

《关于禁止发展、生产和储存细菌(生物)
及毒素武器和销毁此种武器的公约》
缔约国第七次审查会议

23 November 2011
Chinese
Original: English

2011 年 12 月 5 日至 22 日，日内瓦
临时议程项目 12
第六次审查会议建议和决定的后续工作和
《公约》的今后审查问题

执行支助股 2011 年报告

执行支助股提交*

概要

本报告叙述执行支助股(支助股)为执行第六次审查会议规定的任务在 2011 年开展的活动，第六次审查会议要求执行支助股在《公约》的管理和全面执行、促进《公约》的普遍加入、交流建立信任措施等方面向缔约国提供支助。审查会议决定，执行支助股“每年向所有缔约国提交一份简要的书面报告，介绍其活动”(BWC/CONF.VI/6, 第三部分，第 6 段)。由于本报告是支助股本授权的最后报告，因此最后有一节反映支助股五年的业务情况。

一. 导言

1. 2011 年，执行支助股继续根据第六次审查会议的决定和建议(BWC/CONF.VI/6)开展了活动。支助股由《公约》缔约国会议提供经费，设在联合国裁军事务厅日内瓦办事处内，由该办事处提供行政便利。支助股有三名工作人员：支助股股长 Richard Lennane 先生、政治事务干事 Piers Millett 博士和政治事务助理干事 Ngoc Phuong Huynh 女士。2011 年 5 月至 8 月及 2011 年 9 月至 12 月，支助股还分别得到实习生 Patrick Gahr 先生和 Monica Loveley 女士的协助。

* 迟交。

2. 支助股自 2010 年 12 月提交上次报告(BWC/MSP/2010/2)以来开展了下列活动：根据其任务为《公约》提供行政支助；便利《公约》的执行；支持建立信任措施；以及协助主席和缔约国开展促进普遍加入《公约》的工作。

3. 如 2010 年报告所详细介绍的那样，支助股在 2011 年继续使用加拿大提供的自愿捐款，以便利其执行任务，并使用挪威新捐赠的 87,000 美元的自愿捐款。这笔资金用于：赞助缔约国参加筹备委员会和审查会议；资助关于筹备审查会议的非正式研讨会；支持国家执行，包括举办活动和研讨会；出版物和文件编制；以及为支助股参与相关会议和研讨会提供补充资源。

二. 对《公约》的行政支助

4. 支助股是 2011 年《生物武器公约》会议的实务秘书处，为第七次审查会议及其筹备委员会提供服务，并为候任主席的活动提供支持。这些工作包括：起草并印发致各缔约国、国际组织和非政府组织的信函；为候任主席起草讲稿和其他材料；处理会议登记事务；开展研究并起草实质性背景文件；编写会议文件和报告；处理工作文件；以及为候任主席和缔约国提供程序、技术和实质性的咨询意见。

5. 九个缔约国通过支助股请求援助，以便能派代表参加第七次审查会议。支助股能够安排赞助五个缔约国派代表到日内瓦参加审查会议。

6. 支助股继续开发其网站(<http://www.unog.ch/bwc>)，以提高对缔约国的实用性，并更加方便使用。网站是缔约国以及向缔约国传播《公约》相关信息的基本工具。网站及时提供关于会议和有关活动的最新信息、会议的在线登记服务、正式文件、声明、新闻稿、背景材料、其他组织有关活动的信息、实用链接以及成员名单。网站还包括许多由支助股维护的在线工具，包括国家执行数据库(NID)和国家活动汇编(CNA, 见下面的执行一节)。此外，支助股还将在网上直播审查会议的公开会议。

7. 根据第六次审查会议的具体要求，支助股还在网站上维护并进一步开发了一个非公开区域(<http://www.unog.ch/bwc/restricted>)。只有缔约国，并且只有使用用户名和密码登陆后才能访问。该区域提供了各国联络点的详情、获得或提供援助的详细情况、提交的建立信任措施电子文本，以及为促进普遍加入《公约》所作努力的结果。由于日内瓦办事处用于监测网站使用情况的软件有所改变。因此很遗憾不能像支助股以往的报告那样列入受限制区域的使用统计数据。

8. 支助股还继续关注相关的科学和技术发展情况。除了应筹备委员会的请求编写的正式背景资料外，¹ 支助股还继续更新网站上有关科学和技术的内容。这一部分包括支助股在研究中，以及与相关科技领域的各种国际、区域、国家机构的

¹ BWC/CONF.VII/INF.3。

互动过程中获得的信息。支助股继续与若干科学团体合作，加强它们解决双重用途问题的能力。支助股还协调将安全因素纳入国际基因工程机器(iGEM)大赛。支助股一名成员帮助 iGEM 地区大赛以及随后举行的世界锦标赛评判人类的做法部分。支助股还继续与产业集团、科学机构及政府合作，推动合成生物学的安全发展。2011 年，支助股在瑞士和联合王国政府的支持下为理科研究生和本科生举办了一次关于科学界和平、无害利用生命科学的发展成果责任的论文竞赛。竞赛获奖者将受邀去日内瓦在审查会议上宣读论文。

9. 应候任主席要求，支助股定期与和《公约》及审查会议的相关国际组织联系，包括联合国粮食及农业组织(粮农组织)、国际原子能机构(原子能机构)、国际基因工程和生物技术中心、红十字国际委员会(红十字会)、国际刑警组织(刑警组织)、北大西洋公约组织(北约)、经济合作与发展组织(经合组织)、禁止化学武器组织、法语国家国际组织、联合国安全理事会第 1540 号决议委员会、联合国裁军研究所、联合国教育、科学及文化组织(教科文组织)、联合国环境规划署(环境署)、联合国毒品和犯罪问题办事处、世界卫生组织(卫生组织)、世界动物卫生组织、国际科学和技术中心、欧盟委员会、欧洲疾病预防控制中心。自 2009 年 5 月起，支助股与联合国区域间犯罪和司法研究所结成了正式伙伴关系。

