the UNICRI event *Synthetic Biology and Nanobiotechnology Risk and Response Assessment: Risks* held in Turin, Italy from 24-25 March.

11. From 7-8 April 2010, the ISU participated in the event *Negotiating the Future of Space Security* held at the Chateau de Bossey in Geneva, Switzerland. The ISU gave a presentation on *The Evolution of the Biological Weapons Convention*.

12. From 8-9 April 2010, the ISU participated in *The Global Challenge of Biocontrols* organized by UNIDIR and the University of Bath (UK) in Geneva, Switzerland. The ISU gave a presentation on *The Biological Weapons Convention and Biological Controls*.

13. From 13-14 April 2010, the ISU participated in the *Second Meeting of the Joint FAO/OIE Committee on Global Rinderpest Eradication*, in Paris, France. A member of the Unit gave a presentation on *The Biological Weapons Convention and the Sequestration of Agents*.

14. From 14-15 April 2010, the ISU participated in the *Counter Terrorism Expo 2010: Counter Terrorism in a Changed World* in London, United Kingdom. The ISU gave a presentation on *The Biological Weapons Convention and International Collaboration to Deal with Biological Weapon Threats*.

15. From 17-18 April 2010, the ISU participated in the conference *International Disarmament and Non-Proliferation: World Security without Weapons of Mass Destruction* in Tehran, Islamic Republic of Iran. The ISU gave a presentation on *Reducing the Threat of Biological Weapons in the 21st Century: The Network Approach*.

16. On 20 April 2010, the ISU briefed a delegation from the national authority of the United Arab Emirates on the Convention and national implementation issues, including export controls.

17. From 21-22 April 2010, the ISU participated in the *Fourth Round Table Meeting on Knowledge Management System on CBRN Trafficking*. The event was hosted by UNICRI in Turin, Italy.

18. From 26-27 April 2010, the ISU participated in the workshop *Options and Proposals to Strengthen the Confidence Building Measures Mechanism of the Biological Weapons Convention in Berlin*, Germany. The event was organized by the Geneva Forum with the support of the governments of Germany, Norway and Switzerland.

19. The ISU sent a video message participated in a national implementation workshop held in Madagascar from 17-21 May 2010.

20. From 20-21 May 2010, the ISU participated in a strategy workshop for the Environmental Security and Sustainability Program of Green Cross in Geneva, Switzerland. The ISU gave a presentation on *The Biological Weapons Convention and International Biopreparedness*.

21. From 27-28 May 2010, the ISU participated in *Advancing Biosafety Technology and National Legislation in the Asia-Pacific* held by the Asia-Pacific Biosafety Association in Seoul, Republic of Korea. The ISU gave a presentation on *The Biological Weapons Convention: From International Obligations to Effective National Action*.

22. On 27 May 2010, the ISU gave a presentation on the Biological Weapons Convention to the Organisation Internationale de la Francophonie (OIF) in Geneva, Switzerland.

23. From 31 May - 1 June 2010, the ISU participated as an observer in the *CEN Workshop 55 on Guidance on CWA 15793:2008 (Biorisk Management)* in Seoul, Republic of Korea.

24. From 8-9 June 2010, the ISU participated on the *First Roundtable on a Knowledge Management System on CBRN Trafficking in North Africa and the Middle East*,

hosted by UNICRI. A member of the ISU gave a presentation on *Biological Risk Management and the Biological Weapons Convention*.

25. On 11 June 2010, the ISU visited the new maximum containment (BSL4) laboratory in Spiez, Switzerland. This visit was hosted by the Permanent Mission of Switzerland to the United Nations Office in Geneva.

26. From 14-17 June 2010, the ISU participated in a United Nations Security Council Resolution 1540 workshop in Split, Croatia and gave a presentation on the BWC.

27. On 14 June 2010, the ISU gave a lecture on the BWC and related issues at the *New Issues in Security Course* (NISC) run by the Geneva Centre for Security Policy (GCSP).

28. From 16-17 June 2010, the ISU participated in a United States Department of State meeting on the International Health Regulations in Washington, DC. The event, *Moving Toward Comprehensive Global Disease Surveillance*, focused on global disease surveillance and health security.

29. From 21-23 June 2010, the ISU participated in the *Second Global Conference of OIE Reference Laboratories and Collaborating Centres* held by the World Organization for Animal Health (OIE) in Paris France. The ISU gave a presentation on the role of the ISU and the BWC.

30. From 28-29 June 2010, the ISU co-hosted with UNICRI *Synthetic Biology and Nanobiotechnology Risk and Response Assessment: Responses* in Geneva, Switzerland. A member of the Unit gave a presentation on *Pax Biologica: Building an International Regime to ensure Biology remains used Safely, Securely and Solely for our Benefit*.

31. On 30 June, the ISU briefed visiting staff and students from Freiburg University on the Biological Weapons Convention.

32. On 20 July 2010, the ISU gave a presentation on the BWC to visiting representatives from the European Commission Joint Research Center, Institute for the Security and Protection of Citizens.

33. From 15-16 July 2010, the ISU participated in *Dual-use Education for Life Scientists: Mapping the Current Global Landscape and Developments* in Bradford, United Kingdom. The event was co-organized by the UK Economic and Social Research Council, the Bradford Disarmament Research Centre, the Japan Society for the Promotion of Science, and the Global Security Research Institute of Keio University, Japan. The ISU gave a presentation on *Bio-Enlightenment: Possibilities for the Development of Education Strategies by BWC States Parties at the Seventh Review Conference*.

34. On 22 July 2010, the ISU participated in the FBI-DIYBio Workshop in Washington DC, USA.

35. On 23 July 2010, the ISU participated in a seminar *Revving up for the 2011 BTWC Five Year Review Conference* hosted by Global Green, USA in Washington DC.

36. From 15-20 Aug 2010, the ISU participated in the *74th Biennial Conference of the International Law Association* in The Hague, Netherlands. The ISU gave a presentation on the Biological Weapons Convention and possible future developments.