10. 同样应候任主席要求，支助股还与若干科学、专业、商业、学术机构和协会，以及关注支助股活动的非政府组织进行了联系。这些联系提供了重要见解和信息，有助于支助股更好地支持缔约国的努力。支助股联系过的许多组织参加了筹备委员会。

11. 2011 年期间，支助股还共同主办了许多活动，其中包括：在挪威和印度尼西亚政府的支持下，于 2011 年 4 月 8 日至 10 日在瑞士蒙特勒举办了一次活动，题为“关于为生物武器公约第七次审查会议拟定务实建议的国际研讨会”；于 2011 年 11 月 8 日至 9 日在瑞士日内瓦与和平大学和裁军研究所联合举办了一次题为“筹备生物武器公约第七次审查会议”的活动。

12. 支助股还应邀参加了 2011 年举行的各种会议和活动。这些活动与《公约》及支助股的工作有关，包括支助股支持落实第六次审查会议决定和建议的努力。这些活动也有助于宣传和介绍《公约》的存在和规定。附件一(仅有英文本)载有支助股 2011 年所参加活动的完整清单。

三. 《公约》的执行

13. 七个缔约国、一个签署国和一个非缔约国与支助股联系，请求就国家执行提供援助。其中两项请求着重与军事和执法人员在教育、培训和设备方面的合作。两个国家就建立生物安全和生物保障的问题请求援助。两个国家就建立一个国际联络点请求援助。两个国家就举办提高认识研讨会请求援助。还有两个国家就关于收集《公约》方面的资料问题请求援助，其中有一个国家最终于 2011 年加入

了《公约》。有一项执行方面的请求支助股未能满足，因为请求国目前还不是缔约国。

14. 支助股维护并更新了在线国家执行数据库(NID)。该数据库包含与《公约》可能有关的国家措施的详细情况，供尽可能多的国家搜集资料。数据库还尽可能提供了这些措施的摘要，以及文书的全文链接。随着不断获得更多资料，数据库也不断更新。该数据库目前共收录了 2,112 项措施，涵盖 121 个缔约国(占《公约》缔约国总数的 73.3%)、四个签署国、六个非缔约国以及一个区域组织的措施。可通过支助股的网站进入该数据库(<http://www.unog.ch/bwc/NID>)。

15. 应候任主席要求，支助股继续编写《国家活动汇编》。汇编详细收录了缔约国开展的与上一个闭会期间工作所涵盖的专题相关的活动，并且定期更新，成为评估向会议提供的资料的主要途径。同时，它也是一个方便的资料库，说明了如何在不同背景下实施立法和管理措施。

16. 为了改进其活动，便利缔约国之间的沟通，交流关于援助请求和援助意向的信息，支助股汇集了愿意提供援助的信息，并在网站的非公开区域内予以公布。

17. 支助股还继续与一些援助提供者，例如核查研究、训练和信息中心(核查中心)、红十字国际委员会、国际刑警组织、禁止化学武器组织，以及安理会第 1540 号决议委员会联系，以提供有关国家立法和执法措施方面的援助。支助股继续在其参加的研讨会会间和活动中，以及《公约》会议期间与这些援助提供者进行非正式会面及协调。

18. 支助股继续收集为《公约》建立的国家联络点的详细情况。到目前为止，已有 77 个缔约国应第六次审查会议要求，指定了本国的联络点(见附件二，仅有英文本)。此外，支助股还收集了三个签署国、三个非缔约国和一个区域组织的联络点情况。各国联络点的全部详情见支助股网站的非公开区域(<http://www.unog.ch/bwc/restricted>)。支助股定期通过电子邮件与国家联络点进行联系。

四. 建立信任措施

19. 根据第六次审查会议的决定，支助股负责汇编并分发建立信任措施的资料。支助股保存了建立信任措施表格的电子版(Adobe PDF 格式)并以所有正式语文在网站上提供。支助股在相关缔约国的协助下，继续编制现有的建立信任措施电子表格，并且正在考虑是否有可能开发一个在线协作工具，用于在线填写建立信任措施表格。

20. 截至 2011 年 11 月 17 日，68 个国家(43%的缔约国)提交了涵盖 2010 日历年的建立信任措施资料。在这些国家中，38 个国家在截止日期 2011 年 4 月 15 日之前提交了建立信任措施的资料。两个缔约国为首次提交，即埃塞俄比亚和牙买

加。有 12 个缔约国在 2010 年提交了建立信任措施的资料，但在 2011 年尚未提交。附件三(仅有英文本)列出了这些措施资料的提交情况，并按照每一种建立信任措施的表格详细列出了提交的国家，同时附有图表和其他信息。

21. 自从支助股在它的网站上开始公布建立信任措施反馈以来，第一次在网站的非公开区域公布 2010 年的所有建立信任措施反馈。若干缔约国向支助股询问，为透明起见，它们的建立信任措施是否也可以公布在支助股网站的公开区域。到目前为止，已有 21 份建立信任措施文件被放在网站的建立信任措施板块的公开区域(这些建立信任措施也在非公开区域提供)。

22. 2011 年期间，支助股向大约 15 个缔约国提供了日常的行政协助和关于参加建立信任措施的咨询服务。

23. 根据第六次审查会议的决定，支助股股长于 2011 年 1 月 15 日致函各缔约国的常驻代表团和国家联络点，提醒他们按照资料交换程序提交资料的截止日期是 4 月 15 日。

五. 促进普遍加入

24. 支助股为候任主席开展促进普遍加入的活动提供了支持，筹备并参加候任主席与《公约》非缔约国代表的会议。支助股在其参加的许多研讨会和活动中促进普遍加入《公约》(见附件一，仅有英文本)。支助股还向几个签署国和非缔约国提供了介绍《公约》的资料和咨询意见。随着不断获得新的资料，支助股在其网站的非公开区域汇集并公布了促进普遍加入方面的进展情况。

25. 关于这些活动的进一步详情以及目前为止取得的成果，可参阅关于《关于公约》的普遍加入情况背景资料文件(BWC/CONF.VII/INF.7)。

六. 结论和关于支助股 2007 年建立以来的工作的思考

26. 第六次审查会议关于建立支助股的决定是一个重要的步骤，它为《公约》创建了第一个正式的机构，这是一项果敢的试验。虽然对授权作了明确的规定，但没有人，包括支助股职员十分明确支助股如何开展实际工作，是否能在加强《公约》的执行方面行使真正有用的职能。

27. 总的来说，这种试验似乎很成功。缔约国对支助股的反馈是积极的，许多国家似乎很满意支助股在各种情况下的工作成果。缔约国显然需要支助股提供的那种服务，广大的国际社会也相应需要有一个《生物武器公约》的体制机构和联系点。支助股的授权在实践中的范围已足够大了，在应对缔约国的请求和与其它组织的关系中没有对支助股造成任何业务上的问题。限制支助股活动的主要原因是缺乏人力和财政资源：支助股的服务供不应求，请支助股参加某项活动的三项邀请中约有一项不是因为人手不足就是因为旅费不够而不得不回绝。

28. 虽然支助股在行政支助工作上投入了大量的时间，如会议的筹备、协助主席、管理文件、建立信任措施的行政管理等等，但在直接加强《公约》执行方面的最有效的活动也许是，它参加了全世界的讲习班和研讨会，并努力确保在执行方面向请求援助的缔约国提供援助。

29. 参加接讲习班和研讨会，对于提高对《公约》和执行问题的认识，不仅对政府，而且对其他有关行为者，如科学界、国家级和区域组织、专业协会、学术界等等，都起着关键的作用。支助股自从 2007 年开始工作以来，在世界各地 45 个国家参加了 132 次讲习班、研讨会和在日内瓦以外举行的其他活动(见下表)。参加上述活动，都是在五年期仅有的 6 万美元的总预算中做到的。如果考虑进各国代表参加各种区域活动的情况，在 165 个《公约》缔约国中，支助股在区域方面与各国首都的官员和专家有直接联系的就有 130 个。

2007 年至 2011 年支助股访问的国家

非洲	美洲	亚太	欧洲	中东
肯尼亚	巴巴多斯	孟加拉国	奥地利	伊朗(伊斯兰共和国)
马拉维	巴西	中国	比利时	约旦
摩洛哥	加拿大	印度尼西亚	波斯尼亚—黑塞哥维那	卡塔尔
尼日利亚	哥斯达黎加	哈萨克斯坦	克罗地亚	沙特阿拉伯
南非	美利坚合众国	吉尔吉斯斯坦	法国	阿拉伯联合酋长国
突尼斯		马来西亚	德国	
		巴基斯坦	匈牙利	
		菲律宾	意大利	
		大韩民国	荷兰	
		泰国	挪威	
		瓦努阿图	波兰	
			摩尔多瓦共和国	
			塞尔维亚	
			瑞典	
			瑞士	
			土耳其	
			乌克兰	
			大不列颠及北爱尔兰联合王国	

30. 由于旅费预算有限，工作人员只有三名，因此支助股参加较多的是：(a) 在日内瓦附近的活动；(b) 组织者可以承担旅费的活动。这样做的效果从上表中可见。如果在旅费方面有更大的回旋余地，支助股就能保持各区域的平衡，并能根据缔约国的需要安排旅行的优先顺序，而不是只凭资金情况现实地考虑旅行的先

后顺序。同样，如果能增加工作人员，支助股就能更多地参加远离日内瓦的活动，因为这样的活动路途遥远，相应地也需要工作人员投入更多的时间。

31. 如支助股前几份报告指出的那样，在援助费方面未能如预期的那样很好地行使将援助意向与请求相匹配的职能。虽然支助股为许多提出请求的缔约国找到了一些援助提供方，但仍有一些请求未能得到满足。相反，有一些援助意向却没有得到处理。这个问题主要是提出的请求和意向不明确，这反过来也许是由于整个进程缺乏某种结构所致。对需求的确定和援助的提供采取更加结构化的方法，如按照有些缔约国建议的那样通过一个专门的机构，可能在今后会更有效。

32. 从财政和行政的角度看，支助股的职能行使良好。如上所述，人力和财政资源缺乏，是制约支助股履行其授权的主要因素。若干缔约国的自愿捐款提供了一些帮助，但这些款项常常是捐给具体的研讨会和活动的，并非总能帮助支助股响应各缔约国的请求的。如能增加资源，支助股履行现有授权的能力就会大大提高。只要扩大现有授权，就必然会需要增加资源。

33. 支助股从《生物武器公约》闭会期间活动的年度会议预算中获得资金。作出这项安排是出于方便，但这意味着只有在当年参加会议的缔约国才支付支助股这一年度的费用。缔约国不妨考虑这种做法是否合适的问题。

34. 将支助股设在联合国裁军事务厅日内瓦办事处内，这是一种方便和有效的选择。双重报告线路(即政策事项向《生物武器公约》主席和缔约国报告；行政事项向裁军事务厅报告)，在实践中没有产生任何问题。裁军事务厅提供的行政支助对缔约国免收直接费用，这大大帮助了支助股的活动。但是随着这些活动的扩大，裁军事务厅的行政负担也随之加重。因此，这种支助，特别是在联合国日内瓦办事处提供办公场所，不能被看作是理所当然的，特别是如果缔约国决定要扩大支助股。

35. 最后，支助股要表示赞赏缔约国在支助股这五年的工作过程中提供的积极合作、热情参与、建设性支持和全力的工作。支助股的在上述试验中取得的成就主要是由于缔约国十分重视用这一新的设施。

Annex I

[ENGLISH ONLY]

Meetings and events attended by the Implementation Support Unit

The following meetings and events were attended by representatives of the Implementation Support Unit. Further details on these events, including copies of ISU presentations, are available from the ISU website, <http://www.unog.ch/bwc/isu>.