37. On 20 August 2010, the ISU co-hosted with the United States a meeting on the *Implementation of the International Health Regulations* in Geneva Switzerland. The meeting brought the various BWC Point of Contacts from around the world to share insights in implementation of the International Health regulation

38. On 25 August 2010, on the margins of the 2010 BWC Meeting of Experts, the ISU co-hosted with the Geneva Forum a workshop focusing on the challenges raised by the developments in synthetic biology.

39. From 30 August to 3 September 2010, the ISU gave a series of presentations in the summer school *Disarmament and Non-Proliferation of Weapons of Mass Destruction in a Changing World* run by the TMC Asser Institute in The Hague, Netherlands.

40. On 30 August, a member of the ISU briefed the United Nations 2010 Disarmament Fellows on the Biological Weapons Convention.

41. Between 31 August 2010 and 1 September 2010, the ISU participated in the FBI-hosted *Synthetic Biology II Workshop: Building Bridges around Biotech* in Boston, Massachusetts, USA. The ISU participated in a panel discussion focusing on international perspectives in synthetic biology and nanobiology.

42. From 24-26 September 2010, the ISU participated in the Wilton Park event *Prospects for the 2011 Review Conference of the Biological and Toxin Weapons Convention*.

43. From 11-15 October, the ISU observed the *Third OPCW Exercise on the Delivery of Assistance* (ASSISTEX III) held in Tunis, Tunisia. The ISU gave a presentation on the Biological Weapons Convention and the UN Secretary-General's Investigation Mechanism.

44. On 13 October 2010, the ISU participated in *The Global Rinderpest Eradication Symposium: Lessons Learned from the Eradication of Rinderpest for controlling other transboundary animal disease* hosted by the Food and Agriculture Organization in Rome, Italy. The ISU was invited to speak on the security implications of Rinderpest eradication and on future prospects of international cooperation between animal health efforts and the BWC.

45. On 15 October 2010, the ISU participated in the *Annual International Symposium on Biosecurity and Biosafety: Future trends and Solutions*, in Milan, Italy. The ISU gave a presentation on *Why the BWC Needs You*.

46. On 19-21 October 2010, the ISU participated in the *Trilateral Forum: Outbreak Response & Bioterrorism Investigation* in Chisinau, Moldova. The ISU gave a presentation on the Biological Weapons Convention and the UN Secretary-General's Investigation Mechanism.

47. From 25-27 October 2010, the ISU participated in the *Regional Workshop on the National Implementation of the Biological Weapons Convention for West and Central Africa*, held in Abuja, Nigeria, co-hosted by Nigeria and the European Union Joint Action in support of the BWC.

48. On 28 October 2010, the ISU participated in an event *Qatar's Commitment toward implementation of the Biological Weapons Convention* hosted by Qatar in Doha. The ISU gave a presentation on *The Biological Weapons Convention: From International Obligations to Effective National Action*.

49. From 31 October – 3 November 2010, the ISU participated in *Trends in Science and Technology Relevant to the Biological and Toxin Weapons Convention* hosted by the InterAcademy Panel on International Issues, the International Union of Microbiological Societies, the International Union of Biochemistry and Molecular Biology, the US National Academies of Science and the Chinese Academies of Science, in Beijing, China. The ISU gave a presentation on the Biological Weapons Convention.

50. From 4-6 November 2010, the ISU co-hosted with China and Canada an international workshop on *Strengthening International Efforts to Prevent the Proliferation of Biological Weapons: The Role of the Biological and Toxin Weapons Convention* in Beijing, China. The ISU gave a presentation on the *Strengths and Weaknesses of the Existing Mechanisms: The BWC and its Evolving Role*.

51. From 5-8 November 2010, the ISU participated in the *International Genetically Engineered Machine Competition* (iGEM). The ISU co-hosted a workshop on biosecurity and contributed to the judging of the human practices aspects of projects.

52. The ISU sent a video message to the IWG-LNCV workshop *Global Networking to Promote Biosecurity and Limit Dual Use Risks* held in Como, Italy, from 12-13 November 2010.

53. The ISU provided a written contribution on its usage of social media for a workshop on web information strategies held at the OPCW in The Hague, Netherlands, on 15 November 2010.

54. From 15-16 November 2010, the ISU participated in a workshop on *Securing Dual Use Expertise: Developing Sustainable Global Engagement and Outreach Programmes* in Como, Italy. The ISU presented on *The BWC: From International to Individual*.

55. From 16-18 November 2010, the ISU took part in INTERPOL's "Bioshield Global TTX" simulation exercise held in Utrecht, Netherlands.

56. On 22 November, the ISU participated in the conference *Improving Global Health, Strengthening Global Security*, hosted by the Center of Biosecurity of the University of Pittsburgh Medical Center in Washington DC, USA. The ISU participated in a panel discussing the BWC and its relation to global health security.

57. From 24-26 November, the ISU participated in the *Workshop on Chemical, Biological, Radiological and Nuclear Terrorism and Maritime Terrorism*, held by the United Nations Office on Drugs and Crime in Barbados. The ISU gave a presentation on the Biological Weapons Convention.

58. From 4-5 December, members of the ISU will attend the 31st Workshop of the Pugwash Study Group in Geneva, Switzerland. The meeting addresses the *Implementation of the Chemical and Biological Weapons Conventions: Getting Ready for the Seventh BWC Review Conference in 2011*.

59. On 7 December, on the margins of the 2010 BWC Meeting of States Parties, the ISU will host a workshop focusing on the security implications of developments in synthetic biology.

60. From 15-16 December, the ISU will participate in a *Workshop on the Implementation of UN Security Council Resolution 1540*, jointly hosted by Austria and UNODA, in Vienna, Austria.

Annex II

[ENGLISH ONLY]

National Points of Contact

The following national points of contact had been nominated to the ISU by November 2008. Full contact details, including telephone numbers and e-mail addresses, are available to States Parties in the restricted area of the ISU website (<http://www.unog.ch/bwc/restricted>).