1. On 6 January 2011, a member of the ISU briefed visiting members of risk management foundation Det Norske Veritas on the “Biological Weapons Convention and Biorisk Management”.
2. From 24–27 January 2011, the ISU participated in a regional workshop titled *The Biological Weapons Convention: Current Themes* in Amman, Jordan. The workshop covered areas for collaboration amongst different stakeholders, response to disease events, and issues related to the Seventh BWC Review Conference, with particular emphasis on CBMs. The ISU gave presentations on the BWC, CBM forms, and how transparency can be improved through CBM compliance.
3. From 3–5 February 2011, the ISU participated in a workshop on *Synthetic Biology and its Dual Use* in Islamabad, Pakistan. The ISU delivered a presentation on “Safe, Secure and Solely Beneficial Synthetic Biology”, and also briefed workshop participants on International Genetically Engineered Machines Competition.
4. On 8 February 2011, the ISU participated in the Geneva Forum event *Working on Disarmament and Arms Control in Geneva: An Orientation for Diplomats*. The ISU gave a presentation on biological and toxin weapons.
5. From 15–17 February 2011, the ISU attended the International Federation of Biosafety Associations (IFBA) conference *Global Biosafety and Biosecurity – Taking Action* in Bangkok, Thailand. A member of the ISU gave a presentation on “Biosafety, Biosecurity & the Biological Weapons Convention”.
6. On 21 February 2011, the ISU took part in the Geneva Forum briefing on the Conference on Disarmament and civil society. The ISU gave a presentation on “Weaving the web of prevention: Civil society and the Biological Weapons Convention”.
7. From 21–25 February 2011, the ISU attended the INTERPOL *Joint Train-the-Trainer Session for Law Enforcement, Customs and Public Health Officials for the Prevention of Bioterrorism*, hosted by the Turkish National Police in Antalya, Turkey.
8. From 21–22 February 2011, the ISU took part in the *13th CSCAP Study Group on Countering the Proliferation of Weapons of Mass Destruction in the Asia Pacific* in Las Vegas, Nevada, USA. The ISU delivered presentations on science and technology developments, global health security and their relationship to the Convention.
9. From 23–25 February 2011, the ISU attended the *Third ASEAN Regional Forum Intersessional Meeting on Nonproliferation and Disarmament* in Las Vegas, Nevada, USA. The ISU delivered a message on behalf of President-designate and briefed participants on the Seventh Review Conference.

10. From 5–6 March 2011, the ISU attended the workshop *Addressing Future Challenges to the Biological and Toxin Weapons Convention in Connection with Scientific and Technological Developments* in Stockholm, Sweden. The event was organized by SIPRI with the support of the Swedish Ministry for Foreign Affairs and the United Kingdom Foreign and Commonwealth Office. A member of the ISU delivered a statement on behalf of the President-designate.

11. On 15 March 2011, the ISU took part in a briefing on *The Role of the Chemical and Biological Weapons Conventions in Preventing Acquisition and Use of Chemical and Biological Weapons* in Ottawa, Canada. The ISU gave a presentation on preparations for the review conference.

12. From 16–19 March 2011, the ISU participated in the *2011 International Studies Association Annual Convention on Global Governance: Political Authority in Transition* in Montreal, Canada. A member of the ISU presented a paper on “Common Understandings Identified during the 2007 to 2010 Intersessional Process of the Biological Weapons Convention”.

13. On 4 April 2011 a member of the ISU provided a briefing on the Convention for the International Peace Studies Programme of Trinity College Dublin, in Geneva, Switzerland.

14. From 8–10 April 2011, the ISU, Norway and Indonesia co-hosted the event *International Workshop on Developing Practical Proposals for the Seventh Review Conference of the Biological Weapons Convention* in Montreux, Switzerland.

15. On 4 May 2011, the ISU participated in a universalization event in the Palais des Nations co-hosted by the President-designate and the Depositary Governments.

16. On 11 May 2011, the ISU participated in the UNICRI *Second Round Table Meeting of Centres of Excellence National Focal Points in South East Asia* in Bangkok, Thailand. The ISU delivered a presentation on capacity building under the Convention, as well as the activities and role of the ISU.

17. From 16–17 May 2011, the ISU participated in the *Workshop on International Response and Mitigation of a Terrorist Attack Using Chemical and Biological Weapons or Materials* at The Hague, Netherlands, organized by the Counter-Terrorism Implementation Taskforce Working Group on Preventing and Responding to WMD Attacks and hosted by the OPCW. At the workshop, the ISU gave a presentation on “Response and Mitigation: Role of the Biological Weapons Convention and ISU”.

18. From 9–10 June 2011, the ISU attended the *Seminar on Outlook and Perspectives for the BTWC Seventh Review Conference* hosted by the German Federal Foreign Office in Berlin, Germany. The ISU presented on the possible structure of a future intersessional process for the Convention.