States Parties

<i>State</i>	<i>Contact</i>
Albania	Mr. Fadil Vucaj Representative of the National Authority of Albania for the CWC Ministry of Defense Tirana Albania
Argentina	Direccion de Seguridad Internacional, Asuntos Nucleares y Espaciales Ministerio de Relaciones Exteriores, Comercio Internacional y Culto Esmeralda 1212 Piso 11 Ciudad Autonoma de Buenos Aires CP 1007 Argentina
Armenia	Mr. Artem Aznaurian Counsellor Arms Control & International Security, Ministry of Foreign Affairs Government Building 2, Republic Square Yerevan 0010 Armenia
Australia	First Assistant Secretary International Security Division, Department of Foreign Affairs and Trade Locked Bag 40 Kingston ACT 2600 Australia
Austria	Mr. Alexander Benedict Department II.8 - Global Disarmament, Arms Control, export Control, Multilateral Atomic Energy Issues and IAEA Federal Ministry of European and International Affairs Minoritenplatz 8 Vienna A-1014

<i>State</i>	<i>Contact</i>
Azerbaijan	Security Affairs Department, Arms Control Division Multilateral Treaties Division, Ministry of Foreign Affairs 4, Sh. Gurbanov Street Baku AZ1009 Azerbaijan
Belgium	Mr. Henri Vantieghem Direction Désarmement, Non-Prolifération et Contrôle de l'Armement, Service Public Fédéral Affaires étrangères Rue des Petits Carmes 15 Bruxelles B 1000 Belgium Mr. Frank Meeussen Direction Désarmement, Non-Prolifération et Contrôle de l'Armement, Service Public Fédéral Affaires étrangères Rue des Petits Carmes 15 Bruxelles B 1000 Belgium
Bhutan	Chief Legal and Treaties Division, Ministry of Foreign Affairs, Royal Government of Bhutan Bhutan
Brazil	Mr. Sérgio Antonio Frazão Araujo Coordinator-General for Sensitive Items Ministry of Science and Technology SAI/SO Area 5 Quadra 3 Bloco F Brasilia – DF 70610-200 Brazil
Bulgaria	Mr. Valentin Dontchev Head of Department, Security Policy Directorate Ministry of Foreign Affairs 2, Alexander Zhendov. St. Sofia 1040 Bulgaria
Burkina Faso	Prof. Abdouramane Barry Head of National Authority Autorité Nationale pour la Convention sur les Armes Chimiques (ANCAC), Ministère des Enseignements Ouagadougou 03 BP 7130 Burkina Faso
Burundi	Mr. Gerard Nirungika Head, Burundi Chemical Weapons Convention National Authority Burundi

<i>State</i>	<i>Contact</i>
Canada	<p>Mr. Andrew Halliday Biological Weapons Policy Analyst Non Proliferation and Disarmament Division (Biological, Chemical and Conventional Weapons), Department of 125 Sussex Drive Ottawa, K1A 0G2 Canada</p> <p>Mr. Louis-Philippe Sylvestre Deputy Director Non Proliferation and Disarmament Division (Biological, Chemical and Conventional Weapons), Department of 125 Sussex Drive Ottawa, K1A 0G2 Canada</p>
China	<p>Ms. Yang Yi Deputy Division Director Department of Arms Control and Disarmament, Ministry of Foreign Affairs No. 2 Chao Yang Men Nan Da Jie Beijing, 100701 China</p>
Colombia	<p>Mr. Jose Nicolas Rivas Zubiria Director Multilateral Political Affairs Ministry of Foreign Affairs Calle 10 No. 5-51 Bogota Colombia</p>
Croatia	<p>Ms. Ivana Derek Ministry of Foreign Affairs and European Integration Croatia</p>
Cuba	<p>Mr. Juan C. Menendez de San Pedro Lopez Director del Centro Nacional de Seguridad Biologica (CNSB) Ministerio De Ciencia, Tecnologia Y Medio Ambiente (CITMA) Calle 28 No. 502 e/5ta y 7ma Ave. Miramar. Playa La Habana 11 300 Cuba</p> <p>Mr. Rudolfo Reyes Rodriguez Director de Asuntos Multilaterales Ministerio de Relaciones Exteriores Calle Calzada #360 e/ G y H. Vedado Plaza de la Revolucion La Habana 10 400 Cuba</p>

<i>State</i>	<i>Contact</i>
Cyprus	Mr. Panayiotis Papadopoulos Political Affairs Division - Multilateral relations Ministry of Foreign Affairs Cyprus
Czech Republic	Dr. Ladislav Bartak Director, Department for the Control of Non-Proliferation of WMD, State Office for Nuclear Safety Senovazne nam. 9 Praha 110 00 Czech Republic
Denmark	Mr. Casper Nervil Head of Section, Department of Security Policy Royal Danish Ministry of Foreign Affairs Office 6 a 67, Asiatisk Plads Copenhagen 21448 Denmark
Ecuador	Ms. Fanny De Lourdes Puma Puma Director General for Multilateral Policies and International Specialized Organizations Ministry of Foreign Affairs Calle Carrion Y Av. 10 de Agosto Quito Ecuador
Estonia	Department of International Organizations and Security Policy Ministry of Foreign Affairs Islandi valjak 1 Tallinn 15049 Estonia
Finland	Ms. Marja Rosvall First Secretary, Ministry for Foreign Affairs Unit for Arms Control, Disarmament and Non-Proliferation P.O.Box 420 Helsinki FI-00023 Finland
France	Ms. Marie-Gaëlle Robles Sous-direction des questions multilatérales et du désarmement Ministry of Foreign and European Affairs 37 Quai d'Orsay, 07 SP Paris 75 700 France

<i>State</i>	<i>Contact</i>
Georgia	Ms. Lela Bankanidze Deputy Head, Especially Dangerous Infections Department National Center for Disease Control and Public Health 9, M. Asatiani St. Tbilisi 0177 Georgia
Germany	Mr. Alexander Olbrich Head of BW Division Federal Foreign Office Werderscher Markt 1 Berlin 10117 Germany
Greece	Director of the WMD Disarmament Section D1 Directorate for UN and International Organizations, Hellenic Ministry of Foreign Affairs Academias 3 Street Athens 10027 Greece
Holy See	Monsignor Silvano M. Tomasi Permanent Observer for the Holy See Permanent Observer Mission of the Holy See to the United Nations Office and other International Organizations in Chemin du Vengeron 16 P.O. Box 28 Chambésy 1292 Switzerland
Iceland	Mr. Peter Thorsteinsson Head, Arms Control and Disarmament Ministry of Foreign Affairs Raudararstig 25 Reykjavik 150 Iceland
India	Joint Secretary (Disarmament and International Security Affairs) Ministry of External Affairs South Block New Delhi India