19. On 14–15 June 2011, the ISU was invited to speak at the 12th *New Issues in Security Course* at the Geneva Centre for Security Policy in Geneva, Switzerland. A member of the ISU gave a presentation on “Biological Weapons”.

20. From 15–17 June, the ISU attended *SB5.0: the Fifth International Meeting on Synthetic Biology* in Stanford, California, USA. The ISU was invited to take part in a panel discussion that focused on security perspectives and engagement with the synthetic biology community.

21. From 16–17 June 2011 the ISU participated in the workshop *Between Control and Cooperation: Dual-use, Technology Transfers and the Non-proliferation of Weapons of Mass Destruction* in Berlin, Germany. The ISU gave a presentation on facilitating cooperation in the peaceful use of biotechnology.

22. On 27 June 2011, the ISU attended the *Symposium on Rinderpest Eradication: Achievements and Obligations* in Rome, Italy. The ISU delivered a presentation on the post-eradication security risks of Rinderpest.

23. From 27 June–1 July 2011, the ISU took part in the *Biological Weapons Convention Conference Week for East Asia and the Pacific*, held in Makati City, the Philippines. A member of the ISU acted as Vice-Chair, panellist, coordinator and delivered a presentation on the Convention.

24. On 28 June 2011, a member of the ISU briefed participants at the event *Looking Forwards: Seventh Review Conference of the Biological Weapons Convention*, hosted by the American Association for the Advancement of Science in Washington D.C., USA.

25. On 29 June 2011 a member of the ISU provided a briefing on the Convention for the University of Freiburg Survey of International Organizations, in Geneva.

26. From 29–30 June 2011, the ISU participated in the 2011 Biotechnology Industry Organization's *Biosecurity Conference: Advancing Bioscience and Global Security* in Washington DC, USA. The event featured over 15,000 attendees from 65 countries, representing industry, academia, and government.

27. From 6–7 July 2011, the ISU attended the *EU Seminar to Promote Confidence Building and in Support of a Process Aimed at Establishing a Zone Free of WMD and Means of Delivery in the Middle East* hosted by the Council of the European Union in Brussels, Belgium.

28. From 19–20 July 2011, the ISU attended *Biosafety, Biosecurity and Biodefence: International Congress 2011* in Kuala Lumpur, Malaysia. The ISU delivered a presentation on the confidence-building measures.

29. From 20–22 July 2011, the ISU participated in the *RoSBNet Synthetic Biology Workshop* in Oxford, United Kingdom. A member of the ISU gave a presentation on “Engineering Safe, Secure & Solely Beneficial Biology”.

30. From 2–3 August 2011, the ISU attended *Safe and Secure Science: Partners of Today and Tomorrow* hosted by the US Federal Bureau of Investigation, Department of Health and Human Services and the American Association for the Advancement of Science in Crystal City, Virginia, USA. The ISU gave a presentation on “Biological Weapons Convention, Synthetic Biology and Global Health Security”.

31. On 26 August 2011 a member of the ISU provided a briefing on the Convention for the United Nations Disarmament Fellowship Programme, in Geneva.

32. On 31 August 2011, the ISU delivered a presentation on the Convention at the annual summer training program, *Disarmament and Non-Proliferation of Weapons of Mass Destruction in a Changing World*, run by the TMC Asser Institute in The Hague, Netherlands.

33. On 6 September 2011, the ISU participated in the *Rinderpest Re-occurrence Risk Assessment Meeting*, hosted by the Royal Veterinary College in London, UK.

34. On 7 September 2011, the ISU gave an informal briefing to States Parties on the ISU in Geneva.

35. From 11–13 September 2011, the ISU participated in the workshop *Prospects for the 2011 BWC Review Conference*, jointly hosted by the Clingendael Netherlands Institute of International Relations, Wilton Park, and the Ministry of Foreign Affairs of the Netherlands, in The Hague, Netherlands. A member of the ISU acted as facilitator during a workshop session, and presented a summary at the conference wrap-up.

36. On 15 September 2011 a member of the ISU provided a briefing on the Convention for visiting Egyptian diplomats, in Geneva.

37. From 15–16 October 2011, the ISU participated in the 2011 *International Genetically Engineered Machines Competition Asia Jamboree* in Hong Kong. A member of the ISU contributed to efforts to ensure the safety and security of projects and was invited to act as a human practices judge.

38. From 1–2 November 2011, the ISU took part in the *Regional Workshop for South-East Europe on the Seventh Review Conference of the Biological Weapons Convention* in Belgrade, Serbia. At the workshop, a member of the ISU gave a briefing on the Convention.

39. On 4 November 2011, a member of the ISU delivered a lecture entitled “Biological Weapons Convention: The Next Generation” at Princeton University in New Jersey, USA.

40. From 5–7 November, the ISU participated in the 2011 *International Genetically Engineered Machines Competition World Championship Jamboree* in Cambridge, Massachusetts, USA. In addition to interacting with teams on safety and security issues, the ISU was asked judge human practices aspects of projects.

41. From 8–9 November 2011, the ISU, the United Nations Institute for Disarmament Research, and University for Peace, with the support of the Swiss Government, jointly hosted the workshop *Preparing for the Seventh Review Conference* in Geneva, Switzerland. The ISU presented on the “Review Conference Rules of Procedure, Organization and Structure”.

42. From 15–16 November 2011, the ISU participated in the first meeting of the *Temporary Working Group on the Convergence of Chemistry and Biology*, hosted by the Organization for the Prohibition of Chemical Weapons in The Hague, Netherlands.

43. On 17 November 2011, the ISU participated in the Geneva Forum’s informal consultations on *Towards the 7th Review Conference of the Biological Weapons Convention*. The ISU gave a presentation on “International Cooperation in the BWC: An Assessment”.