<i>State</i>	<i>Contact</i>
Indonesia	<p>Mr. Andy Rachmianto Deputy Director, Directorate of International Security and Disarmament, Department of Foreign Affairs JI, Taman Pejambon no.6 Jakarta 10110 Indonesia</p> <p>Directorate of International Security and Disarmament, Department of Foreign Affairs JI, Taman Pejambon no.6 Jakarta 10110 Indonesia</p> <p>Dr. Desra Percaya Director, Directorate of International Security and Disarmament, Department of Foreign Affairs JI, Taman Pejambon no.6 Jakarta 10110 Indonesia</p>
Iran (Islamic Republic of)	<p>Department for Disarmament and International Security Affairs, Ministry of Foreign Affairs Tehran Iran</p>
Iraq	<p>Mr. Sinan Abdul Hasan Mohi Head of the Biology Department Iraqi National Monitoring Directorate Baghdad Iraq</p>
Ireland	<p>Ms. Alma Ni Choigligh Deputy Director, Disarmament and Non-Proliferation Section Department of Foreign Affairs 80 St. Stephens Green Dublin</p>
Italy	<p>Amb. Giovanni Manfredi Permanent Mission of Italy to the Conference on Disarmament Chemin de l'Impératrice 10 Pregny 1292 Switzerland</p> <p>Ms. Nicoletta Piccirillo First Secretary Permanent Mission of Italy to the Conference on Disarmament Chemin de l'Impératrice 10 Pregny 1292 Switzerland</p>

<i>State</i>	<i>Contact</i>
Japan	Mr. Kiichiro Iwase Officer, Biological and Chemical Weapons Conventions Division, Disarmament, Non-Proliferation and Science Department, Ministry of Foreign Affairs 2-2-1 Kasumigaseki, Chiyoda-ku Tokyo 100-8919 Japan
Kenya	Prof. Shaukat A. Abdulrazak Executive Secretary National Council for Science and Technology Old Treasury Building, Harambee Avenue P.O. Box 30551 Nairobi Kenya Roselida A. Awuor Senior Science Secretary National Council for Science and Technology Old Treasury Building, Harambee Avenue P.O. Box 30551 Nairobi Kenya
Kuwait	Ms. Jenan Al-Shayije Supervisor Ministry of Defence Kuwait
Kyrgyzstan	Mr. Taalaibek Bektashev Head of Customs Policy, Non-Tariff regulation and Export Control Department Ministry of Economic Development and Trade 106 Chui avenue Bishkek city, 720002 Kyrgyz Republic
Libyan Arab Jamahiriya	Dr. Mohamed Mansour Sharif Chairman of LNCBB Libyan National Committee for Bioethics Omar Al-Mokhtar Tripoli 82898 Libyan Arab Jamahiriya
Liechtenstein	Ms. Esther Schindler Office for Foreign Affairs Heiligkreuz 14 Vaduz 9490 Liechtenstein

<i>State</i>	<i>Contact</i>
Lithuania	<p>Mr. Martynas Lukosevicius Attache, Arms Control, non-Proliferation and Disarmament Division Security Policy Department, Ministry of Foreign Affairs J. Tumo-Vaizganto Str. 2 Vilnius LT-01511 Lithuania</p> <p>Major Algimantas Kutanovas Chief Ecologist Lithuanian Armed Forces, Ministry of National Defence Vilnius Lithuania</p> <p>Captain Eugenijus Minkevicius Chief Officer Defence Staff J4 (Logistics), Ministry of National Defence Vilnius Lithuania</p> <p>Ms. Aukse Bankauskaite-Miliauskiene Chief Officer Health Emergency Situations Center, Ministry of Health Vilnius Lithuania</p>
Madagascar	<p>Ms. Angeline Mohajy Minister Plenipotentiary / Director of Multilateral Cooperation Ministry of Foreign Affairs Antananarivo 836 Madagascar</p> <p>Mr. Jean Bosco Randrianjara National Assembly Madagascar</p>
Malta	<p>Mr. Clint Borg Disarmament Unit, Ministry of Foreign Affairs Palazzo Parisio, Merchant's Street Valetta Malta</p>
Mexico	<p>Direccion de Autoridad Nacional Mexico, Comité Especializado de Alto Nivel en materia de Desarme, Terrorismo y Abraham Gonzalez No. 48, Edificio L, Segundo Piso, Colonia Juarez, Delegacion Cuauhtemoc C.P. 06600 Mexico, D.F.</p>

<i>State</i>	<i>Contact</i>
Morocco	Mr. Naser Bourita Director, United Nations and International Organizations Ministry of Foreign Affairs and Cooperation Rue Roosevelt, Chellah Rabat 10 000 Morocco
Netherlands	Mr. Vincent Storimans Non-Proliferation and Disarmament Division Department of Security Policy Ministry of Foreign Affairs The Netherlands
New Zealand	Director, International Security and Disarmament Division Ministry of Foreign Affairs and Trade Private Bag 18-901 Wellington New Zealand
Nigeria	Mr. Joseph Adeyemi Chairman, National Authority on Chemical and Biological Weapons Conventions Office of the Secretary to the Government of the Federation 4th Floor, Wing 3B Abuja P.M.B 9 Nigeria
Norway	Mr. Knut Langeland Ambassador for Disarmament Affairs Section for Disarmament and Non-proliferation, Ministry of Foreign Affairs 7. juni pl./ Victoria Terrasse P.O. Box 8114 Dep Oslo NO-0032 Norway
Oman	Mr. Hamed Suleiman Hamed Al Bortomani Chemical Officer SO2 NBC, Ministry of Defence P.O. Box 2919 Muscat 111 Oman
Pakistan	Mr. Qazi M. Khalilullah Director General (Disarmament) Ministry of Foreign Affairs Islamabad Pakistan

<i>State</i>	<i>Contact</i>
Palau	Dr. Victor Yano Minister of Health P.O. Box 100 Melekeok 96939 Palau
Poland	Mr. Lukasz Zielinski Head, Division for Non-Proliferation of WMD Security Policy Department Ministry of Foreign Affairs Poland
Portugal	Mr. Filipe Ramalheira Department for Security & Defence Ministry of Foreign Affairs Largo do Rilvas Lisbon Portugal
Qatar	Lieutenant Major (Air) Hassan Saleh Hassan Al-Nisf Secretary National Committee for the Prohibition of Weapons Ministry of Defence PO Box: 25777 Doha The State of Qatar
Republic of Korea	Mr. Haesun Shin Second Secretary Disarmament and Nonproliferation Division, Ministry of Foreign Affairs and Trade 37 Sejong-ro Jongno-gu Seoul Republic of Korea Ms. Seyoung Jang Disarmament and Nonproliferation Division, Ministry of Foreign Affairs and Trade 37 Sejong-ro Jongno-gu Seoul Republic of Korea
Romania	Mr. Nineta Barbulescu Counsellor Non-Proliferation, Arms Control and Combatting Terrorism Office Ministry of Foreign Affairs Romania

<i>State</i>	<i>Contact</i>
Russian Federation	<p>Mr. Victor Kholstov Director, Department for the Implementation of the Conventions Ministry of Trade and Industry 7 Kitaigorodsky Proezd Moscow 109074 Russian Federation</p> <p>Mrs. Elena Rodyushkina Deputy Director, Department for the Implementation of the Conventions Ministry of Trade and Industry 7 Kitaigorodsky Proezd Moscow 109074 Russian Federation</p>
Slovakia	<p>Dr. Cyril Klement Public Health Authority of Slovakia, Regional Office in Banska Bystrica, Ministry of Health of the Slovak Republic Cesta k nemocnici 1 Banska Bystrica 975 56 Slovak Republic</p>
Slovenia	<p>Ms. Irina Gorsic Counsellor, Security Policy Division Ministry of Foreign Affairs Presernova 25 Ljubljana SI-1000 Slovenia</p>
South Africa	<p>Mr. Daan van Beek Non-Proliferation Secretariat, South African Council for the Non-Proliferation of Weapons of Mass Destruction Private Bag X84 Pretoria 0001 South Africa</p>
Spain	<p>Mr. LuisGomez Nogueira Head of Disarmament Unit Ministry of Foreign Affairs and Cooperation Serrano Galvache, 26 Madrid 28071 Spain</p>
Sweden	<p>Mr. Ronnie Nilsson Senior Adviser Department for Disarmament and Non-Proliferation, Ministry for Foreign Affairs Stockholm 10339 Sweden</p>

<i>State</i>	<i>Contact</i>
Switzerland	Ms. Riccarda Torriani Arms Control and Disarmament Section, Political Secretariat, Federal Department of Foreign Affairs Bernastrasse 28 Bern 3003 Switzerland
Turkey	WMD Section, Department of Arms Control and Disarmament, Ministry of Foreign Affairs Balgat Ankara 06100 Turkey
United Kingdom of Great Britain and Northern Ireland	Mr. Valentine Madojemu Desk Officer for BWC and CTBT CBW Section, Counter Proliferation Department Foreign and Commonwealth Office London SW1A 2AH United Kingdom
United States of America	Director, Office of Chemical and Biological Weapons Threat Reduction, Bureau of International Security and United States Department of State 2201 C Street, NW, Room 2803 Washington D.C. 20520 United States of America
Uzbekistan	Mr. Kholmatov Ilkhom Deputy-Chairman The Commission of the Cabinet of Ministers of the Republic of Uzbekistan on the Prohibition of Biological Weapons 27, C-14 Tashkent 700011 Uzbekistan
Venezuela (Bolivarian republic of)	Office of the Vice Minister for North America and Multilateral Affairs, Ministry of Popular Power for External Relations Av. Urdaneta, Tower MRE Caracas Venezuela
Yemen	Ambassador Mohy A. Al-Dhabbi Chairman of the National Committee Ministry of Foreign Affairs Sana'a Yemen Abdulkarim Alkohali Deputy Chairman of the National Committee Ministry of Foreign Affairs Sana'a Yemen

<i>State</i>	<i>Contact</i>
Zambia	Mr. Leonard Mumba Senior Chemist Mines & Minerals Department 50135 Lusaka Zambia

Signatories

<i>State</i>	<i>Contact</i>
Burundi	Mr. Gerard Nirungika Head, Burundi Chemical Weapons Convention National Authority
Liberia	Mr. Joseph Jallah Lawyer Ministry of Justice Ashmun & Center Street Monrovia Liberia
Malawi	Mr. John. A. J. Chikalimba Chairperson of Defence and Security and Member of Budget & Finance Malawi National Assembly / Parliament Offices Chief M'Mbelwa House, Private Bag B362, Lilongwe 3 244 Zomba Lilongwe 3 Malawi
United Republic of Tanzania	Ms. Irene. F Mkwawa - Kasyanju Principal Foreign Service Officer Legal Affairs / Ministry of Foreign Affairs & International Cooperation Kivukoni Front 9000 Dar es Salam Tanzania

States not party

<i>State</i>	<i>Contact</i>
Cameroon	Ms. Pauline Essome Siliki Magistrate Ministry of Justice/ Legislation Division Cameroon
Micronesia (Federated States of)	Mr. Paliknoa K. Welly Chairman Committee on External Affairs Palikir, PS 3 Pohnpei FM 96941 Federated States of Micronesia
Mozambique	Mr. Cristiano Dos Santos Director for Legal and Consular Affairs Division - DAJC Ministry of Foreign Affairs and Cooperation
Namibia	Mr. Letta N. Hangala Foreign Relations Officer Multilateral Affairs Department/ Ministry of Foreign Affairs Private Bag 13347 Windhoek Namibia Mr. Jeremia Nambinga Member of Parliament National Assembly Private Bag 13323 Windhoek Namibia