Annex II

[ENGLISH ONLY]

National Points of Contact

The following national points of contact had been nominated to the ISU by November 2011. Full contact details, including telephone numbers and e-mail addresses, are available to States Parties in the restricted area of the ISU website (<http://www.unog.ch/bwc/restricted>).

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States not party

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Cameroon	Ms. Pauline Essome Siliki Magistrate Ministry of Justice/ Legislation Division Cameroon
Micronesia (Federated States of)	Mr. Paliknoa K. Welly Chairman Committee on External Affairs Palikir, PS 3 Pohnpei FM 96941 Federated States of Micronesia
Namibia	Mr. Letta N. Hangala Foreign Relations Officer Multilateral Affairs Department/ Ministry of Foreign Affairs Private Bag 13347 Windhoek Namibia Mr. Jeremia Nambinga Member of Parliament National Assembly Private Bag 13323 Windhoek Namibia

Regional organizations

<i>State</i>	<i>Contact</i>
European Union	Ms. Clara Ganslandt Mr. Nico Frandi Ms. Christel Gotink Mr. Jiri Blazek

Annex III

[ENGLISH ONLY]

Report on participation in the confidence-building measures

I. Provisional summary of participation in 2011²

Key: D = declaration submitted; ND = nothing to declare; NN = nothing new to declare

		CBM Form								Additional Information
		A1	A2	B	C	D	E	F	G	
States Party										
1.	Argentina	D	D	-	D	D	D	ND	D	-
2.	Armenia	NN	NN	NN	NN	NN	NN	NN	NN	D
3.	Australia	D	D	D	D	D	D	D	D	-
4.	Austria	D	D	D	D	D	D	D	D	-
5.	Azerbaijan	D	ND	ND	ND	ND	D	ND	ND	-
6.	Belarus	D	D	D	ND	ND	D	D	D	-
7.	Belgium	ND	D	D	D	D	D	ND	D	-
8.	Brazil	D	D	D	D	D	D	ND	D	-
9.	Bulgaria	D	D	D	ND	D	D	ND	D	-
10.	Canada	D	D	D	D	D	D	D	D	-
11.	Chile	ND	D	D	D	D	ND	D	D	-
12.	China	D	D	D	D	D	ND	ND	D	-
13.	Croatia	ND	D	D	ND	D	D	D	D	-
14.	Cuba	D	D	D	D	D	D	ND	D	-
15.	Cyprus	ND	ND	D	D	ND	ND	ND	ND	-
16.	Czech Republic	D	D	D	D	D	D	ND	D	-
17.	Denmark	D	D	D	D	D	D	D	D	-
18.	Ecuador	ND	ND	ND	ND	ND	-	ND	ND	-
19.	Estonia	ND	ND	D	ND	ND	D	D	ND	-
20.	Ethiopia	ND	D	ND	ND	ND	ND	ND	ND	-
21.	Finland	D	D	D	D	D	D	ND	ND	-
22.	France	D	D	D	D	-	-	ND	D	-

² As of 17 November 2011

		CBM Form								Additional Information
States Party		A1	A2	B	C	D	E	F	G	
23.	Gambia	ND	ND	ND	ND	ND	ND	ND	ND	
24.	Georgia	D	D	D	D	D	D	D	D	-
25.	Germany	D	D	D	D	D	D	ND	D	-
26.	Greece	D	ND	D	D	ND	ND	ND	ND	-
27.	Hungary	D	ND	D	D	ND	D	ND	D	-
28.	India	D	D	D	ND	ND	ND	D	D	-
29.	Iran (Islamic Republic of)	NN	NN	D	NN	D	D	ND	NN	-
30.	Iraq	D	ND	D	ND	ND	D	ND	D	D
31.	Ireland	D	D	D	ND	D	D	ND	D	-
32.	Italy	D	D	D	D	D	D	D	D	-
33.	Jamaica	-	ND	-	-	-	ND	ND	-	-
34.	Japan	D	D	D	D	D	D	NN	D	-
35.	Kazakhstan	NN	NN	NN	NN	NN	NN	NN	NN	D
36.	Latvia	D	D	D	-	-	-	-	-	-
37.	Liechtenstein	NN	NN	D	NN	NN	NN	NN	NN	-
38.	Lithuania	D	D	D	ND	ND	D	D	ND	-
39.	Luxembourg	ND	NN	ND	ND	ND	NN	ND	ND	-
40.	Malaysia	D	D	ND	D	D	NN	ND	D	-
41.	Malta	D	ND	D	ND	ND	ND	ND	ND	-
42.	Mexico	D	NN	ND	D	D	D	D	D	-
43.	Morocco	D	ND	D	ND	ND	ND	D	D	-
44.	Netherlands	D	D	D	D	D	D	D	D	-
45.	New Zealand	D	ND	D	ND	ND	D	D	D	-
46.	Norway	D	D	D	D	D	D	D	D	-
47.	Poland	D	ND	D	D	D	D	ND	D	-
48.	Portugal	D	D	D	D	D	D	D	D	-
49.	Qatar	ND	ND	ND	ND	ND	ND	ND	ND	-
50.	Republic of Korea	D	D	D	D	D	D	D	D	-
51.	Republic of Moldova	D	D	D	D	D	D	NN	ND	-
52.	Romania	D	D	D	D	D	D	ND	NN	-
53.	Russian Federation	D	D	ND	D	D	D	ND	D	-
54.	Senegal	ND	ND	ND	ND	ND	ND	ND	ND	-
55.	Serbia	ND	ND	D	ND	D	D	ND	D	-