Regional organizations

<i>State</i>	<i>Contact</i>
European Union	Mr. Bruno Hanses Counsellor EU Council Secretariat Liaison Office in Geneva Rue du Grand Pre, 64 Geneva 1202 Switzerland

Annex III

[ENGLISH ONLY]

Report on participation in the confidence-building measures

I. Provisional summary of participation in 2010³

Key: D = declaration submitted; ND = nothing to declare; NN = nothing new to declare

		CBM Form								
State Party		A1	A2	B	C	D	E	F	G	Additional Information
1.	Albania	ND	ND	ND	ND	ND	ND	ND	ND	-
2.	Argentina	D	ND	D	D	D	ND	ND	D	-
3.	Armenia	NN	NN	NN	NN	NN	NN	NN	NN	-
4.	Australia	D	D	D	D	D	D	D	D	-
5.	Bangladesh	ND	ND	ND	ND	ND	ND	ND	ND	-
6.	Belarus	D	NN	D	0	0	0	0	0	-
7.	Austria	NN	NN	ND	ND	ND	NN	NN	ND	-
8.	Azerbaijan	D	ND	ND	ND	ND	D	ND	ND	-
9.	Belgium	ND	D	D	D	D	NN	ND	D	-
10.	Bhutan	ND	ND	ND	ND	ND	ND	ND	ND	-
11.	Brazil	NN	NN	D	ND	ND	D	NN	NN	-
12.	Bulgaria	D	NN	D	ND	D	D	NN	D	-
13.	Canada	D	D	D	D	D	D	D	D	-
14.	Chile	D	ND	D	D	D	D	ND	D	-
15.	China	D	D	D	D	NN	D	NN	D	-
16.	Croatia	NN	NN	D	NN	D	D	D	D	-
17.	Cuba	D	ND	D	D	D	D	ND	0	-
18.	Czech Republic	NN	D	D	D	D	D	NN	NN	-
19.	Denmark	D	D	D	ND	ND	D	NN	NN	-
20.	Estonia	ND	ND	ND	ND	ND	ND	ND	ND	-
21.	Finland	D	D	D	D	D	NN	ND	NN	-

³ As of 15 November 2010. Any subsequent submissions in 2010 will be included in an updated final summary table in the 2011 ISU report.

		CBM Form								Additional Information
State Party		A1	A2	B	C	D	E	F	G	
22.	France	NN	D	D	D	D	D	NN	D	-
23.	Georgia	D	D	D	D	D	D	D	ND	-
24.	Germany	D	D	D	D	ND	D	NN	D	-
25.	Hungary	D	ND	D	D	ND	D	ND	D	-
26.	Indonesia	ND	D	D	NN	D	D	ND	NN	-
27.	Iran (Islamic Republic of)	NN	NN	ND	NN	NN	NN	NN	NN	-
28.	Iraq	NN	NN	NN	NN	NN	NN	NN	NN	-
29.	Ireland	D	D	D	D	D	D	D	D	-
30.	Italy	D	D	D	D	D	D	D	D	-
31.	Japan	NN	D	D	NN	NN	D	NN	D	-
32.	Kenya	ND	ND	D	ND	D	ND	ND	ND	-
33.	Latvia	-	-	D	-	-	-	-	-	-
34.	Lebanon	-	-	-	-	-	-	-	-	D
35.	Libyan Arab Jamahiriya	ND	ND	ND	ND	ND	ND	ND	ND	-
36.	Liechtenstein	NN	NN	D	NN	NN	NN	NN	NN	-
37.	Lithuania	D	ND	D	ND	ND	NN	ND	NN	-
38.	Malaysia	D	ND	D	D	ND	D	ND	D	-
39.	Malta	D	ND	D	ND	ND	ND	ND	ND	-
40.	Mexico	D	D	ND	ND	D	NN	NN	NN	-
41.	Morocco	D	ND	D	-	-	D	D	D	-
42.	Netherlands	D	NN	D	D	D	D	NN	D	-
43.	New Zealand	D	D	D	D	-	D	-	D	D
44.	Norway	NN	NN	ND	NN	NN	NN	NN	D	-
45.	Philippines	D	-	D	D	D	D	-	D	-
46.	Poland	D	D	D	D	D	D	ND	ND	-
47.	Portugal	NN	ND	ND	ND	ND	ND	ND	ND	-
48.	Qatar	ND	ND	D	ND	ND	ND	ND	ND	-
49.	Republic of Korea	D	D	D	D	D	D	ND	D	-
50.	Republic of Moldova	ND	ND	D	ND	ND	NN	ND	ND	-
51.	Romania	NN	NN	D	D	NN	D	ND	D	-
52.	Russian Federation	D	NN	ND	D	D	D	NN	D	-
53.	Senegal	ND	ND	ND	ND	ND	ND	ND	ND	-
54.	Serbia	NN	NN	NN	NN	NN	NN	NN	NN	-

		<i>CBM Form</i>								<i>Additional Information</i>
<i>State Party</i>		<i>A1</i>	<i>A2</i>	<i>B</i>	<i>C</i>	<i>D</i>	<i>E</i>	<i>F</i>	<i>G</i>	
55.	Slovakia	NN	ND	D	NN	D	NN	NN	NN	-
56.	Slovenia	ND	ND	ND	ND	ND	ND	ND	ND	-
57.	South Africa	NN	NN	D	NN	NN	D	NN	NN	-
58.	Spain	D	D	D	ND	D	D	ND	NN	-
59.	Sweden	D	D	D	D	D	D	NN	NN	-
60.	Switzerland	D	D	D	D	D	D	NN	D	-
61.	Thailand	NN	-	NN	NN	NN	NN	NN	NN	-
62.	The former Yugoslav Republic of Macedonia	ND	ND	ND	ND	ND	D	ND	ND	-
63.	Tunisia	ND	ND	ND	ND	ND	ND	ND	ND	-
64.	Turkey	D	D	D	ND	ND	D	D	D	-
65.	Ukraine	D	NN	NN	D	D	NN	NN	D	-
66.	United Arab Emirates	ND	ND	ND	ND	ND	ND	ND	ND	-
67.	United Kingdom of Great Britain and Northern Ireland	D	D	D	D	D	D	NN	D	-
68.	United States of America	D	D	D	D	D	D	NN	D	-
69.	Uzbekistan	NN	NN	NN	NN	NN	NN	NN	NN	-
70.	Yemen	ND	ND	D	ND	D	ND	ND	ND	-

II. Final summary of participation in 2009⁴

Key: D = declaration submitted; ND = nothing to declare; NN = nothing new to declare

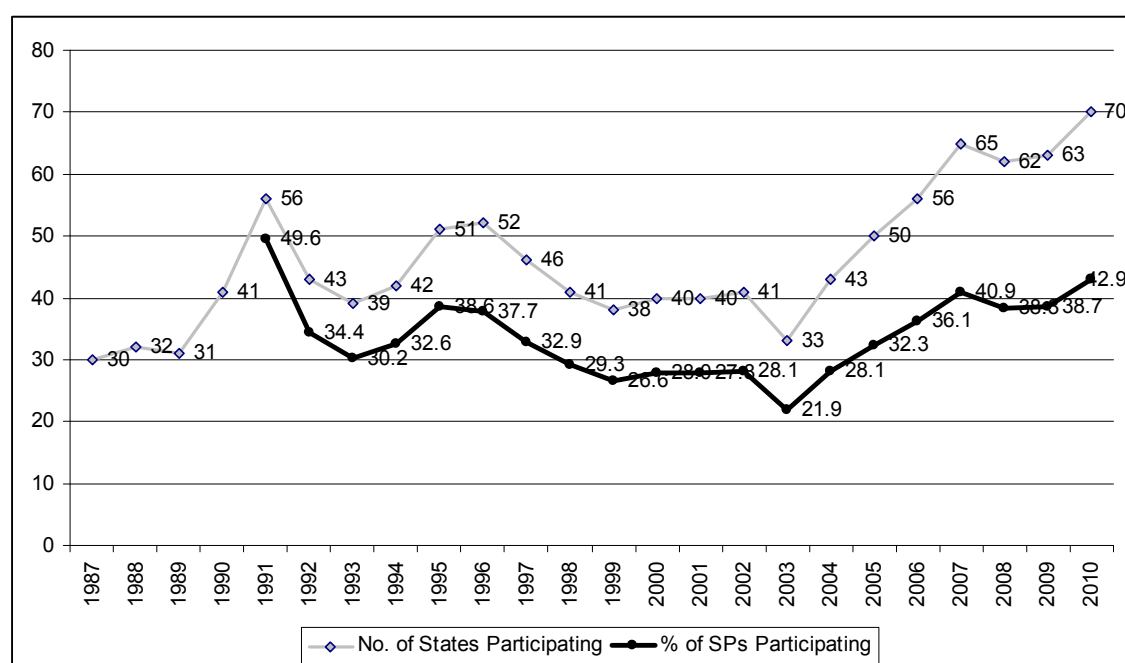
State Party	CBM Form								Additional Information
	A1	A2	B	C	D	E	F	G	
1. Argentina	D	ND	D	D	D	ND	ND	D	-
2. Armenia	NN	NN	NN	NN	NN	NN	NN	NN	-
3. Australia	D	D	D	D	D	D	D	D	-
4. Austria	NN	NN	ND	ND	ND	NN	NN	D	-
5. Azerbaijan	D	-	-	-	-	D	-	D	-
6. Bangladesh	ND	ND	ND	ND	ND	ND	ND	ND	D
7. Belarus	D	NN	D	NN	ND	D	ND	ND	-
8. Belgium	-	D	-	D	D	D	-	D	-
9. Brunei Darussalam	-	-	-	-	-	-	D	-	-
10. Bulgaria	D	D	D	ND	D	D	NN	NN	-
11. Canada	D	D	D	D	D	D	D	D	-
12. Chile	D	N	NN	N	N	D	N	N	-
13. China	D	D	D	D	NN	D	NN	D	-
14. Croatia	NN	NN	D	NN	D	D	D	D	-
15. Cuba	D	ND	D	D	D	D	ND	D	-
16. Cyprus	D	D	D	D	D	D	D	D	-
17. Czech Republic	NN	NN	D	D	D	NN	NN	NN	-
18. Denmark	D	D	D	ND	ND	D	NN	NN	-
19. Estonia	ND	ND	D	ND	ND	NN	ND	ND	-
20. Finland	D	D	D	D	D	NN	ND	NN	-
21. France	D	D	D	D	D	NN	NN	NN	-
22. Gambia	ND	ND	ND	ND	ND	ND	ND	ND	-
23. Georgia	D	D	D	D	-	D	D	ND	-
24. Germany	D	D	D	D	D	D	NN	D	-
25. Greece	D	D	D	D	D	D	D	D	-
26. Hungary	D	ND	D	D	ND	D	ND	D	-

⁴ Updated from the provisional summary in the 2009 ISU report (BWC/MSP/2009/2, Annex II) and its addendum (BWC/MSP/2009/2/Add.1)