		<i>CBM Form</i>								<i>Additional Information</i>
<i>States Party</i>		<i>A1</i>	<i>A2</i>	<i>B</i>	<i>C</i>	<i>D</i>	<i>E</i>	<i>F</i>	<i>G</i>	
56.	Slovakia	ND	ND	D	ND	D	ND	ND	ND	-
57.	Slovenia	D	D	ND	ND	ND	D	NN	NN	-
58.	South Africa	D	D	ND	ND	ND	ND	D	ND	-
59.	Spain	D	D	D	ND	D	NN	ND	NN	-
60.	Sweden	D	D	D	D	D	D	D	D	-
61.	Switzerland	D	D	D	D	D	D	D	D	-
62.	Tajikistan	D	D	D	D	D	D	D	D	-
63.	Thailand	D	D	D	D	D	D	D	D	-
64.	Tunisia	ND	ND	ND	ND	ND	ND	ND	ND	-
65.	Turkey	D	D	D	D	D	D	D	D	-
66.	Ukraine	D	ND	D	D	D	D	ND	D	-
67.	United Kingdom of Great Britain and Northern Ireland	D	D	D	D	D	D	D	D	-
68.	United States of America	D	D	D	D	D	D	NN	D	-

II. Final summary of participation in 2010

Key: D = declaration submitted; ND = nothing to declare; NN = nothing new to declare

<i>States Party</i>		<i>CBM Form</i>								<i>Additional Information</i>
		<i>A1</i>	<i>A2</i>	<i>B</i>	<i>C</i>	<i>D</i>	<i>E</i>	<i>F</i>	<i>G</i>	
1.	Albania	ND	ND	ND	ND	ND	ND	ND	ND	-
2.	Argentina	D	ND	D	D	D	ND	ND	D	-
3.	Armenia	NN	NN	NN	NN	NN	NN	NN	NN	-
4.	Australia	D	D	D	D	D	D	D	D	-
5.	Austria	NN	NN	ND	ND	ND	NN	NN	ND	-
6.	Azerbaijan	D	ND	ND	ND	ND	D	ND	ND	-
7.	Bangladesh	ND	ND	ND	ND	ND	ND	ND	ND	-
8.	Belarus	D	NN	D	0	0	0	0	0	-
9.	Belgium	ND	D	D	D	D	NN	ND	D	-
10.	Bhutan	ND	ND	ND	ND	ND	ND	ND	ND	-
11.	Brazil	NN	NN	D	ND	ND	D	NN	NN	-
12.	Bulgaria	D	NN	D	ND	D	D	NN	D	-
13.	Canada	D	D	D	D	D	D	D	D	-
14.	Chile	D	ND	D	D	D	D	ND	D	-
15.	China	D	D	D	D	NN	D	NN	D	-
16.	Croatia	NN	NN	D	NN	D	D	D	D	-
17.	Cuba	D	ND	D	D	D	D	ND	0	-
18.	Czech Republic	NN	D	D	D	D	D	NN	NN	-
19.	Denmark	D	D	D	ND	ND	D	NN	NN	-
20.	Estonia	ND	ND	ND	ND	ND	ND	ND	ND	-
21.	Finland	D	D	D	D	D	NN	ND	NN	-
22.	France	NN	D	D	D	D	D	NN	D	-
23.	Georgia	D	D	D	D	D	D	D	ND	-
24.	Germany	D	D	D	D	ND	D	NN	D	-
25.	Hungary	D	ND	D	D	ND	D	ND	D	-
26.	India	D	D	D	NN	NN	NN	D	D	-
26.	Indonesia	ND	D	D	NN	D	D	ND	NN	-
27.	Iran (Islamic Republic of)	NN	NN	ND	NN	NN	NN	NN	NN	-
28.	Iraq	NN	NN	NN	NN	NN	NN	NN	NN	-

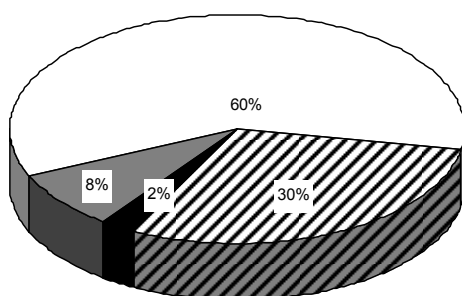
		CBM Form								Additional Information
States Party		A1	A2	B	C	D	E	F	G	
29.	Ireland	D	D	D	D	D	D	D	D	-
30.	Italy	D	D	D	D	D	D	D	D	-
31.	Japan	NN	D	D	NN	NN	D	NN	D	-
32.	Kenya	ND	ND	D	ND	D	ND	ND	ND	-
33.	Latvia	-	-	D	-	-	-	-	-	-
34.	Lebanon	-	-	-	-	-	-	-	-	D
35.	Libyan Arab Jamahiriya	ND	ND	ND	ND	ND	ND	ND	ND	-
36.	Liechtenstein	NN	NN	D	NN	NN	NN	NN	NN	-
37.	Lithuania	D	ND	D	ND	ND	NN	ND	NN	-
38.	Luxembourg	ND	NN	ND	ND	ND	NN	ND	ND	-
38.	Malaysia	D	ND	D	D	ND	D	ND	D	-
39.	Malta	D	ND	D	ND	ND	ND	ND	ND	-
40.	Mexico	D	D	ND	ND	D	NN	NN	NN	-
41.	Morocco	D	ND	D	-	-	D	D	D	-
42.	Netherlands	D	NN	D	D	D	D	NN	D	-
43.	New Zealand	D	D	D	D	-	D	-	D	D
44.	Norway	NN	NN	ND	NN	NN	NN	NN	D	-
45.	Philippines	D	-	D	D	D	D	-	D	-
46.	Poland	D	D	D	D	D	D	ND	ND	-
47.	Portugal	NN	ND	ND	ND	ND	ND	ND	ND	-
48.	Qatar	ND	ND	D	ND	ND	ND	ND	ND	-
49.	Republic of Korea	D	D	D	D	D	D	ND	D	-
50.	Republic of Moldova	ND	ND	D	ND	ND	NN	ND	ND	-
51.	Romania	NN	NN	D	D	NN	D	ND	D	-
52.	Russian Federation	D	NN	ND	D	D	D	NN	D	-
53.	Senegal	ND	ND	ND	ND	ND	ND	ND	ND	-
54.	Serbia	NN	NN	NN	NN	NN	NN	NN	NN	-
55.	Slovakia	NN	ND	D	NN	D	NN	NN	NN	-
56.	Slovenia	ND	ND	ND	ND	ND	ND	ND	ND	-
57.	South Africa	NN	NN	D	NN	NN	D	NN	NN	-
58.	Spain	D	D	D	ND	D	D	ND	NN	-
59.	Sweden	D	D	D	D	D	D	NN	NN	-
60.	Switzerland	D	D	D	D	D	D	NN	D	-