		CBM Form								Additional Information
State Party		A1	A2	B	C	D	E	F	G	
27.	India	D	D	D	NN	NN	D	D	D	-
28.	Indonesia	D	ND	D	NN	D	NN	ND	NN	-
29.	Iran (Islamic Republic of)	NN	NN	D	NN	NN	NN	NN	NN	-
30.	Iraq	ND	ND	D	ND	ND	D	ND	D	-
31.	Ireland	D	D	D	D	D	D	D	D	-
32.	Italy	D	D	D	D	D	D	D	D	-
33.	Japan	NN	D	D	NN	NN	D	NN	D	-
34.	Jordan	ND	ND	ND	ND	ND	ND	ND	ND	
35.	Latvia	ND	ND	D	-	-	-	-	-	-
36.	Lebanon	-	-	-	-	-	-	-	-	D
37.	Liechtenstein	NN	NN	D	NN	NN	NN	NN	NN	-
38.	Lithuania	D	ND	D	ND	ND	NN	ND	NN	-
39.	Malta	NN	NN	D	NN	NN	NN	NN	NN	-
40.	Mexico	D	D	ND	ND	D	ND	ND	NN	-
41.	Morocco	D	D	D	-	-	D	D	D	-
42.	Netherlands	D	D	D	D	D	D	D	D	-
43.	New Zealand	D	D	D	D	D	D	D	D	D
44.	Norway	NN	NN	ND	NN	NN	NN	NN	D	-
45.	Poland	D	NN	D	NN	NN	D	ND	ND	-
46.	Portugal	D	D	D	ND	ND	NN	NN	D	-
47.	Qatar	ND	ND	ND	ND	ND	ND	ND	ND	-
48.	Republic of Korea	D	D	D	D	D	D	ND	D	-
49.	Republic of Moldova	-	-	-	-	-	-	-	-	D
50.	Romania	NN	NN	D	D	D	D	ND	D	-
51.	Russian Federation	D	D	ND	D	D	D	NN	D	-
52.	Senegal	ND	ND	ND	ND	ND	ND	ND	ND	-
53.	Serbia	NN	NN	NN	NN	NN	NN	NN	NN	-
54.	Slovakia	NN	ND	D	NN	D	NN	NN	NN	-
55.	Slovenia	ND	ND	ND	ND	ND	ND	ND	ND	-
56.	South Africa	NN	D	D	NN	NN	NN	NN	NN	-
57.	Spain	D	D	D	ND	D	NN	ND	NN	-
58.	Sweden	D	D	D	D	-	D	D	D	-
59.	Switzerland	NN	D	D	NN	D	NN	NN	NN	-

		CBM Form								
State Party		A1	A2	B	C	D	E	F	G	Additional Information
60.	Tunisia	-	-	-	-	-	-	-	-	D
61.	Turkey	D	NN	D	NN	D	D	NN	D	-
62.	United Kingdom of Great Britain and Northern Ireland	D	D	D	NN	D	D	NN	D	-
63.	United States of America	D	D	D	D	D	NN	NN	D	-
64.	Uzbekistan	NN	NN	NN	NN	NN	NN	NN	NN	-

III. Participation over time

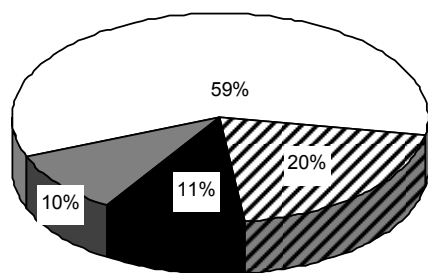


Numbers and percentages of States Parties participating in the BWC confidence-building measures since their introduction in 1987.

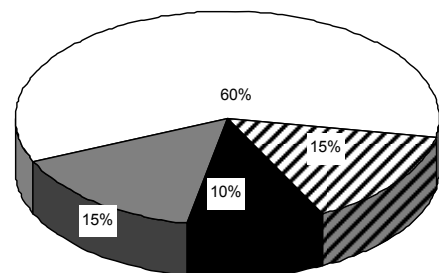
IV. Participation in 2010 by form

The following charts show the rates of participation for 2010 in each of the CBM forms:

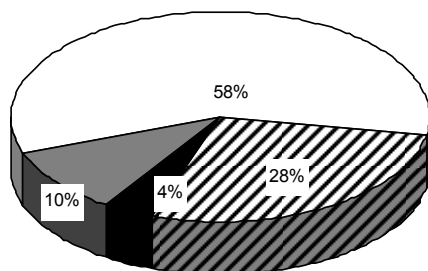
- ▨ Declaration Submitted
- Nothing New to Declare
- Nothing to Declare
- No Information Provided



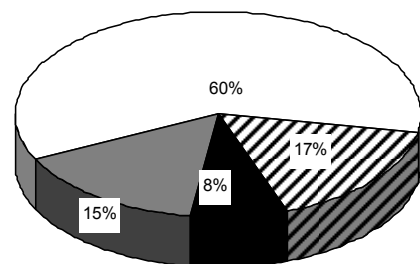
BWC CBM Returns for Form A1 in 2010



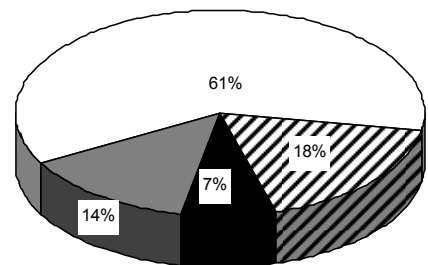
BWC CBM Returns for Form A2 in 2010



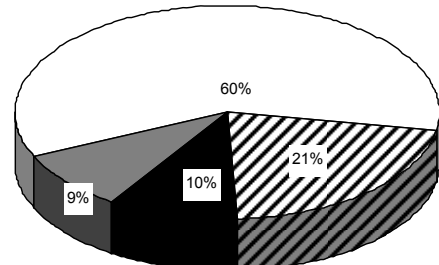
BWC CBM Returns for Form B in 2010



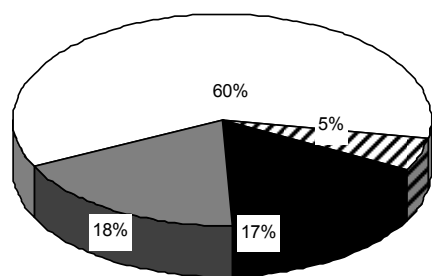
BWC CBM Returns for Form C in 2010



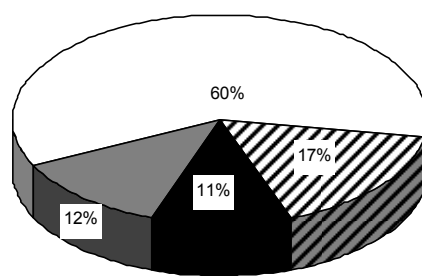
BWC CBM Returns for Form D in 2010



BWC CBM Returns for Form E in 2010



BWC CBM Returns for Form F in 2010



BWC CBM Returns for Form G in 2010