		<i>CBM Form</i>								<i>Additional Information</i>
<i>States Party</i>		<i>A1</i>	<i>A2</i>	<i>B</i>	<i>C</i>	<i>D</i>	<i>E</i>	<i>F</i>	<i>G</i>	
61.	Thailand	NN	-	NN	NN	NN	NN	NN	NN	-
62.	The former Yugoslav Republic of Macedonia	ND	ND	ND	ND	ND	D	ND	ND	-
63.	Tunisia	ND	ND	ND	ND	ND	ND	ND	ND	-
64.	Turkey	D	D	D	ND	ND	D	D	D	-
65.	Ukraine	D	NN	NN	D	D	NN	NN	D	-
66.	United Arab Emirates	ND	ND	ND	ND	ND	ND	ND	ND	-
67.	United Kingdom of Great Britain and Northern Ireland	D	D	D	D	D	D	NN	D	-
68.	United States of America	D	D	D	D	D	D	NN	D	-
69.	Uzbekistan	NN	NN	NN	NN	NN	NN	NN	NN	-
70.	Yemen	ND	ND	D	ND	D	ND	ND	ND	-

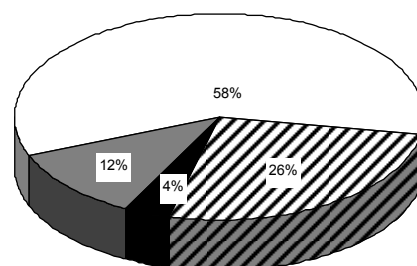
III. Participation in 2011 by form

The following charts show the rates of participation for 2011 in each of the CBM forms:

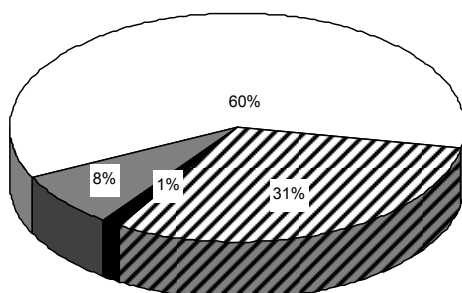
- ▨ Declaration Submitted
- Nothing New to Declare
- Nothing to Declare
- No Information Provided



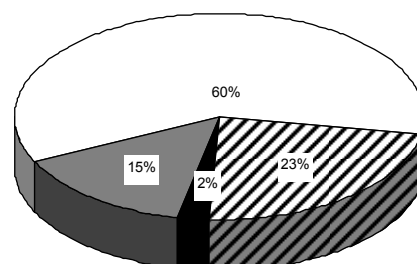
BWC CBM Returns for Form A1 in 2011



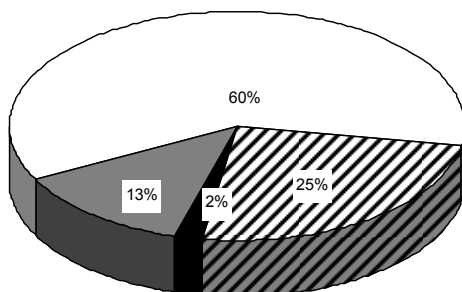
BWC CBM Returns for Form A2 in 2011



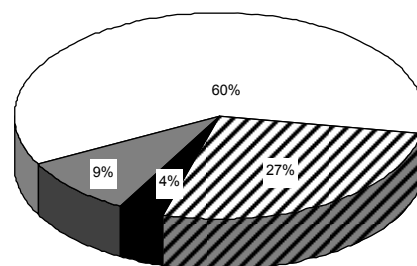
BWC CBM Returns for Form B in 2011



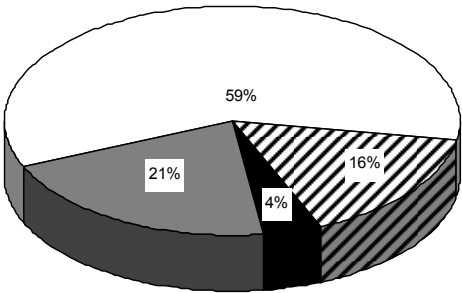
BWC CBM Returns for Form C in 2011



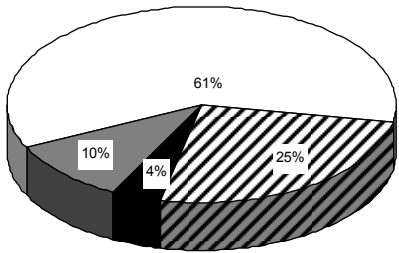
BWC CBM Returns for Form D in 2011



BWC CBM Returns for Form E in 2011



BWC CBM Returns for Form F in 2011



BWC CBM Returns for Form G in 2011